

The Neutrino Physics Summer School  
Fermilab, July 2007

# *The Evidence for Flavor Change and Oscillations III*

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# Overall Outline

Lecture 1:  
Discovery of atmospheric neutrino oscillations

Lecture 2:  
Solving the Solar Neutrino Problem with Solar neutrino  
oscillations

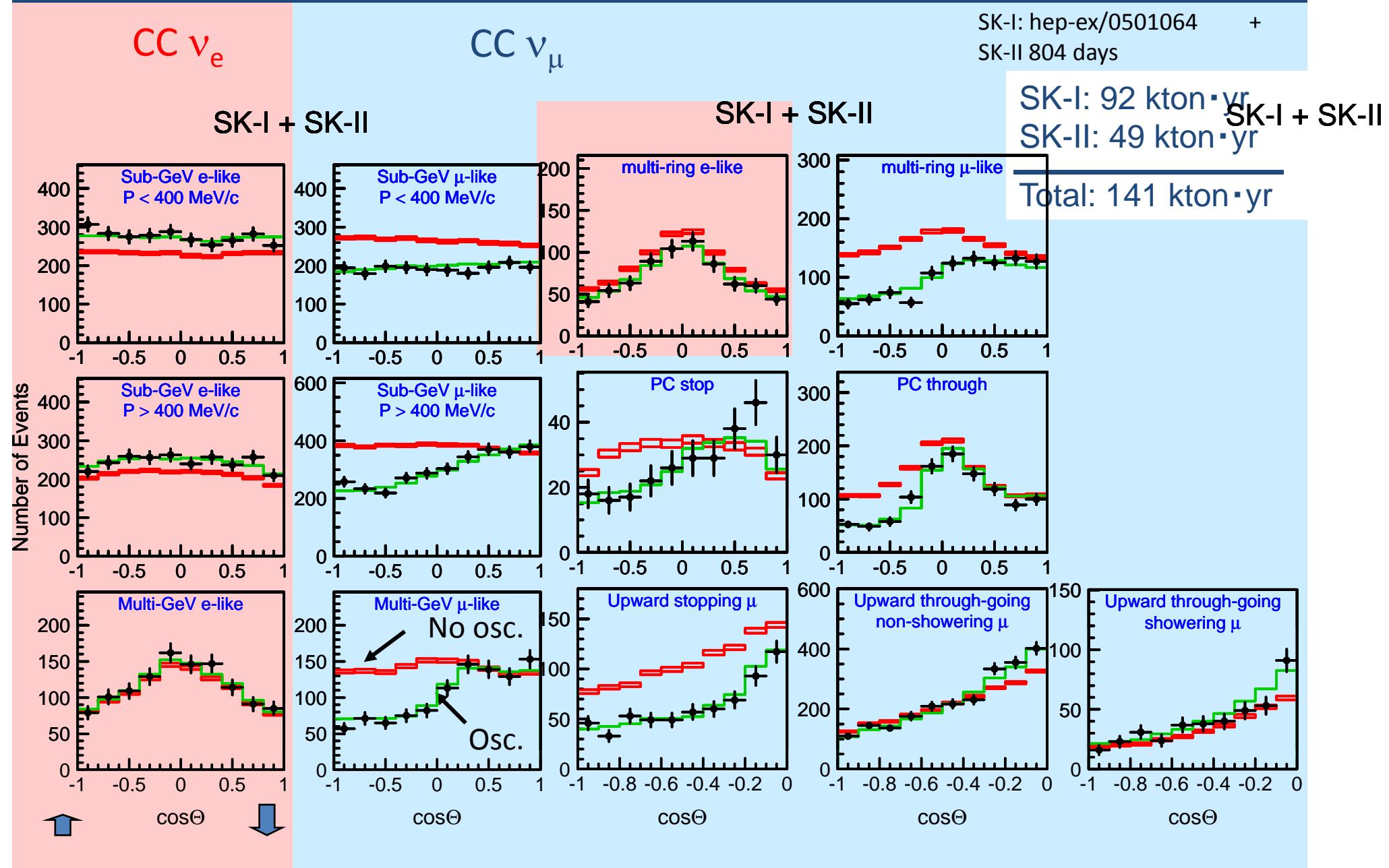
Lecture 3:  
Studies of neutrino oscillations with accelerator and  
reactor neutrinos

# Outline - Lecture 3 -

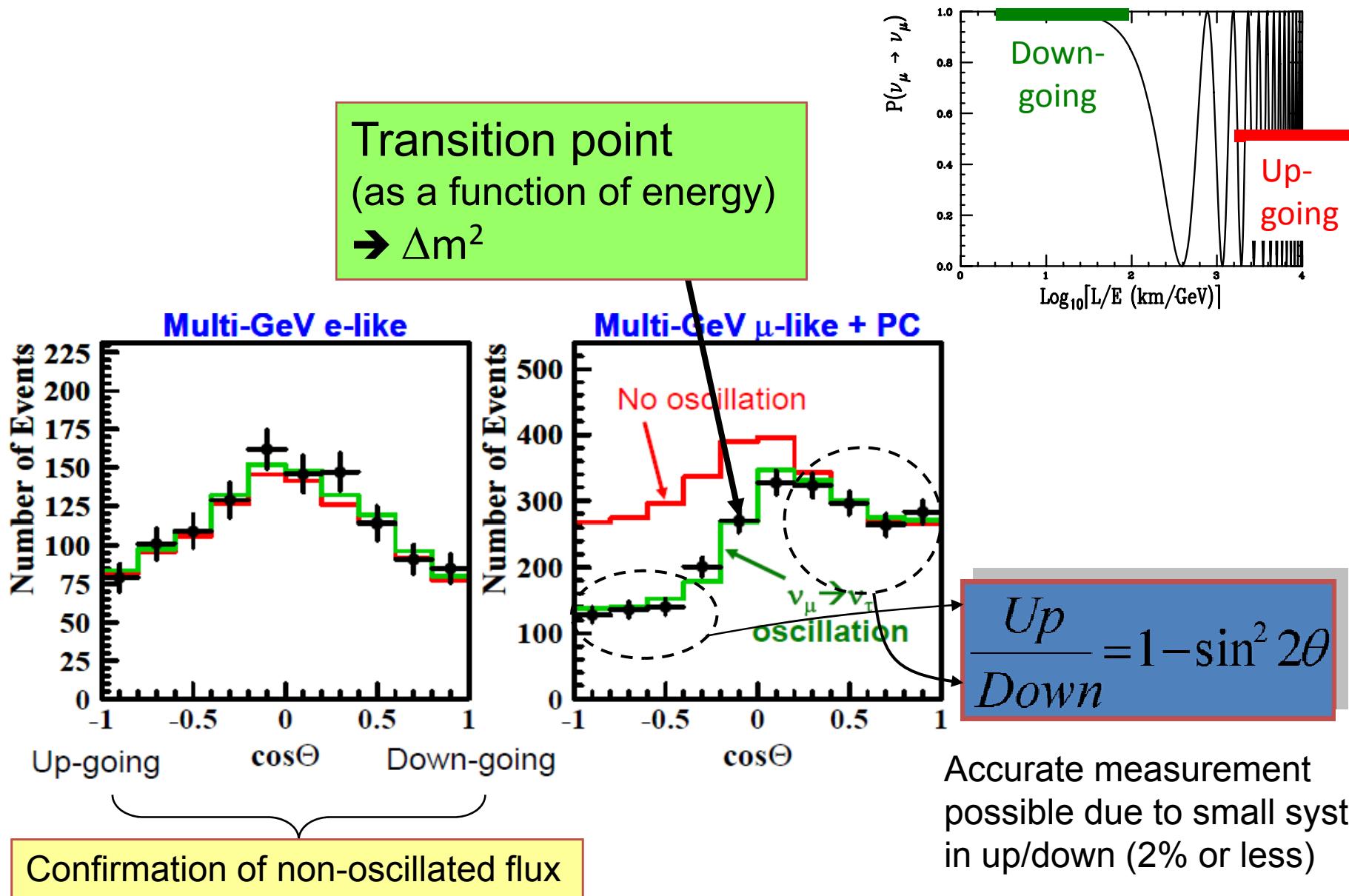
- Determination of oscillation parameters
  - Atmospheric neutrino
  - Accelerator neutrino oscillation experiments
  - KamLAND reactor neutrino experiment
- Really oscillations ?
- $\nu_\mu$  to  $\nu_\tau$  or  $\nu_\mu$  to  $\nu_{\text{sterile}}$  ?
- Tagging appeared neutrino flavor ?
- Summary

# *Determination of oscillation parameters*

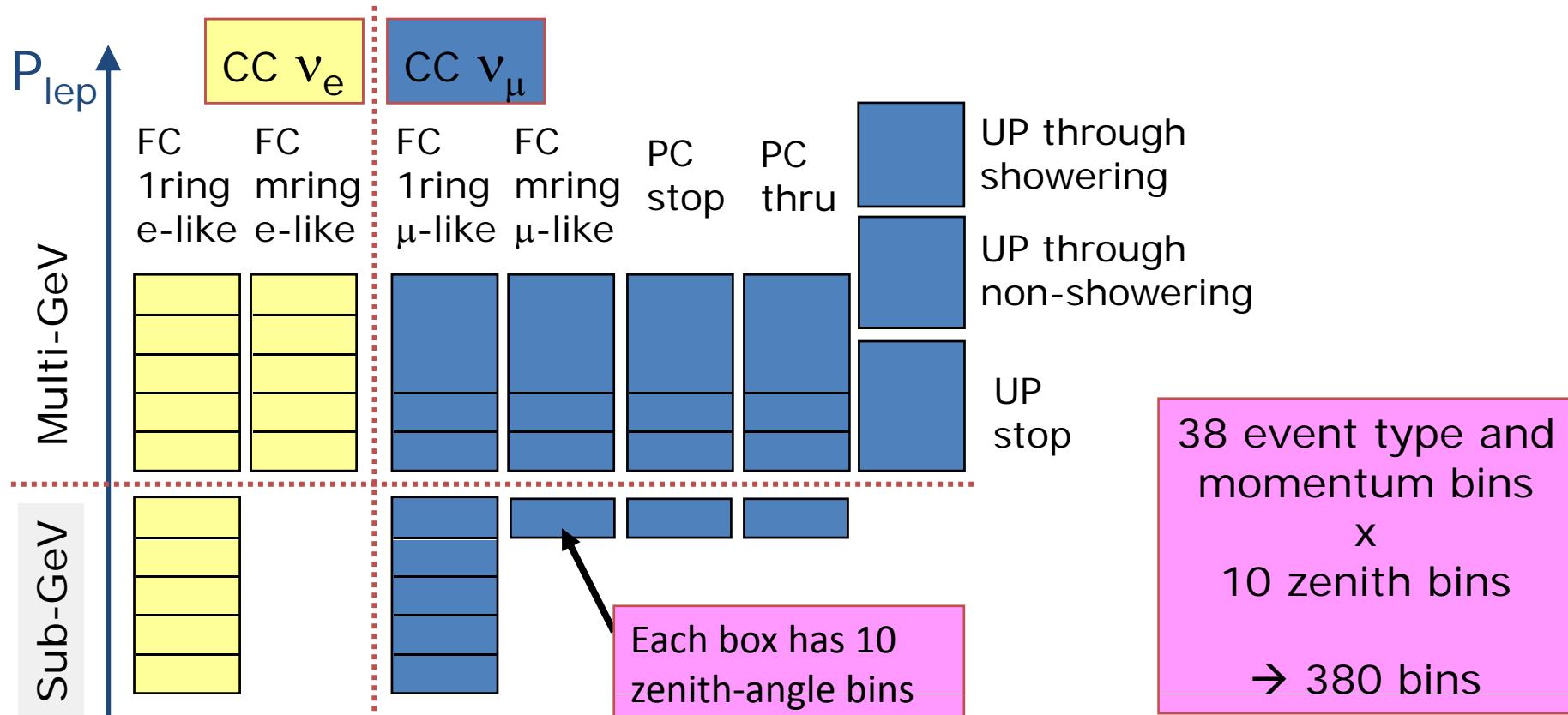
# SK-I+II atmospheric neutrino data



# Estimating the oscillation parameters



# $\nu_\mu \rightarrow \nu_\tau$ 2-flavor oscillation analysis (SK-I + SK-II combined analysis)

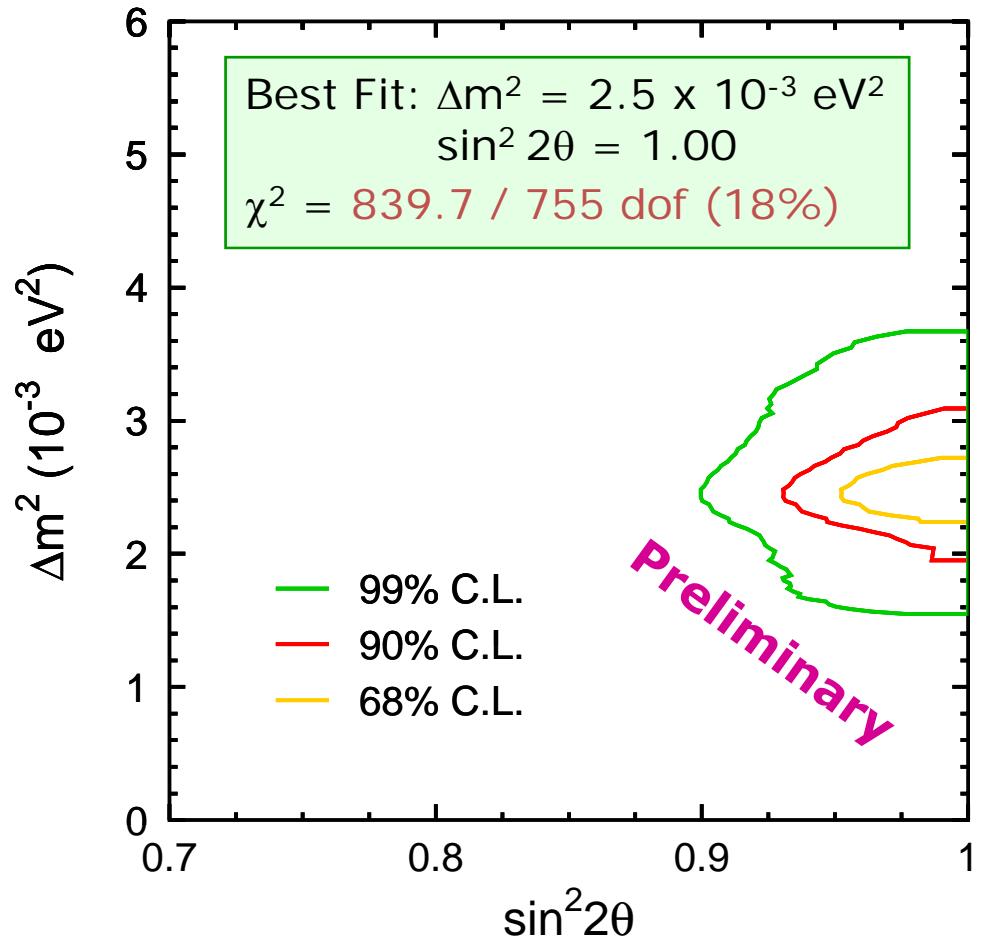


Since various detector related systematic errors are different,  
SK-I and SK-II data bins are not combined.

380 bins for SK-I + 380 bins for SK-II → 760 bins in total

# $\nu_\mu \rightarrow \nu_\tau$ 2 flavor allowed region

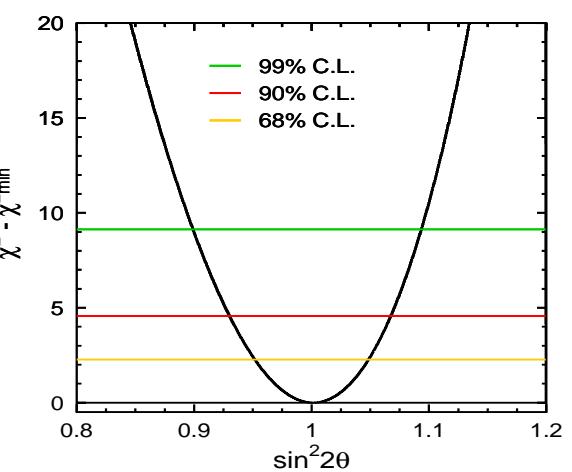
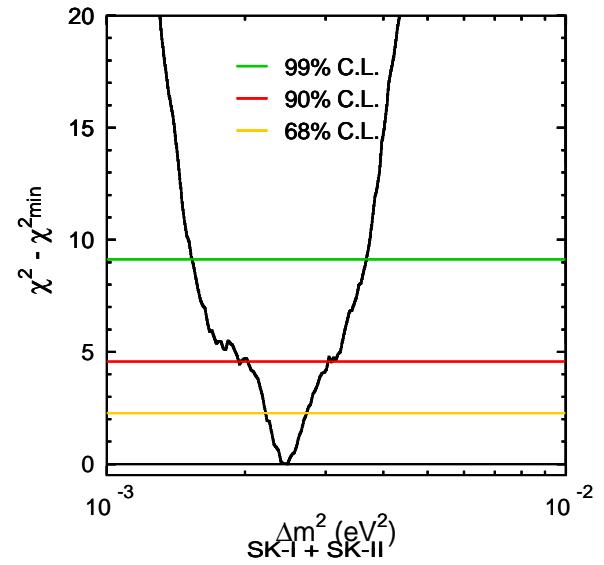
SK-I + SK-II



$1.9 \times 10^{-3}$  eV $^2 < \Delta m^2 < 3.1 \times 10^{-3}$  eV $^2$   
 $\sin^2 2\theta > 0.93$  at 90% CL

1489 days (SK-I) + 800 days (SK-II)

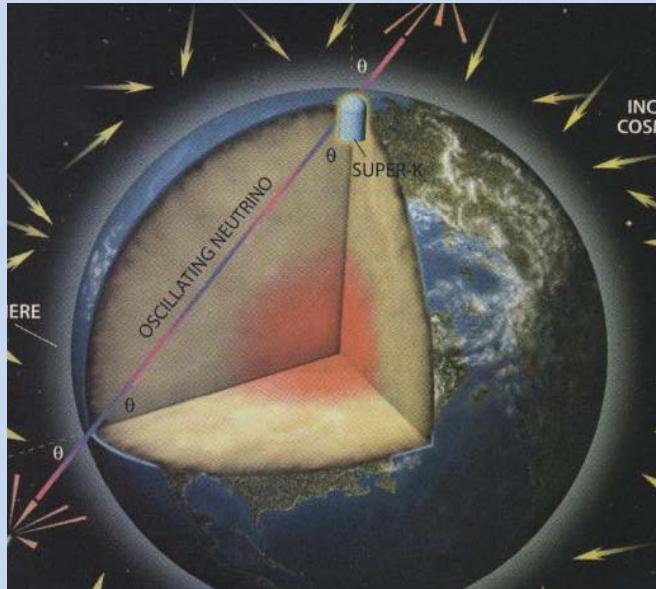
$\Delta\chi^2$  distributions



# *Accelerator neutrino oscillation experiments*

# Why long baseline experiments?

## Atmospheric neutrinos



- Very wide neutrino flight length
- Wide neutrino energy
- Mixture of  $\nu_\mu$ , anti- $\nu_\mu$ ,  $\nu_e$  and anti- $\nu_e$

## Long baseline Experiments



- Single flight length
- Controlled neutrino energy
- almost pure  $\nu_\mu$  (or anti- $\nu_\mu$ )

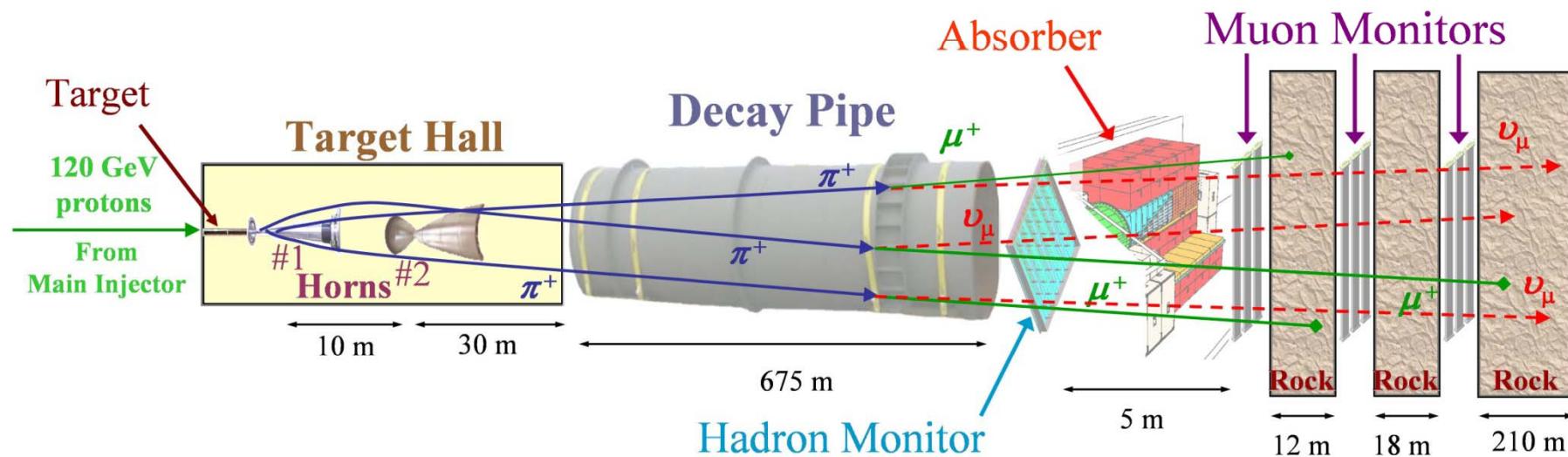
*Initial discovery*



*Precise studies*

# Producing the neutrino beam

Example: MINOS



# Beam line

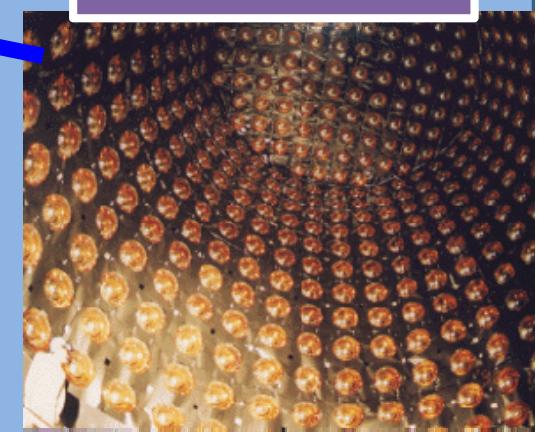


Example: K2K

To Super-Kamiokande

250km

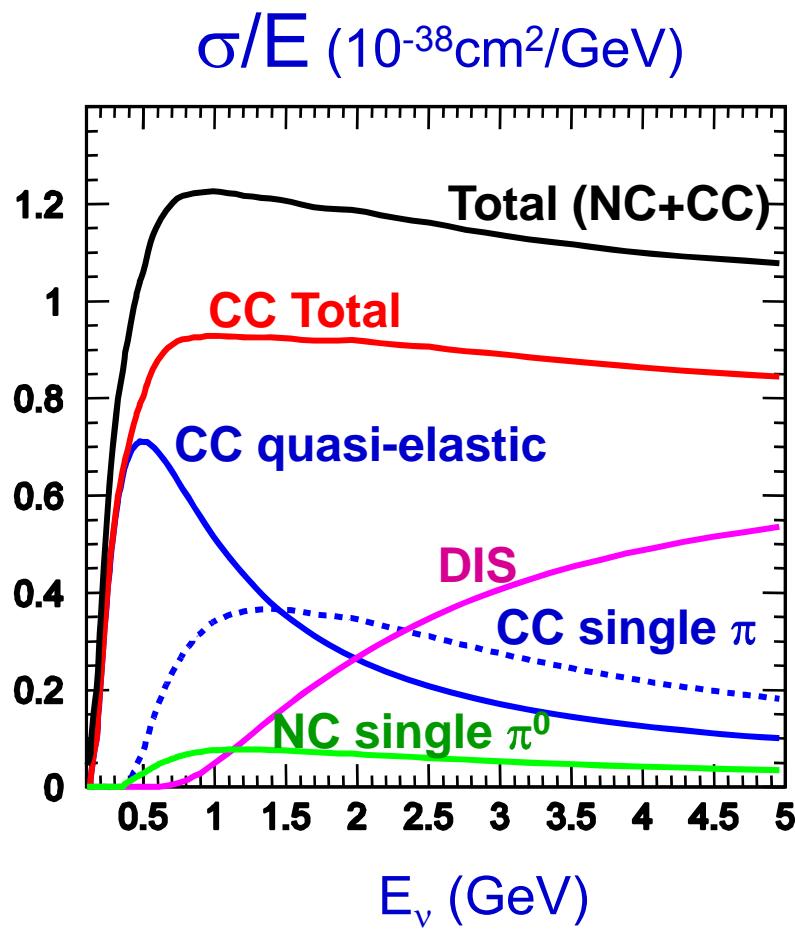
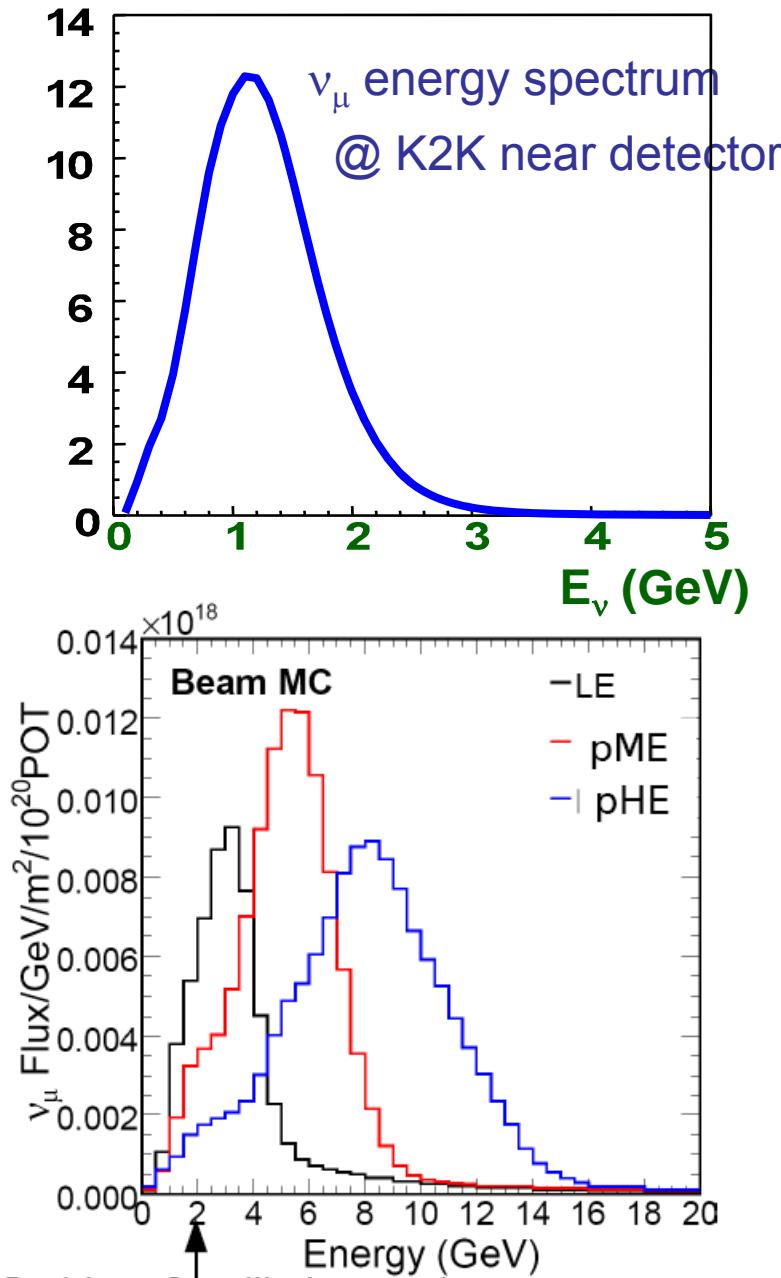
Near  $\nu$  detector



Target region (Horn)

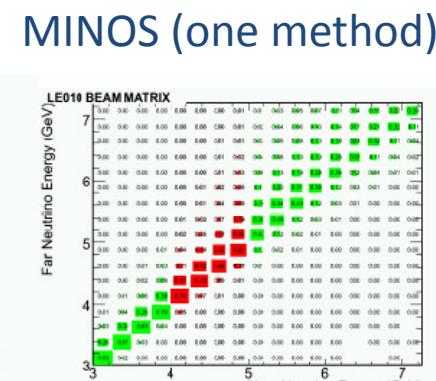
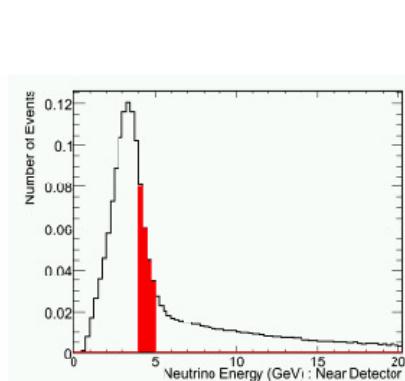
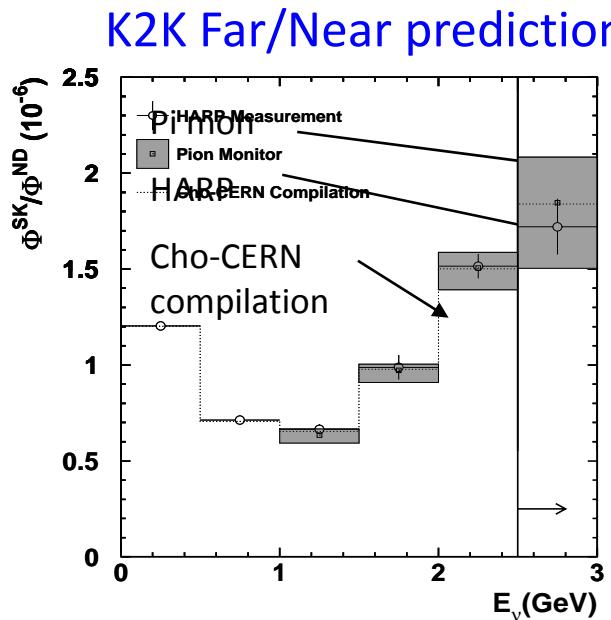
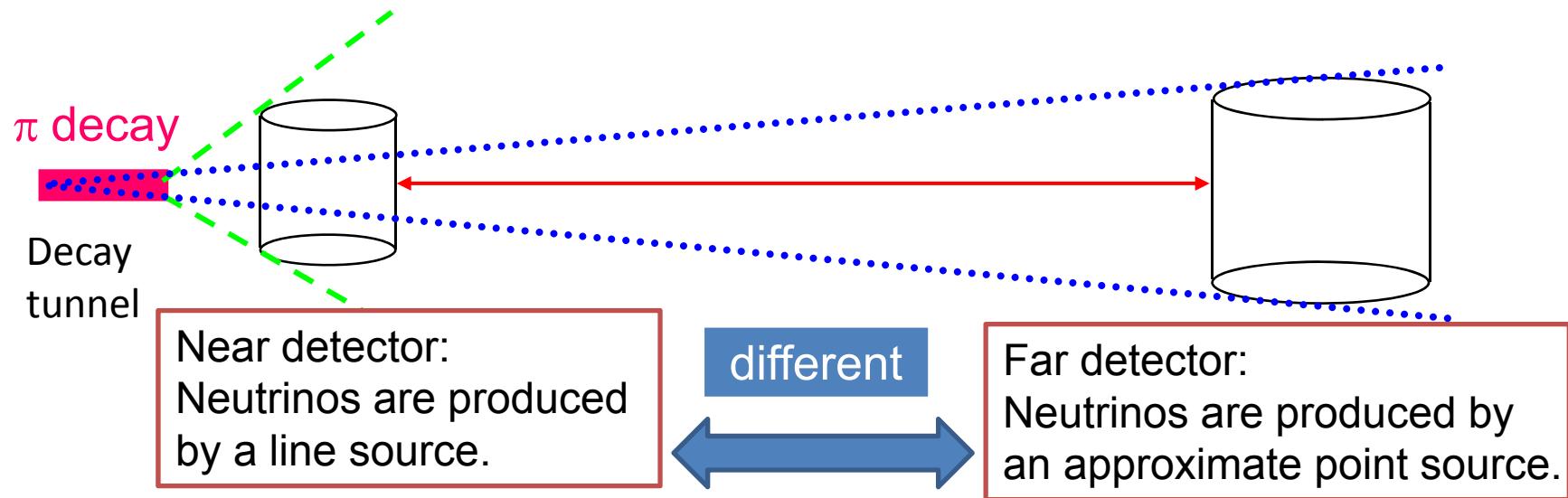


# Neutrino spectrum and neutrino interactions



Various neutrino interaction modes are important.  
→ Good understanding of the neutrino interactions necessary.

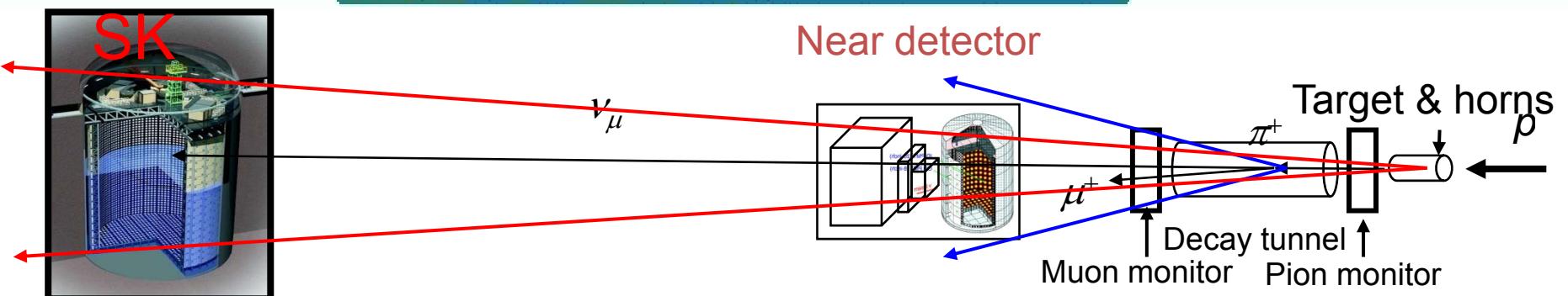
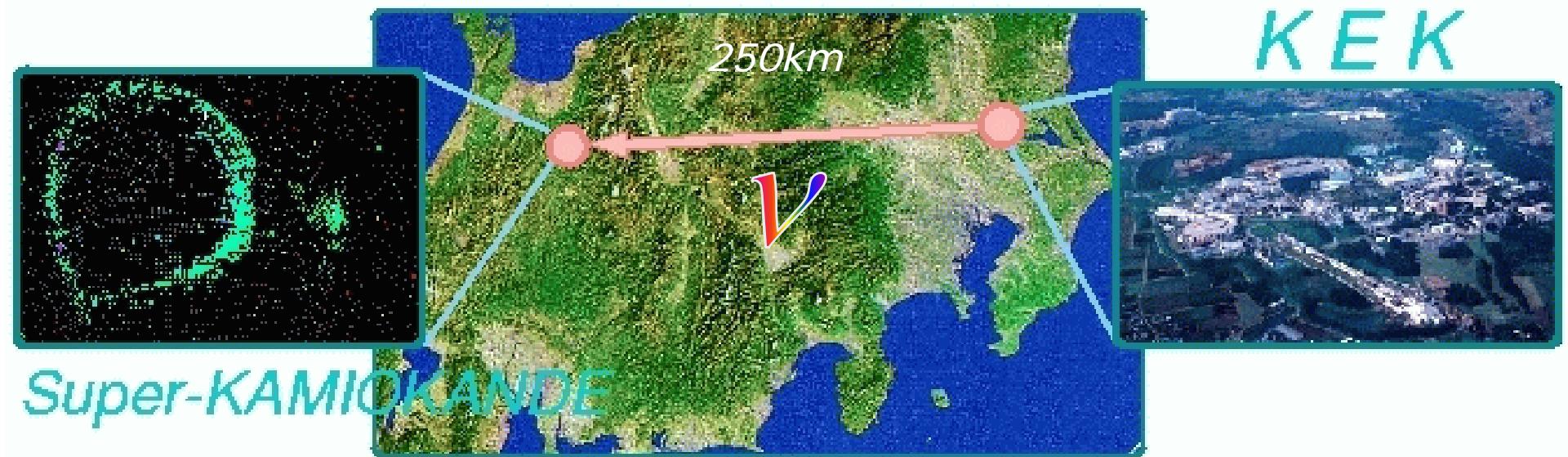
# Neutrino spectrum and the far/near ratio



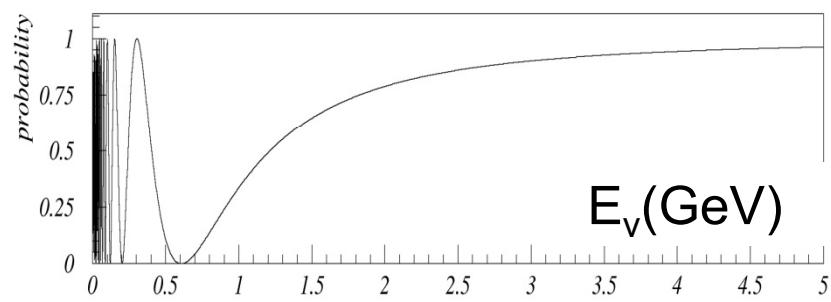
Near spectrum → → Matrix → → Far spectrum

# K2K experiment and its results

hep-ex/0606032



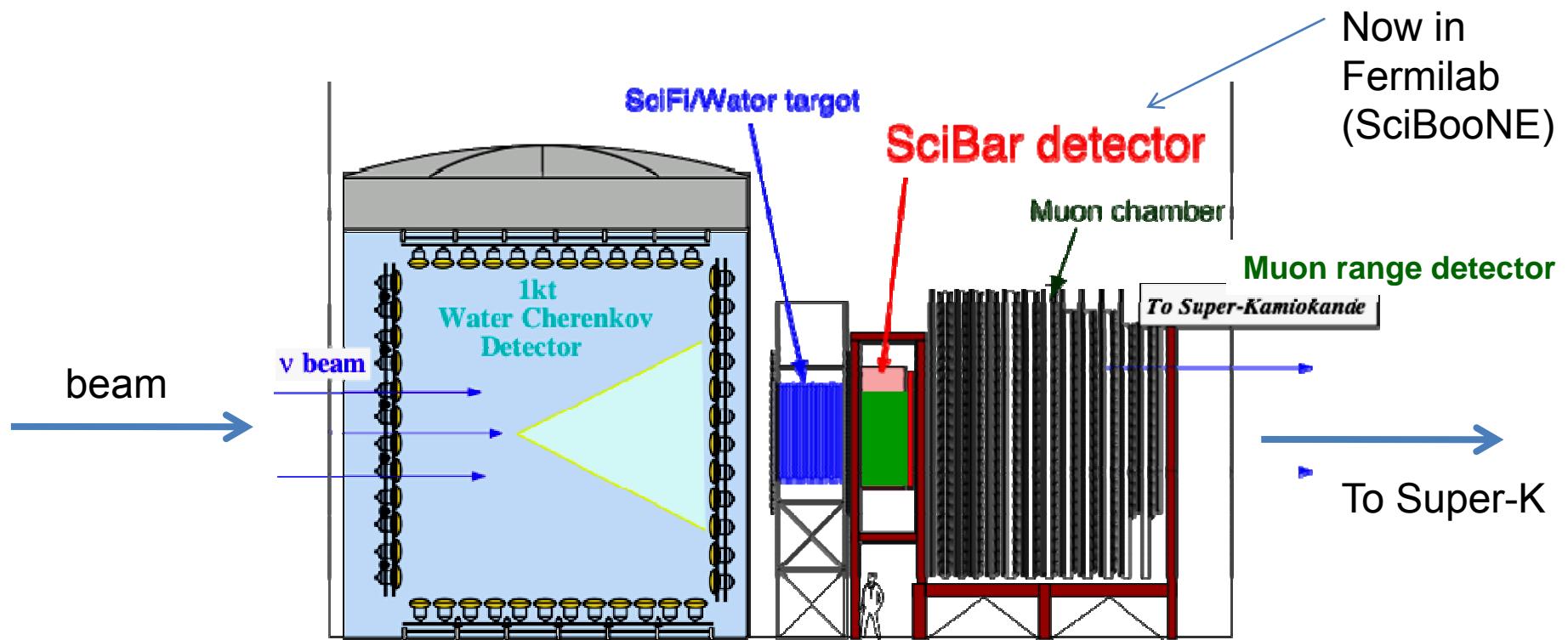
Neutrino oscillation probability for  $\Delta m^2 = 0.003 \text{ eV}^2$  and at 250km.



# Near detector measurements

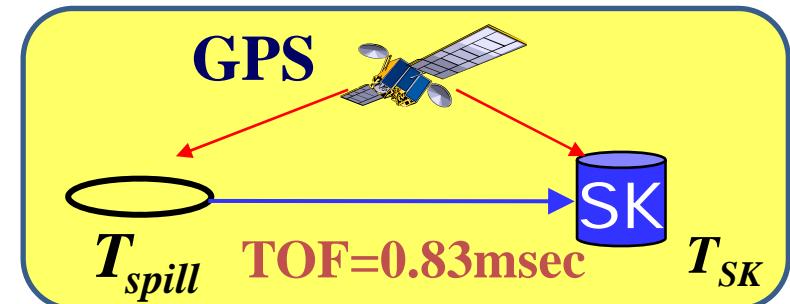
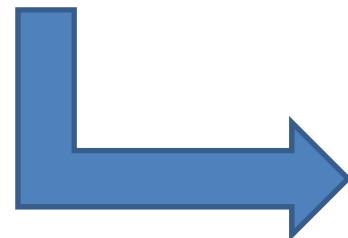
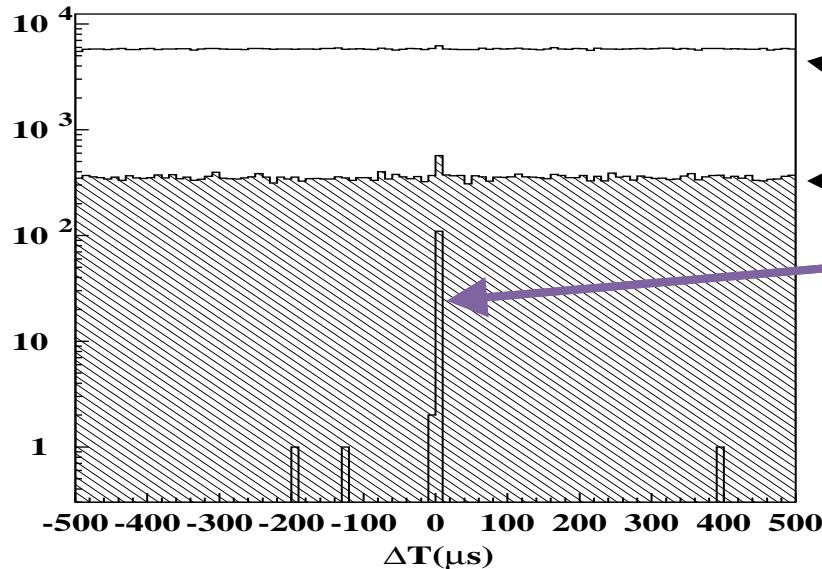
- 1KT Water Cherenkov Detector (1KT)
- Scintillating-fiber/Water sandwich Detector (SciFi)
- Lead Glass calorimeter (LG) before 2002
- Scintillator Bar Detector (SciBar) after 2003
- Muon Range Detector (MRD)

They predict the event rate and spectrum @ Super-K



# K2K events in Super-Kamiokande

K2K Neutrino events were searched for using timing.

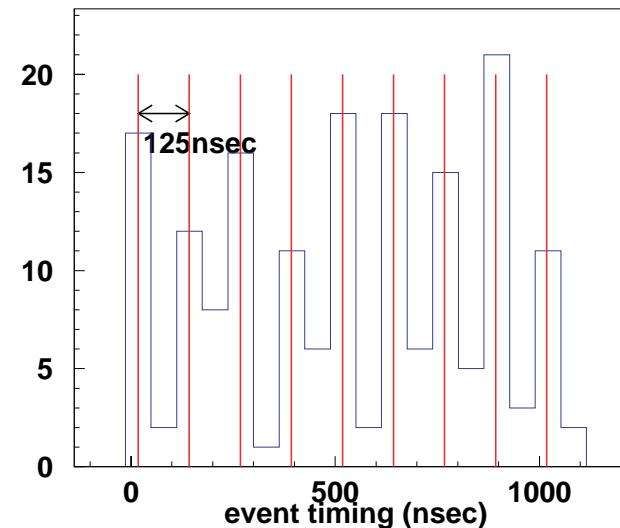


No pre-activity

$E_{\text{vis}} > 20\text{MeV}$

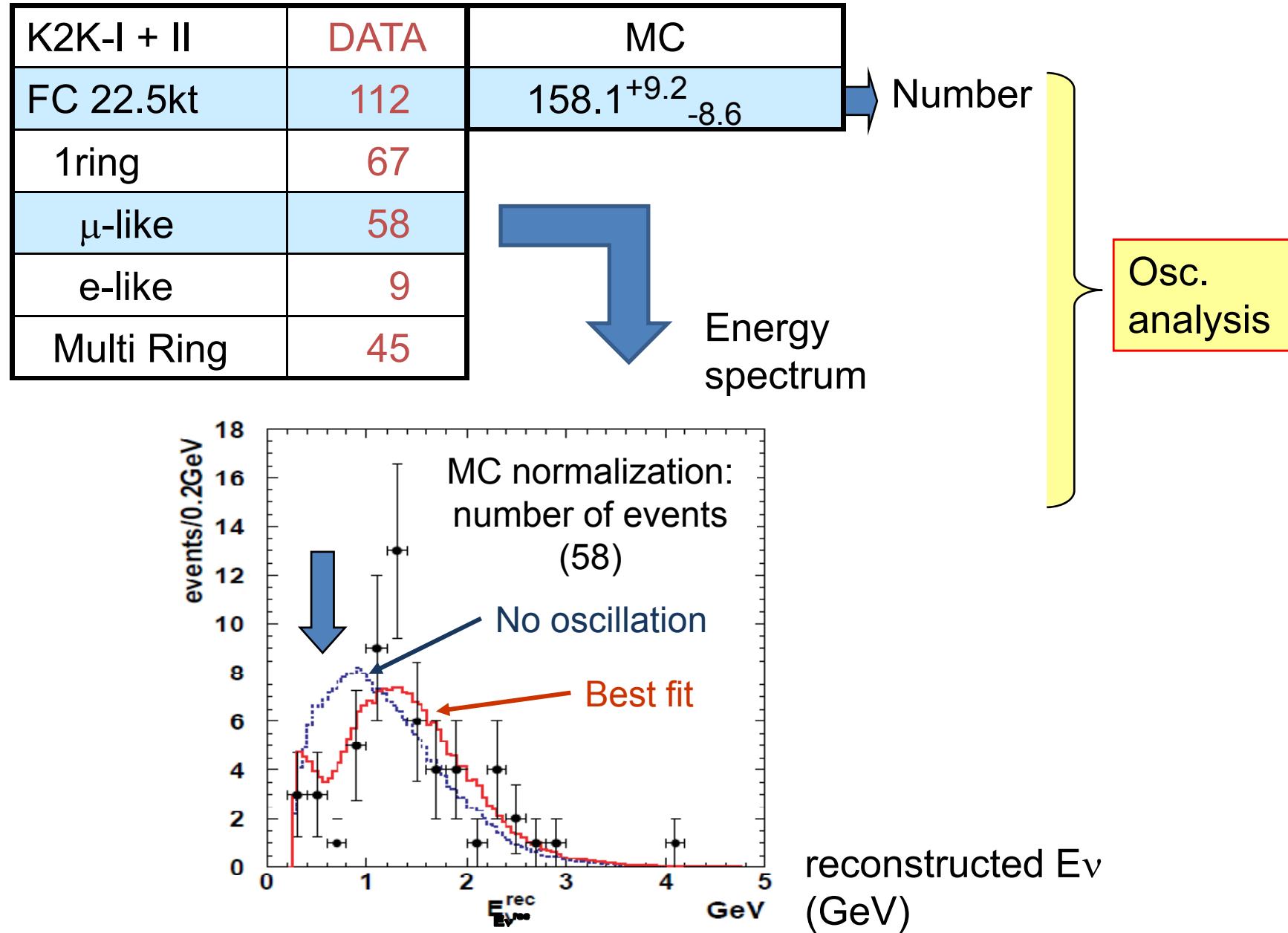
No activity in outer detector  
Event vertex in fiducial volume  
 $E_{\text{vis}} > 30\text{MeV}$

SK event timing (1bin=125/2 (nsec))



112 events observed.

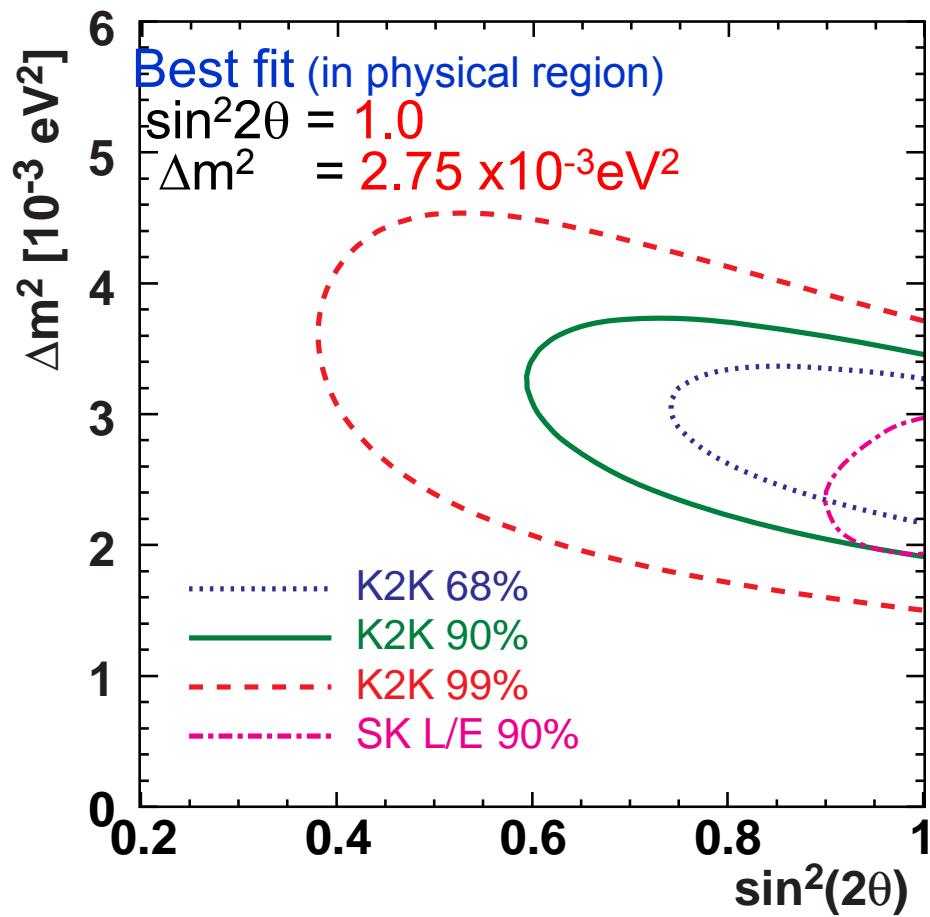
# K2K events in Super-Kamiokande



# $\nu_\mu \rightarrow \nu_\tau$ oscillation fit in K2K

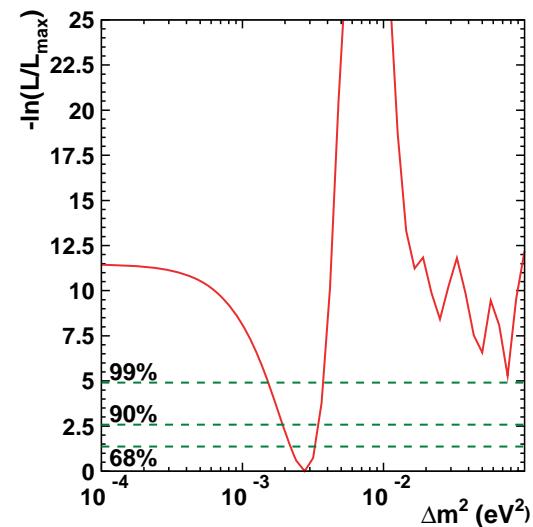
Hep-ex/0606032

Based on Number of events + Spectrum shape

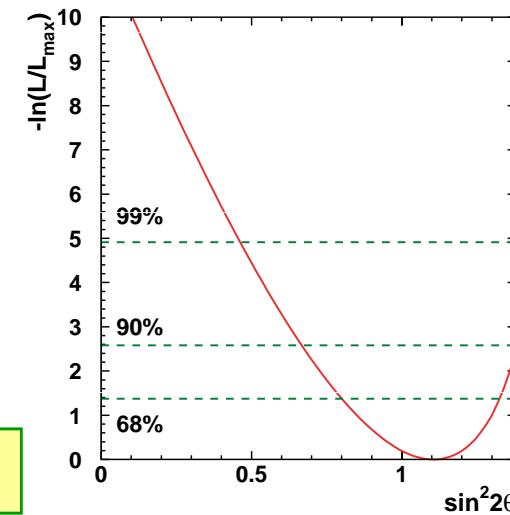


Consistent with the SK atmospheric neutrino result.

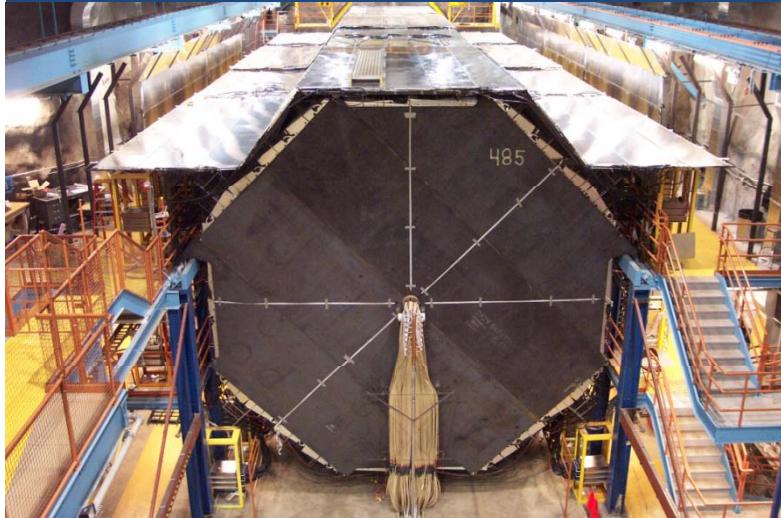
Δlikelihood @  $\sin^2 2\theta = 1.0$



Δlikelihood @  $\Delta m^2 = 2.8 \times 10^{-3}$



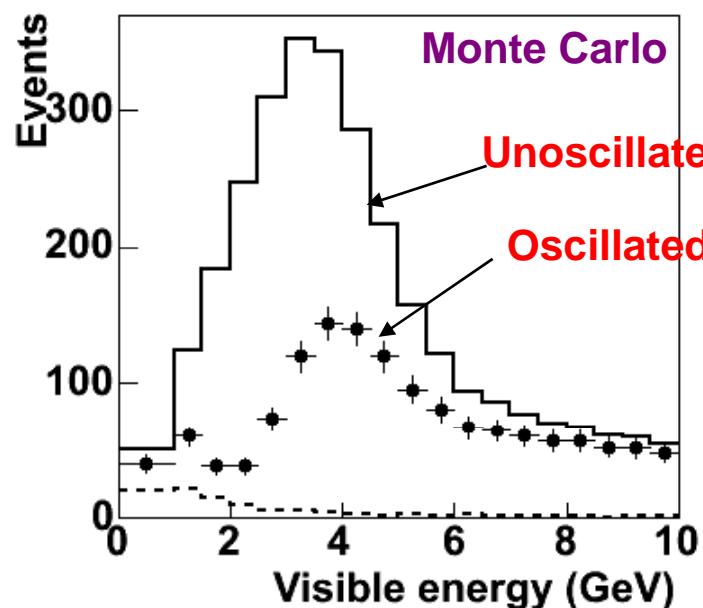
# The MINOS experiment and its results



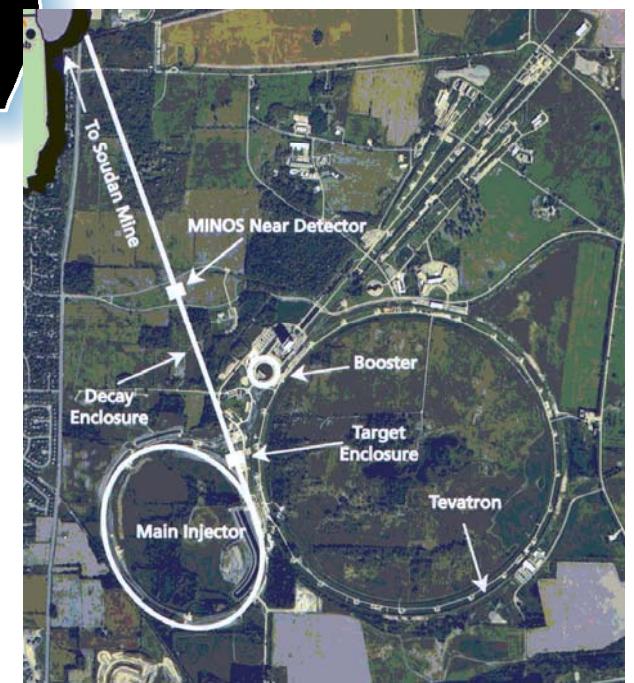
5.4 kton MINOS far detector



1 kton MINOS near detector



NuMI  
beam line



# *MINOS near and far detectors*

NEAR DETECTOR

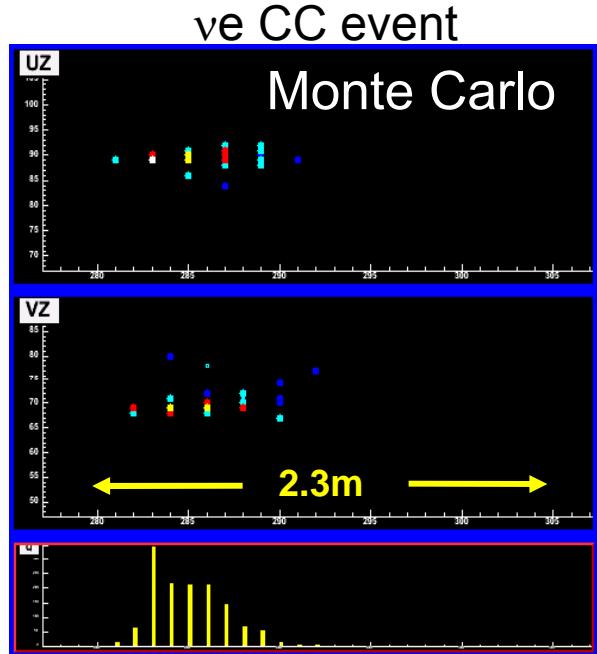
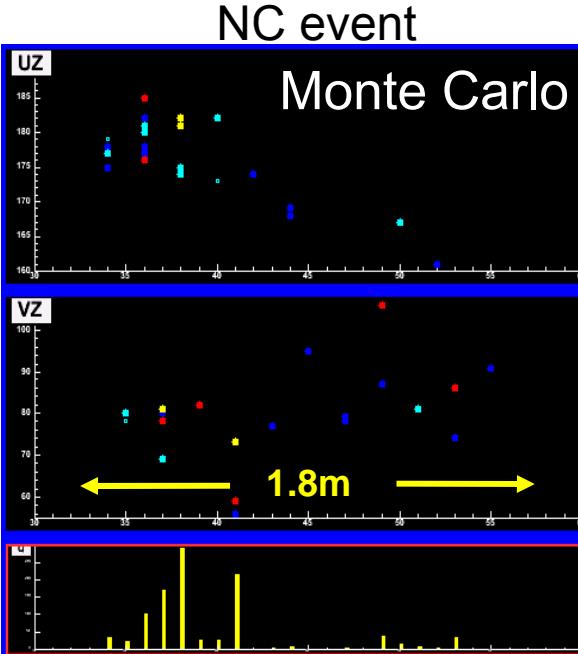
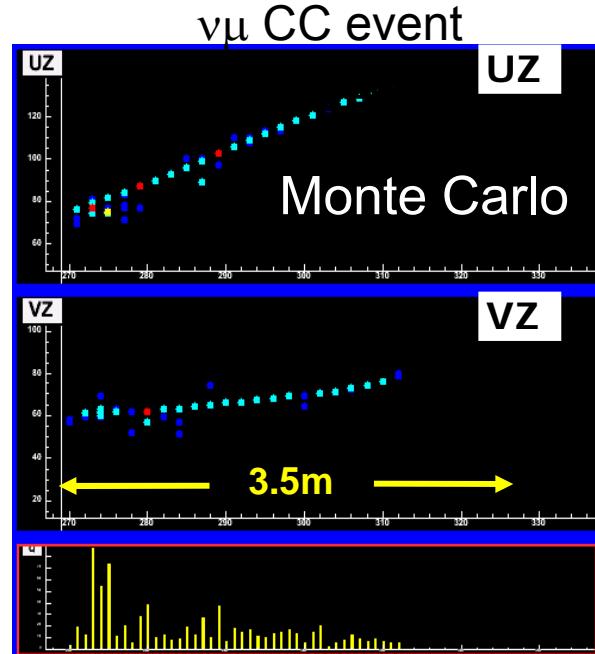


FAR DETECTOR



1	mass (kt)	5.4
3.8x4.8	plane size ( $m^2$ )	8x8
282/153	# steel/scint pl.	486/484
front: all pl. instrumented back: 1/5 pl. instrumented fast QIE electronics	specifics	veto shield for cosmics 8x optical multiplexing

# MINOS event topologies



- Long muon track + hadronic activity at vertex

- Short showering event, often diffuse

- Short event with typical EM shower profile

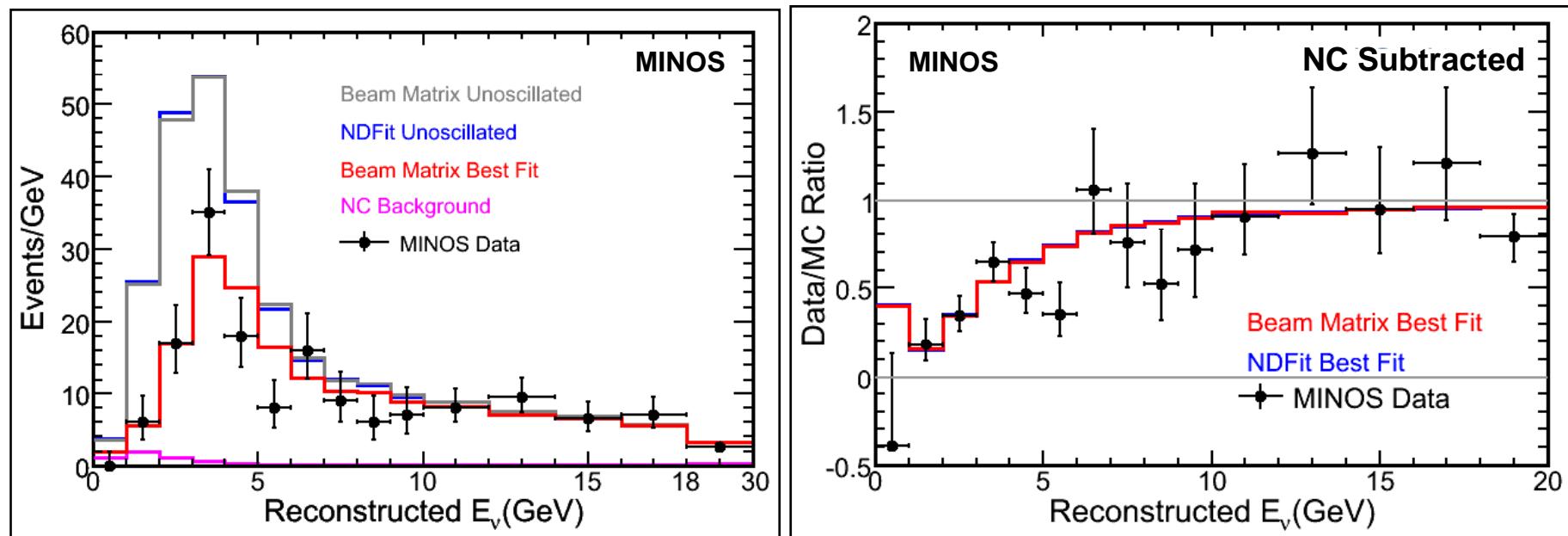
v<sub>μ</sub> CC is selected by;

- >=1 track
- Negative charge (curvature)
- Likelihood based on
  - Track length
  - Fraction of pulse height in a track
  - dE/dx

# *Number of events and energy spectrum*

From May 2005 to March 2006 ( $1.27 \times 10^{20}$  pot)

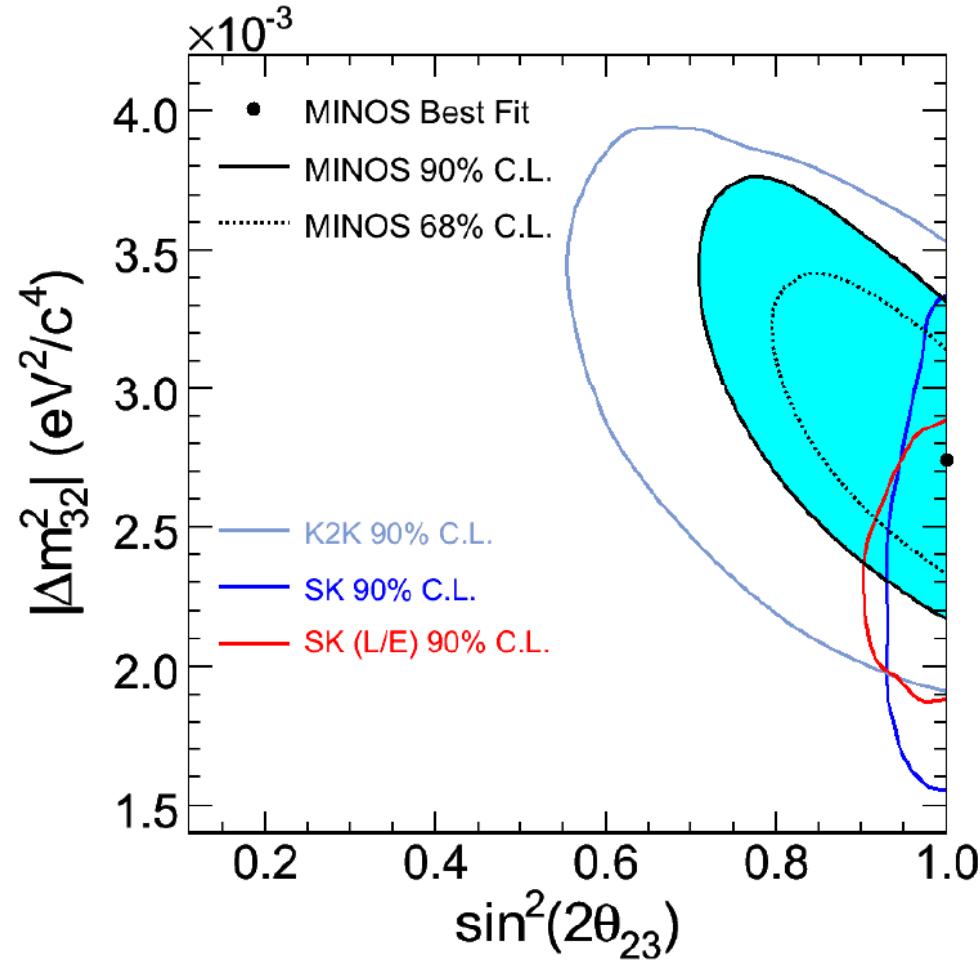
Data sample	observed	expected	ratio
$\nu_\mu$ only (<30 GeV)	215	$336 \pm 14$	$0.64 \pm 0.05$
$\nu_\mu$ only (<10 GeV)	122	$239 \pm 11$	$0.51 \pm 0.05$



Clear energy dependent deficit was observed !

# MINOS oscillation result

hep-ex/0607088



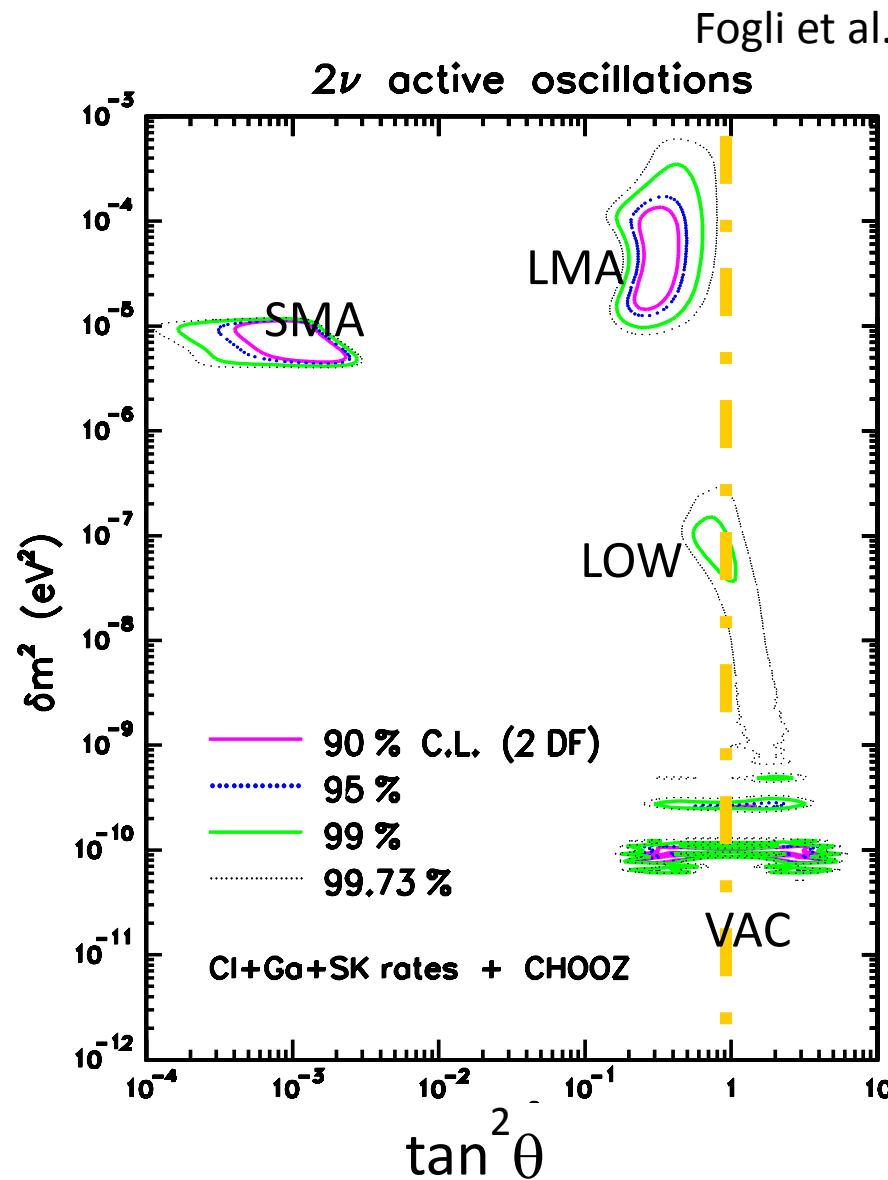
$$\Delta m_{23}^2 = 2.74^{+0.44}_{-0.25} \times 10^{-3} \text{ eV}^2$$
$$\sin^2 2\theta_{23} = 1.00^{+0.00}_{-0.13}$$

Results from SK atmospheric, K2K and MINOS agree well.  
At this moment,  $\sin^2 2\theta_{23}$ : atmospheric,  $\Delta m_{23}^2$ : atmospheric  
→ LBL.

# *KamLAND reactor neutrino oscillation experiments*

# The idea of KamLAND

Atsuto Suzuki



SMA and LMA solutions were equally likely in the 1990's (although many people believed that mixing angles should be small).

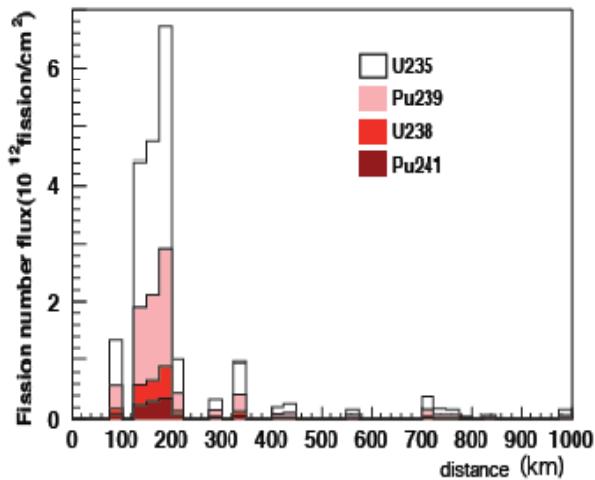
If LMA is the real solution, a reactor long baseline experiment can observe the oscillation.

Even if LMA is not the solution, this experiment can clearly exclude LMA.

→ Found there are many reactors in Japan...

→ Kamiokande no more used...

# Reactors around KamLAND



$$\langle L_\nu \rangle = 180 \text{ km}$$

$$\langle E_\nu \rangle = \text{a few MeV}$$



Sensitive to  $\Delta m^2 > 10^{-5} \text{ eV}^2$

However, the cross section is small....  $\Rightarrow$  need a lot of power.

Fortunately,

68GW available

(4% of the world's manmade power)  
(20% of the world's nuclear power)

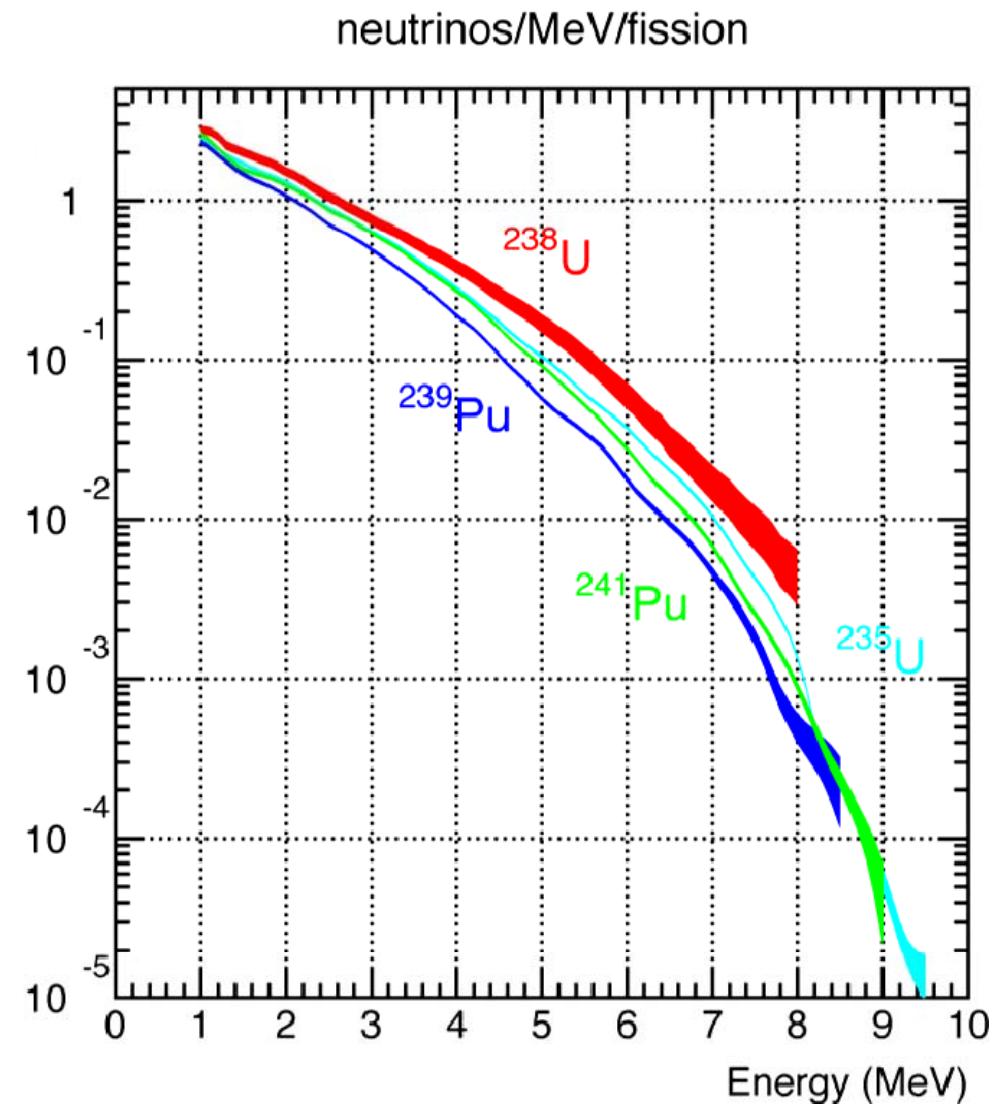
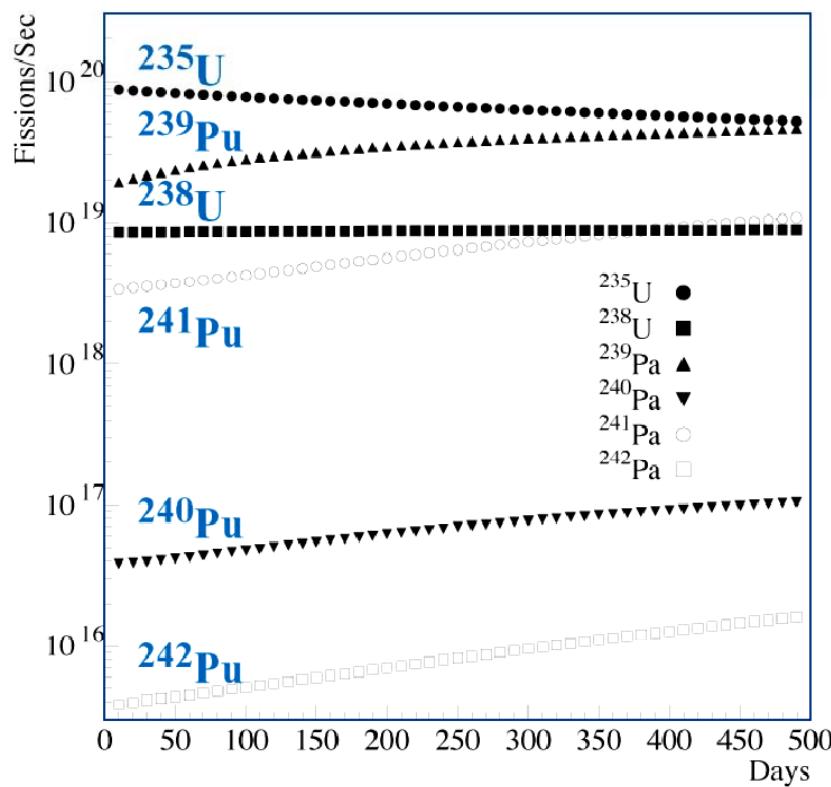
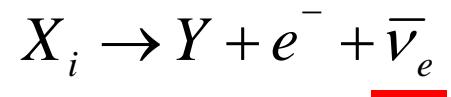
with no cost.

# *Anti- $\nu_e$ production in reactors*

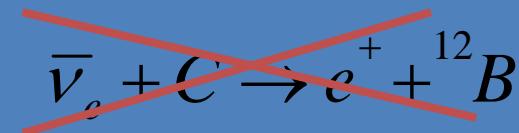
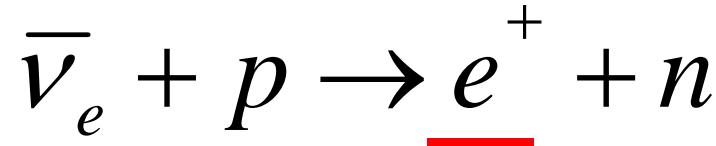
Fission reaction:



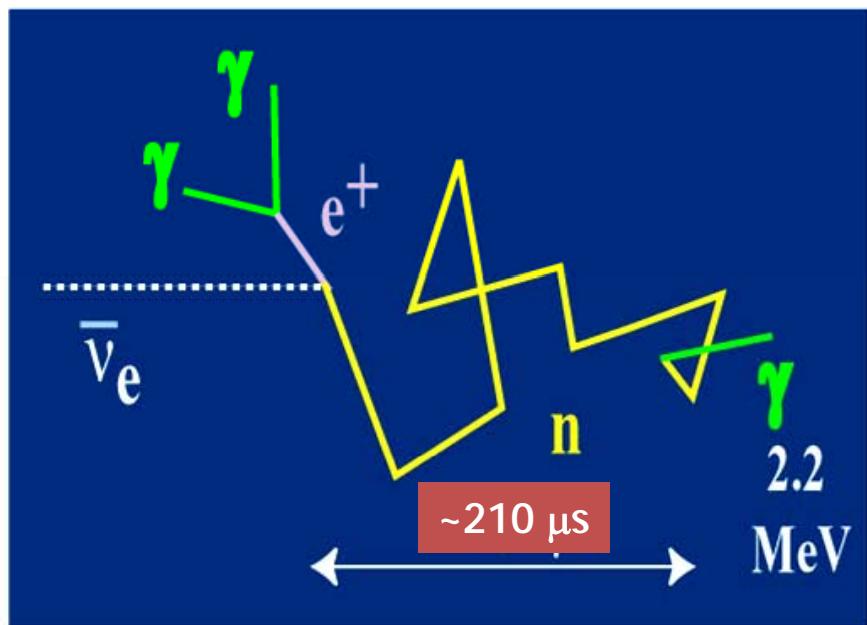
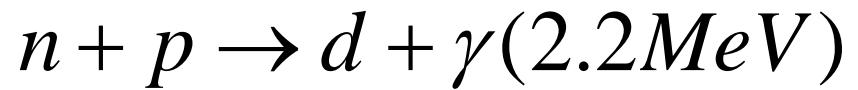
neutron rich



# *Detecting reactor neutrinos in Liq. scintillators*

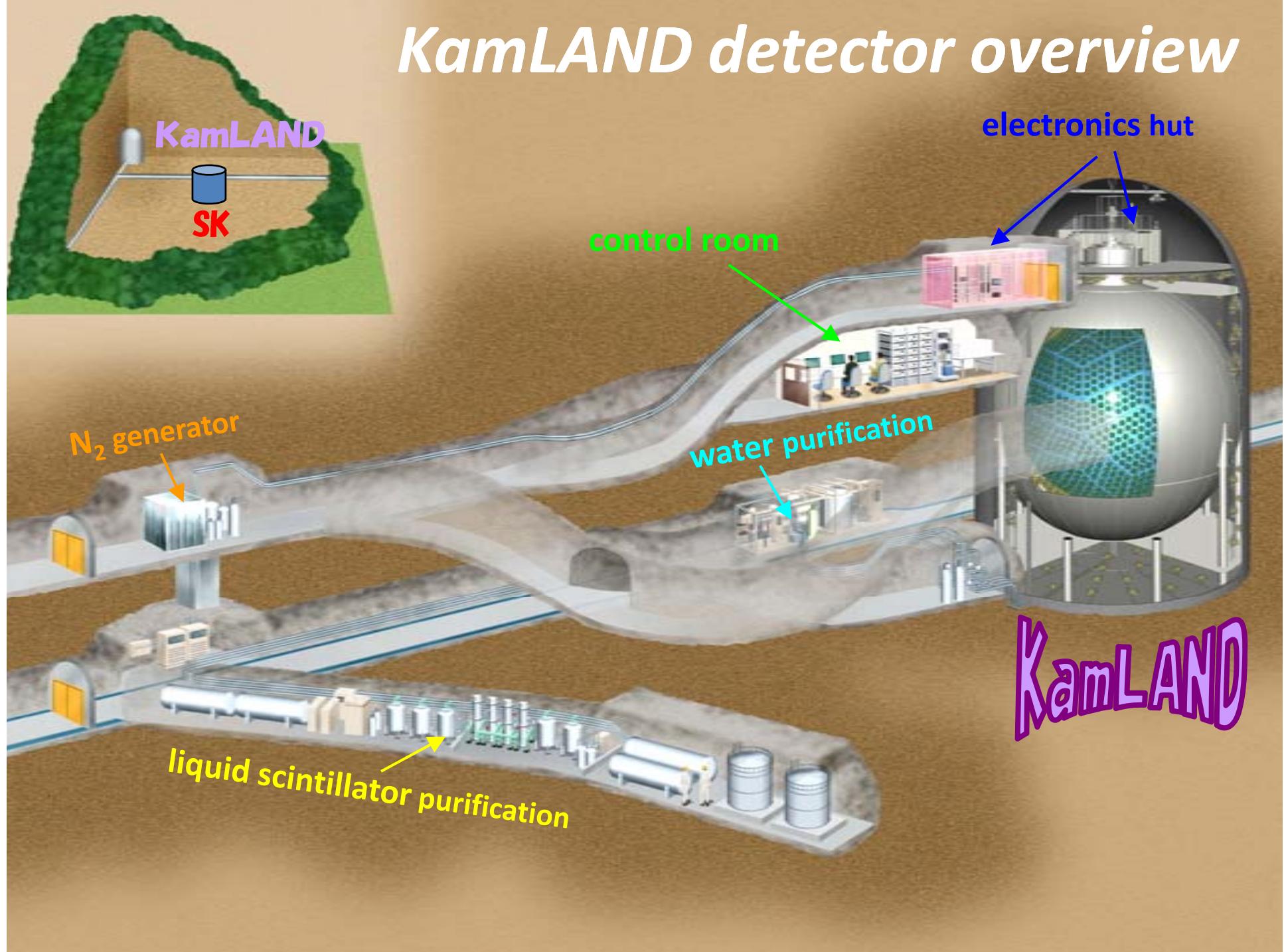


(energy,  $E\nu < 8\text{MeV}$ )

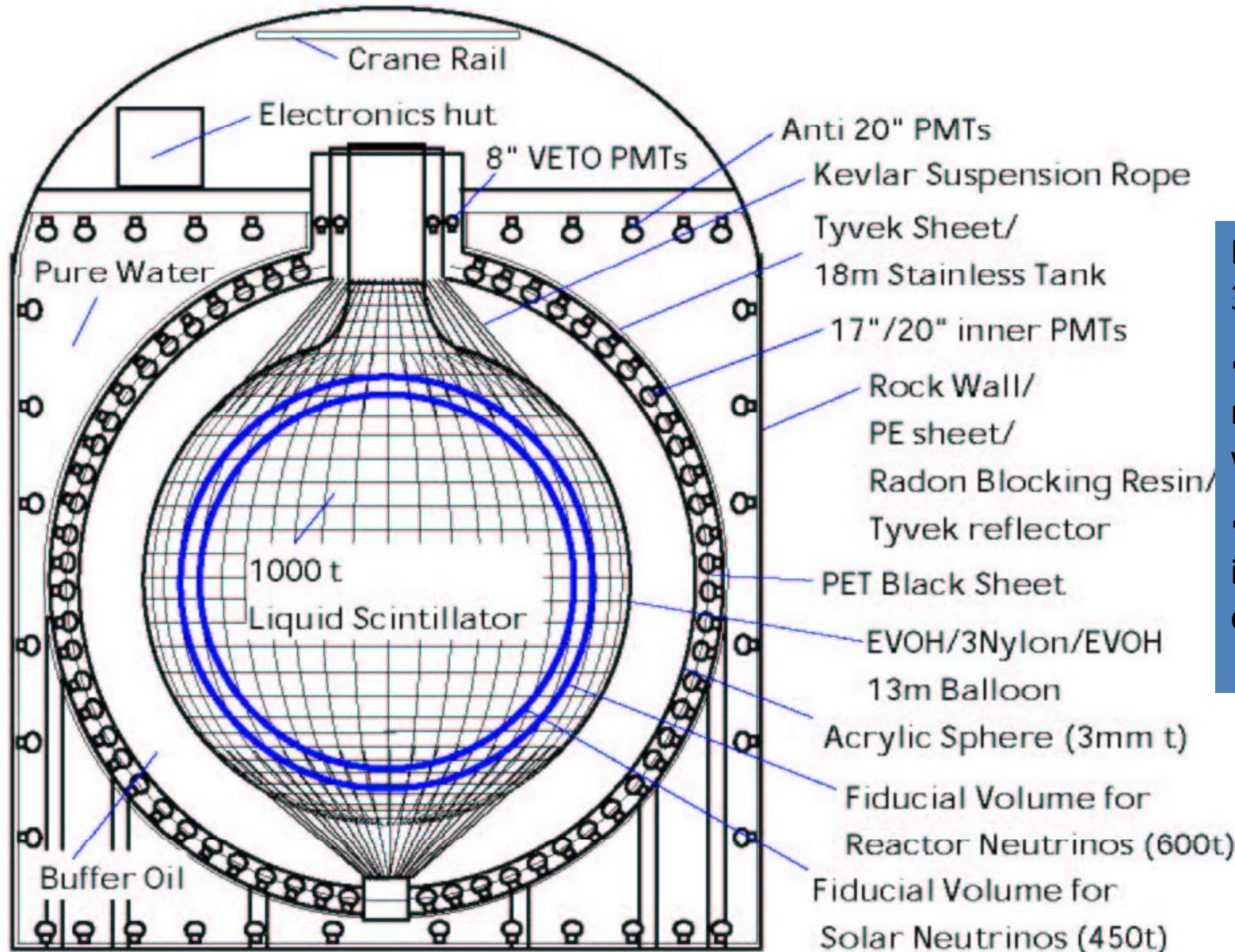


Coincidence of  $e^+$  and  $\gamma$  reduces the BG substantially

# KamLAND detector overview

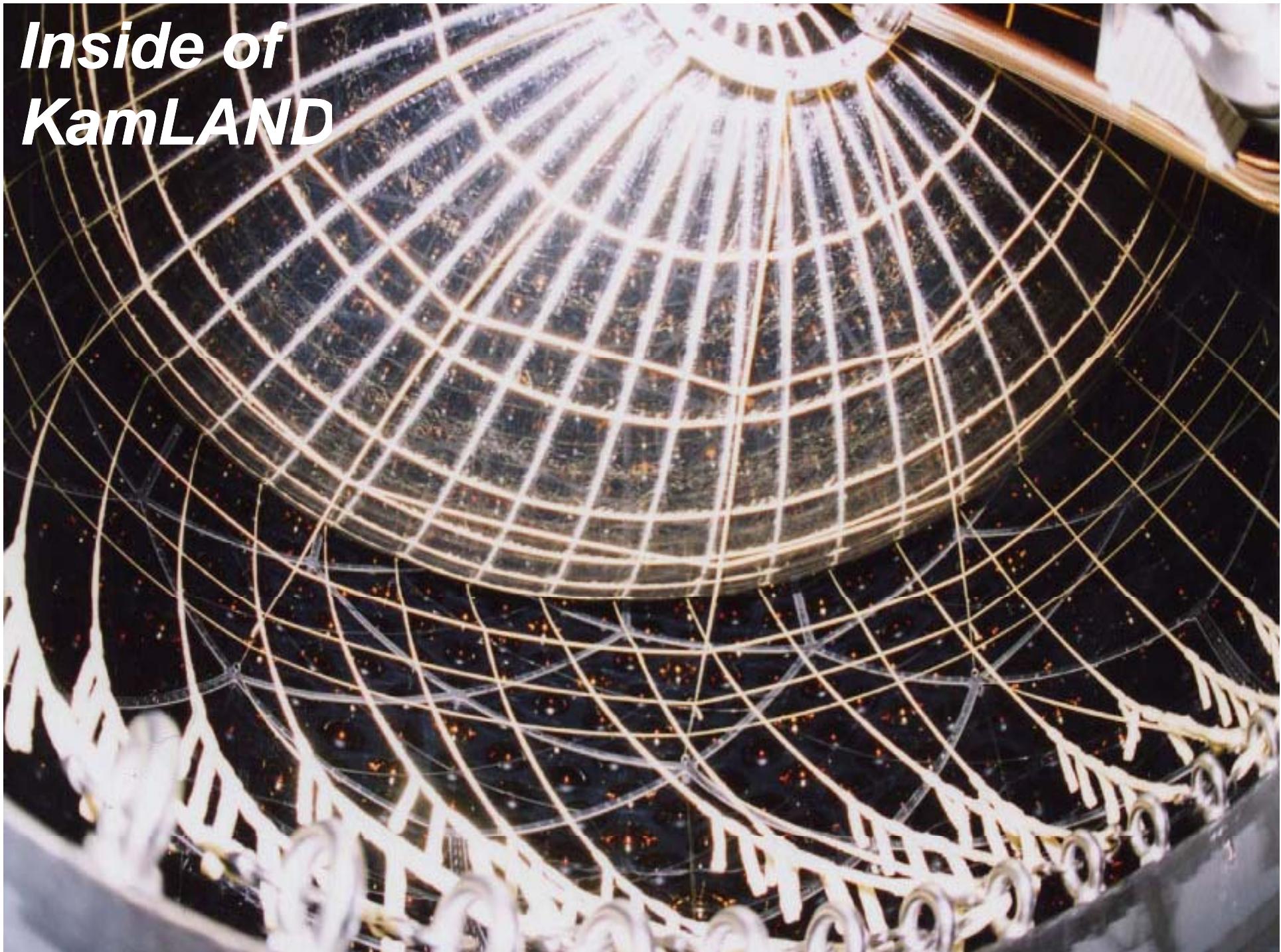


# KamLAND detector (1000 ton Liq. Sci. detector)

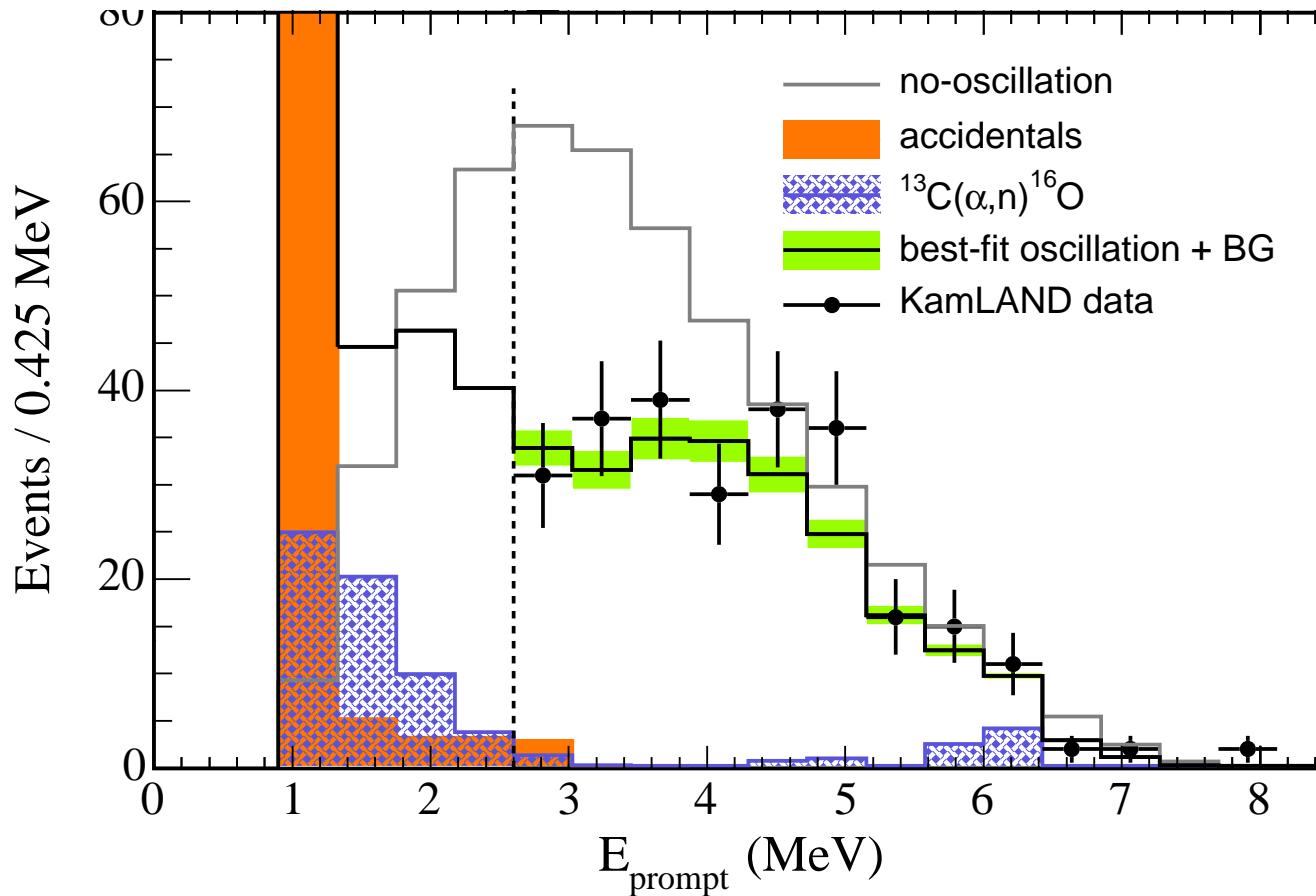


Light output = 320 p.e./MeV  
→ About 50 more light than water Cherenkov  
→ But no information on direction

# *Inside of KamLAND*



# *Reactor neutrino energy spectrum* @KamLAND



→ Clear energy dependent deficit of reactor neutrino events.

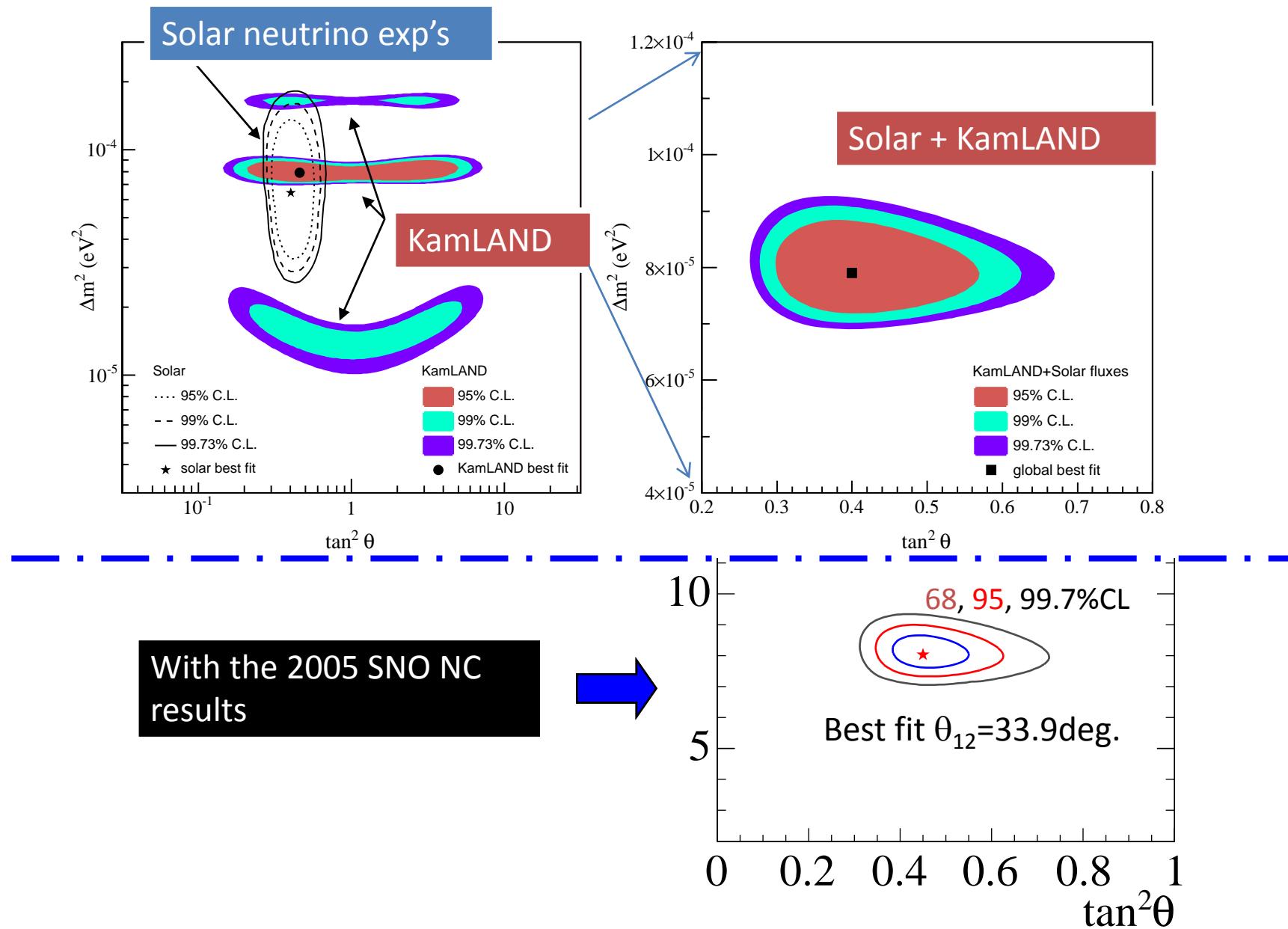
+

Known neutrino flight length



Accurate measurement of  $\Delta m_{12}^2$

# Allowed $(\Delta m_{12}^2, \theta_{12})$ parameter region



*Really oscillation ?*

**YES !**

# Really oscillation ?

Before 2004, what we knew was that neutrinos change flavor if they propagate a long enough distance.

People consider other mechanisms to change the neutrino flavor. For example they were neutrino decay or neutrino decoherence models.

oscillation

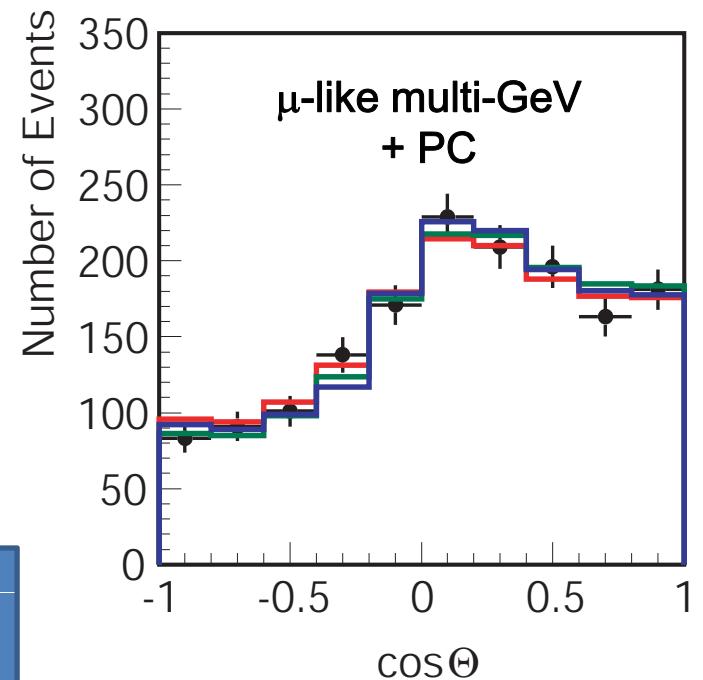
decoherence

$$P_{\mu\mu} = 1 - \frac{1}{2} \sin^2 2\theta \cdot \left(1 - \exp\left(-\gamma_0 \frac{L}{E}\right)\right)$$

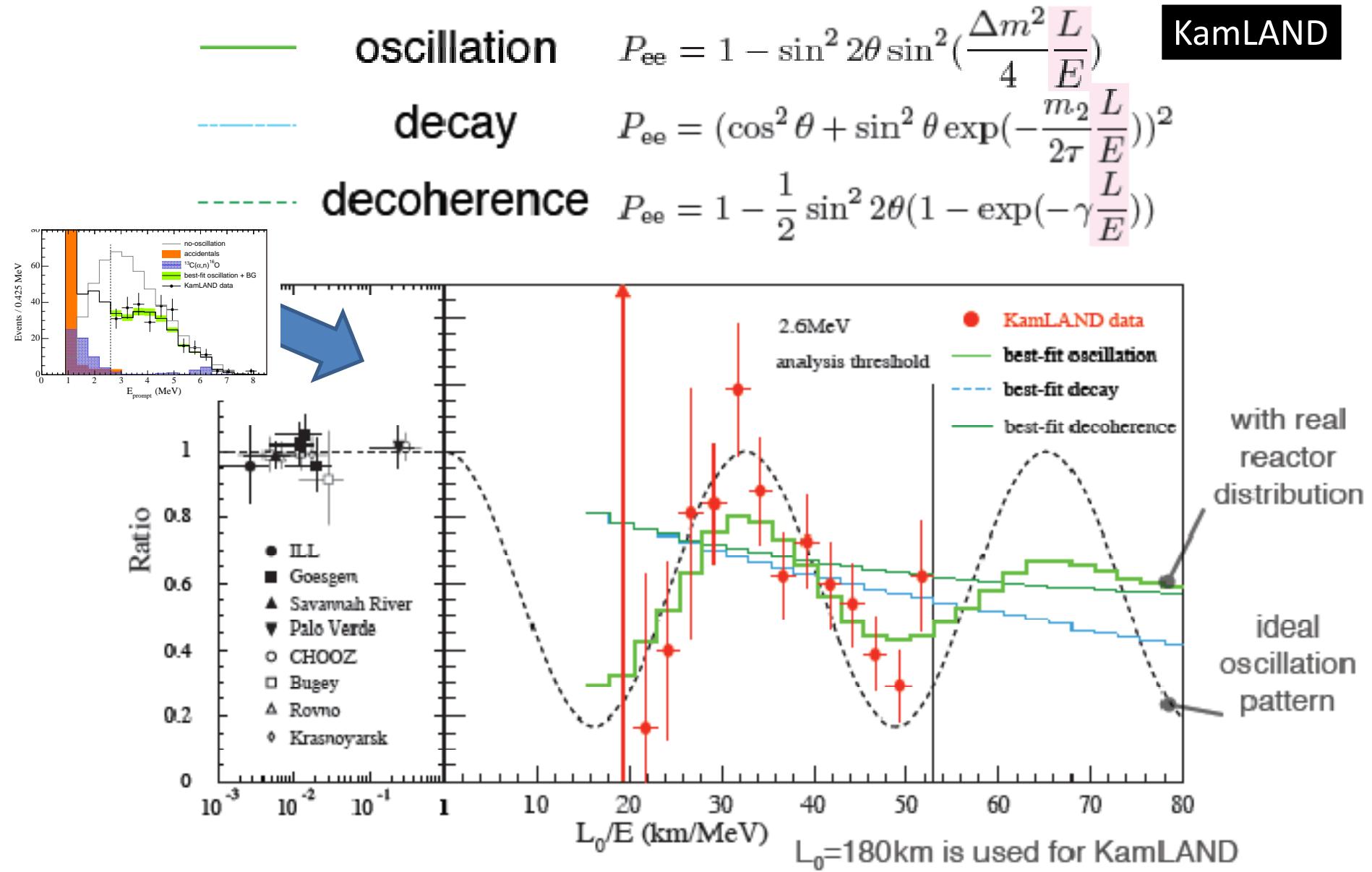
decay

$$P_{\mu\mu} = \left(\cos^2 \theta + \sin^2 \theta \cdot \exp\left(-\frac{m}{2\tau} \frac{L}{E}\right)\right)^2$$

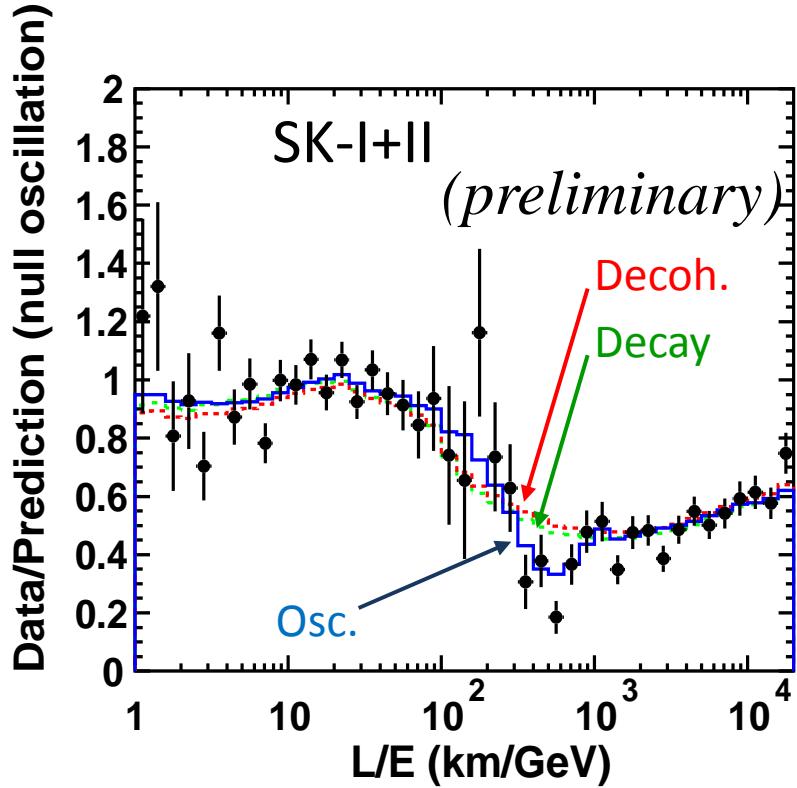
These models explained the atmospheric neutrino data remarkably well.



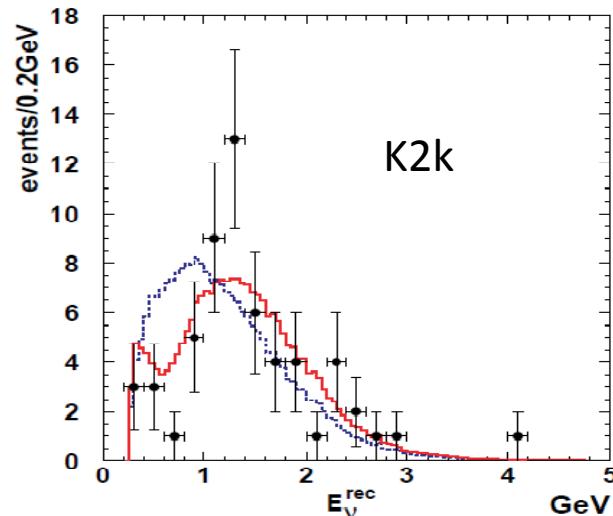
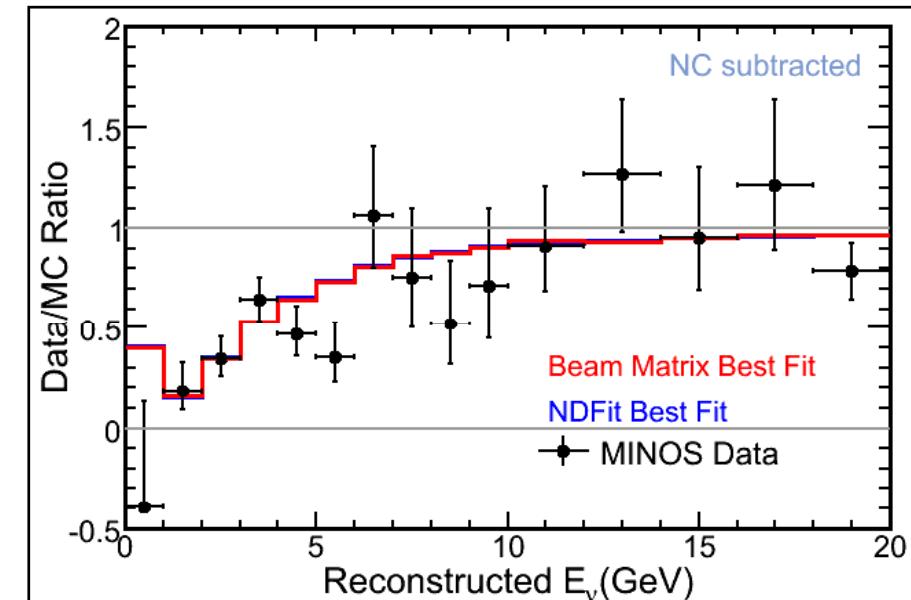
# Really oscillation: YES !



# Really oscillation: YES !



Oscillation gives the best fit to the data.  
Decay and decoherence models disfavored by 4.8 and 5.3  $\sigma$ , resp.



All these data consistently favor oscillations.

$\nu_\mu$  to  $\nu_\tau$  or  $\nu_\mu$  to  $\nu_{sterile}$  ?

# Oscillation to $\nu_\tau$ or $\nu_{\text{sterile}}$ ?

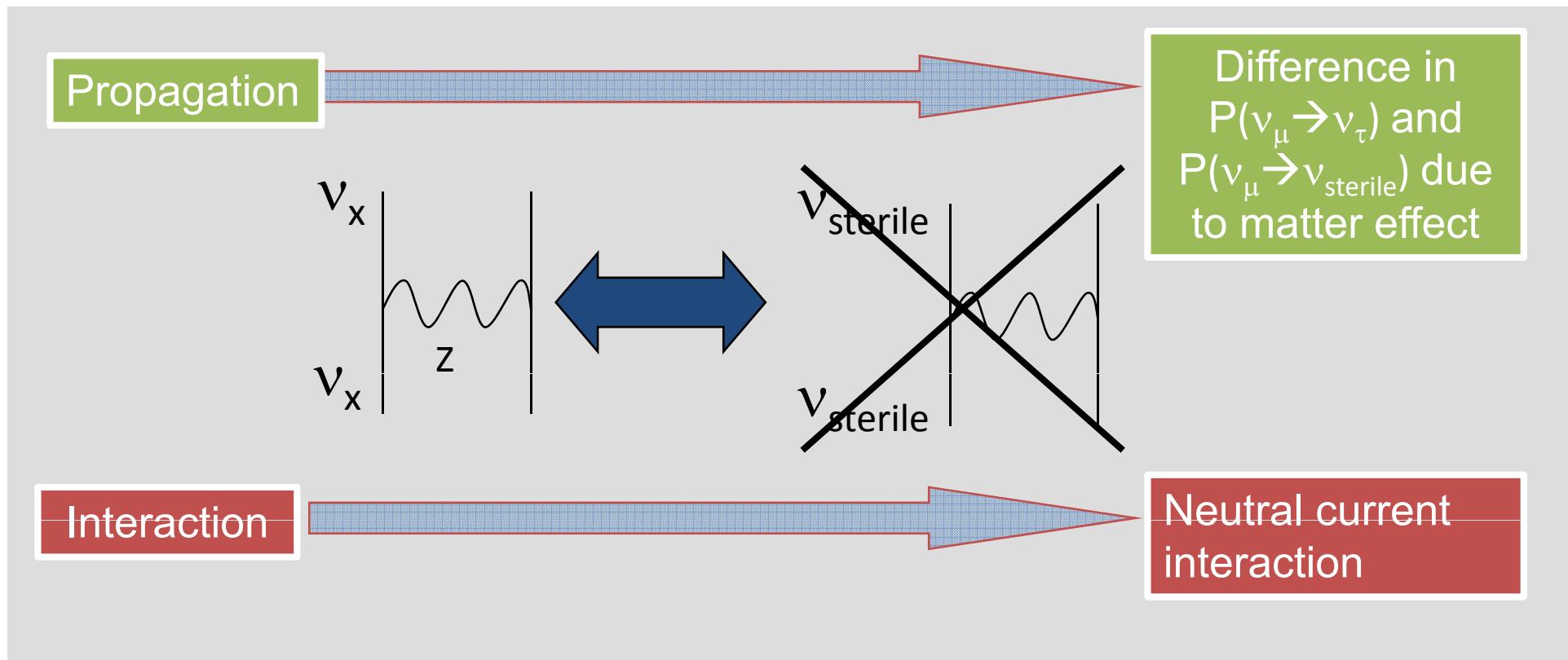
$\mu$  data show L and energy dependent deficit of events, while e (in atmospheric neutrino exp) data show no such effect.



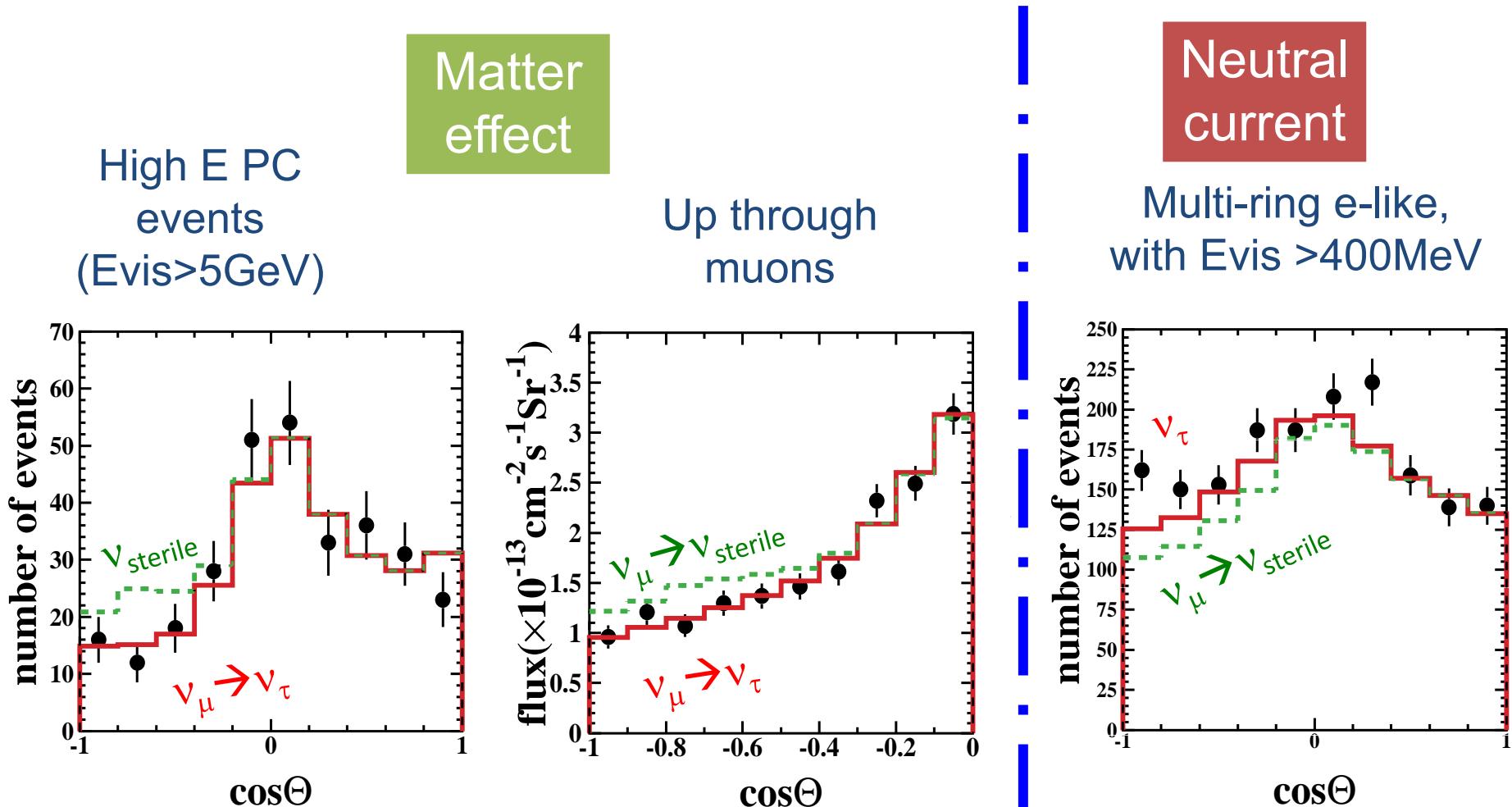
$\nu_\mu \rightarrow \nu_\tau$

or

$\nu_\mu \rightarrow \nu_{\text{sterile}}$



# Testing $\nu_\mu \rightarrow \nu_\tau$ vs. $\nu_\mu \rightarrow \nu_{\text{sterile}}$



Pure  $\nu_\mu \rightarrow \nu_{\text{sterile}}$  excluded

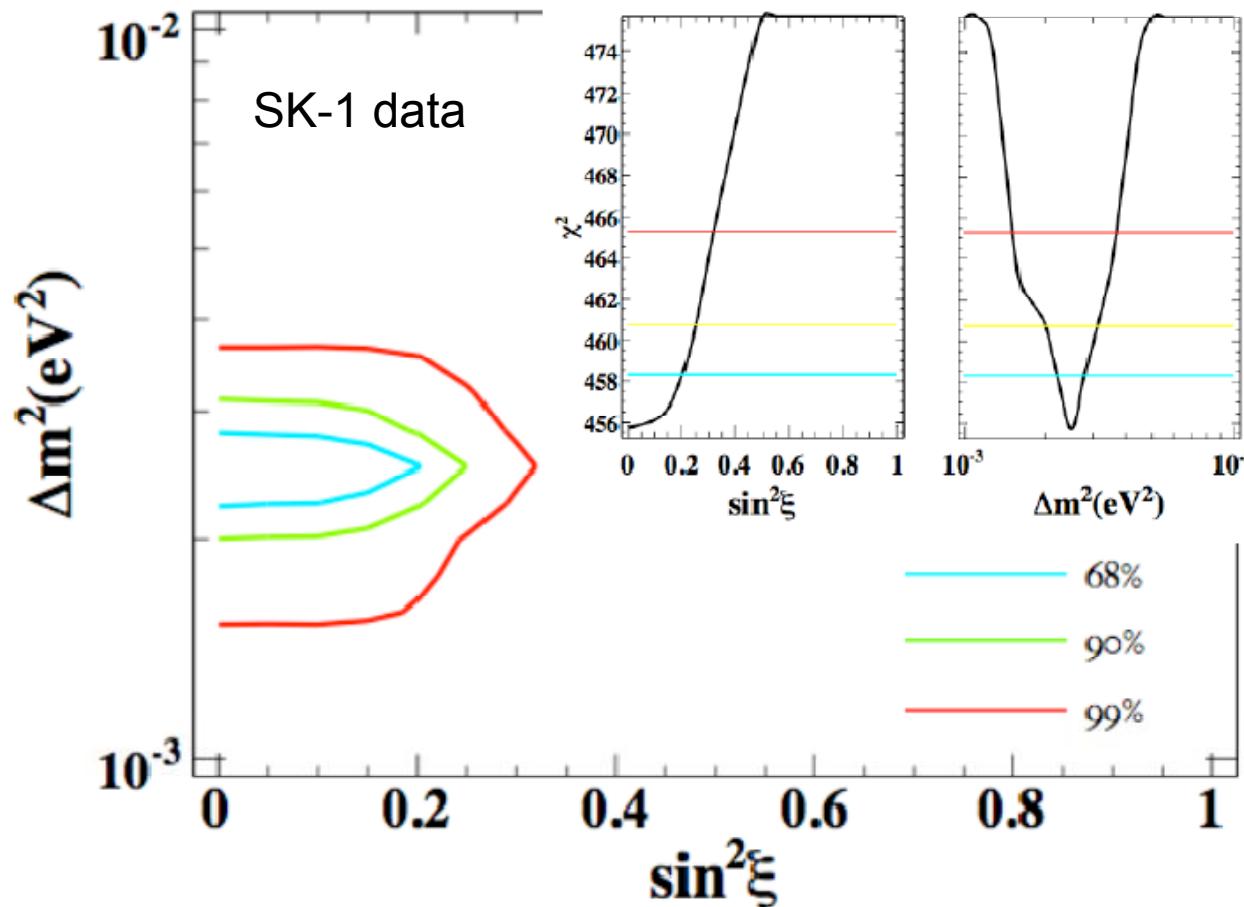
(PRL85,3999  
(2000))

# *Limit on oscillations to $\nu_{\text{sterile}}$*

$$\nu_\mu \rightarrow (\sin\xi \cdot \nu_{\text{sterile}} + \cos\xi \cdot \nu_\tau)$$

If pure  $\nu_\mu \rightarrow \nu_\tau$ ,  $\sin^2\xi = 0$

If pure  $\nu_\mu \rightarrow \nu_{\text{sterile}}$ ,  $\sin^2\xi = 1$



Consistent  
with pure  
 $\nu_\mu \rightarrow \nu_\tau$

SK collab. draft in  
preparation

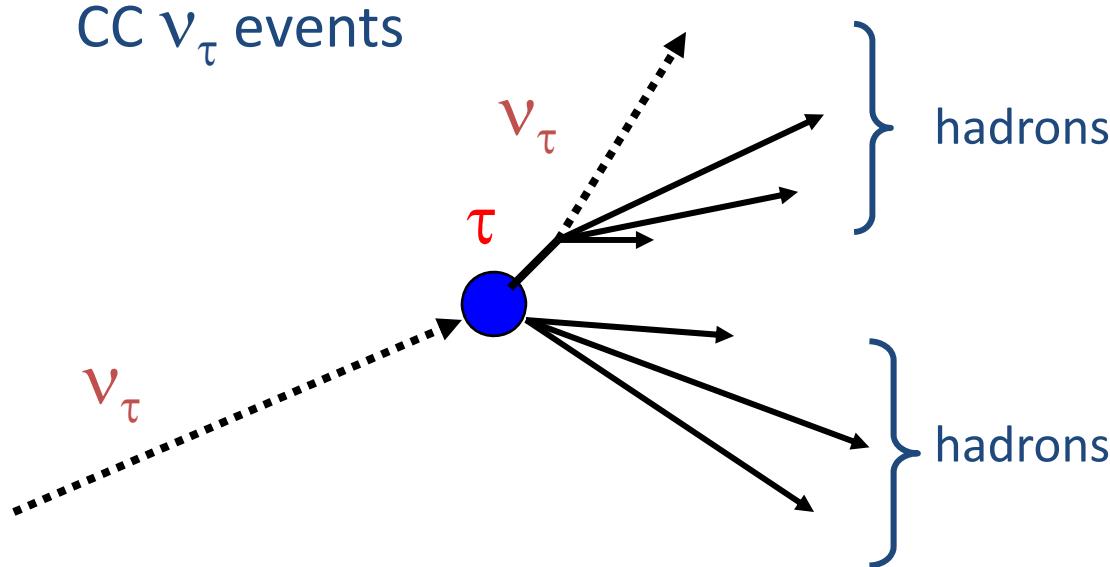
# *Tagging appeared neutrino flavor ?*

*(Here we consider only  $\nu_\tau$  oscillated from  $\nu_\mu$ .)*

# *Tau neutrino appearance search*

- We only consider  $\nu_\tau$  appearance from the initial  $\nu_\mu$  beam. (Solar and reactor neutrino energies are much below the  $\nu_\mu$  and  $\nu_\tau$  CC interactions.)
- The threshold for the CC  $\nu_\tau$  interaction is about 3.5GeV.
  - ➔ K2K cannot observe CC  $\nu_\tau$  interactions.
  - ➔ Atmospheric neutrino experiments could...

# Search for CC $\nu_\tau$ events (SK-I)

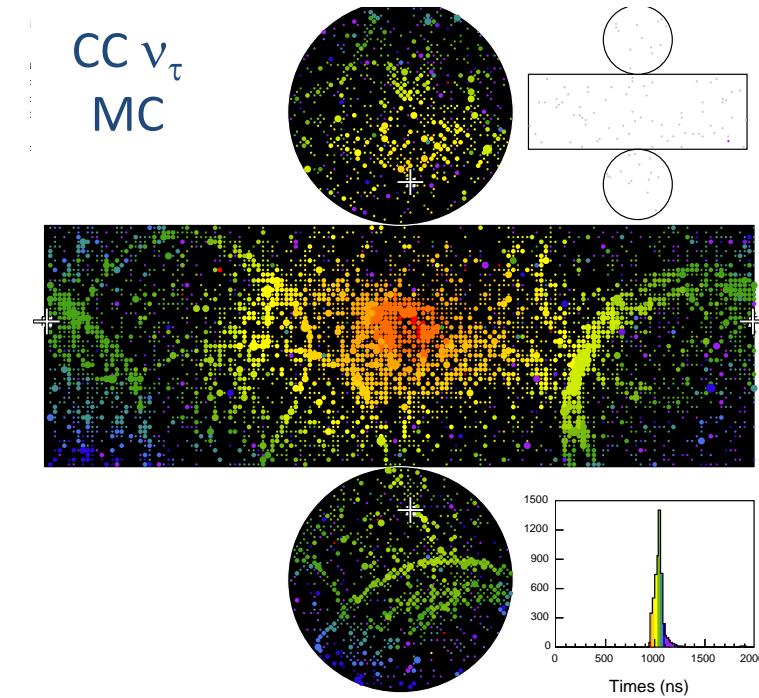


- Many hadrons ....  
(But no big difference with the other (NC) events.)

BAD  $\rightarrow$   $\tau$ -likelihood analysis

- Upward going only

GOOD  $\rightarrow$  Zenith angle



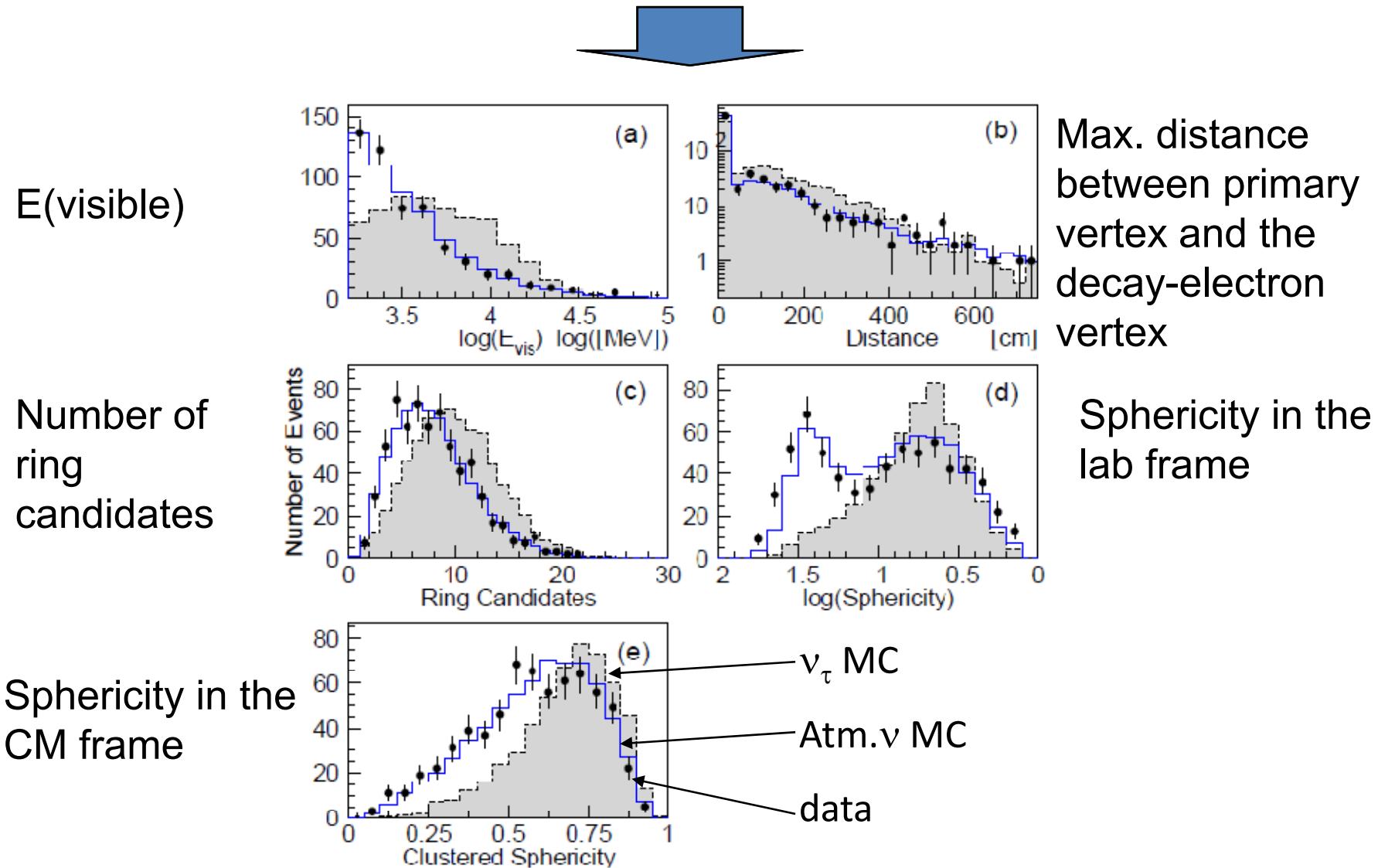
Only  $\sim 1.0$  CC  $\nu_\tau$   
FC events/kton·yr



(BG (other  $\nu$  events)  
 $\sim 130$  ev./kton·yr)

# Selection of $\nu_\tau$ events

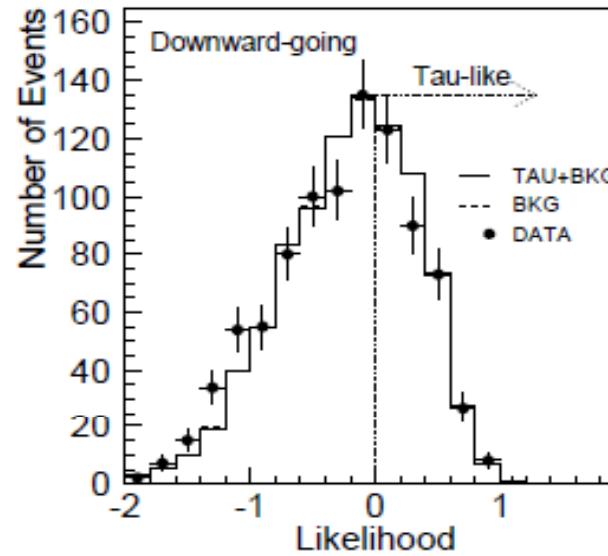
Pre-cuts:  $E(\text{visible}) > 1,33\text{GeV}$ , most-energetic ring = e-like



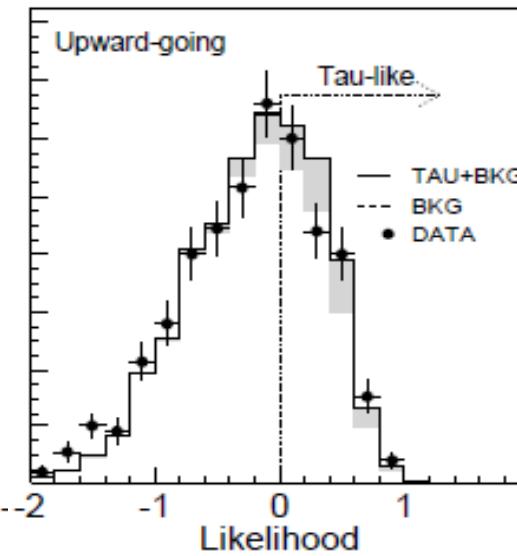
# Likelihood / neural-net distributions

Likelihood

Down-going (no  $v_\tau$ )

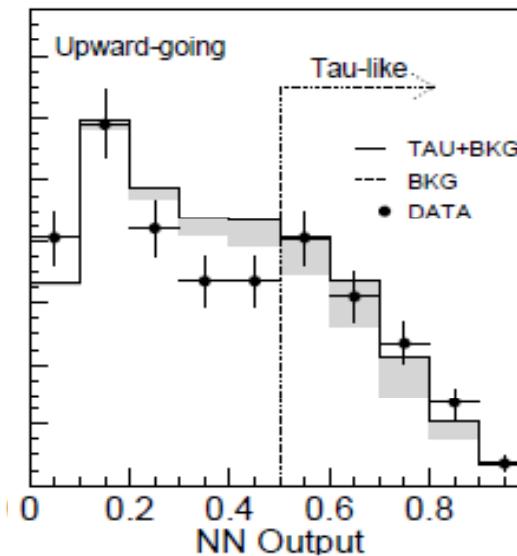
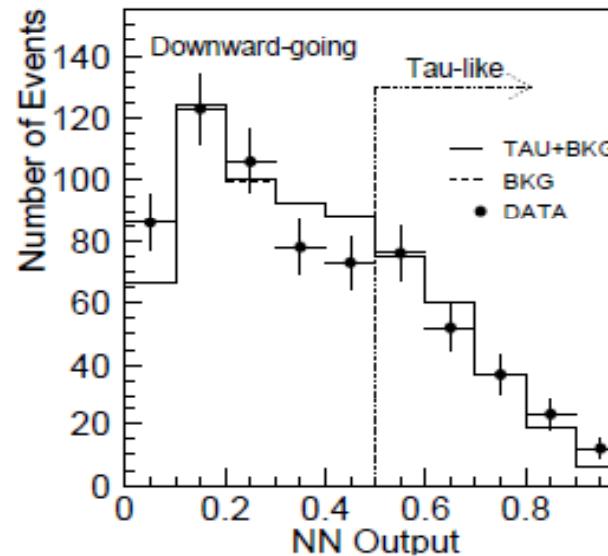


Up-going



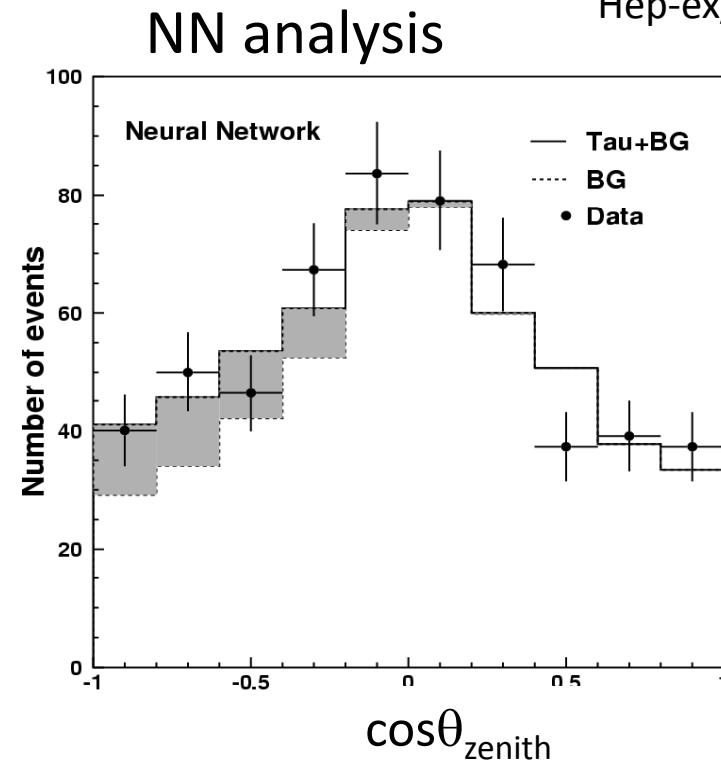
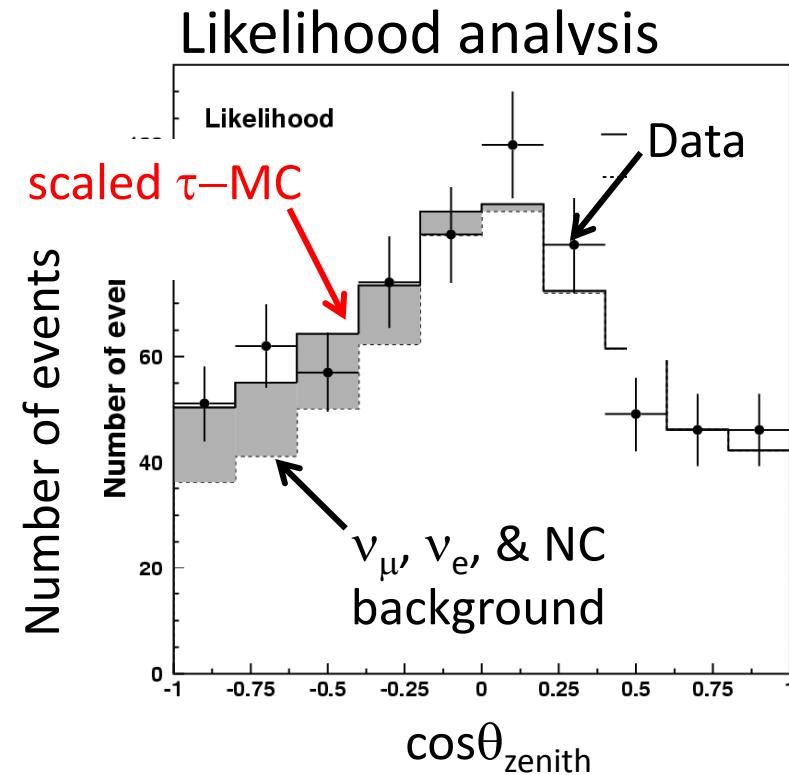
Zenith-angle

Neural-net



# Zenith angle dist. and fit results

Hep-ex/0607059



Fitted number  
of  $\tau$  events  
Exp'd number  
of  $\tau$  events

**$138 \pm 48(\text{stat}) +15 / -32(\text{syst})$**

**$134 \pm 48(\text{stat}) +16 / -27(\text{syst})$**

**$78 \pm 26(\text{syst})$**

**$78 \pm 27 (\text{syst})$**

Zero tau neutrino interaction is disfavored at  $2.4\sigma$ .

In the future, we expect a stronger evidence for  $\nu_\tau$  appearance (OPERA).

# *Summary*

- Atmospheric, solar, reactor and accelerator experiments gave strong “evidence for flavor change and oscillations”.
- We already know;  $\Delta m_{12}^2$ ,  $\sin^2 \theta_{12}$ ,  $|\Delta m_{23}^2|$  and  $\sin 2\theta_{23}$  rather accurately.
- However, there are several unknown neutrino oscillation parameters.
- We believe that the neutrino masses and mixings are keys to our deeper understanding of the nature. Future experiments should measure these unknown parameters.

*Enjoy the rest of the school!*

*Thank you!*



# Definition of $\chi^2$

$$L(N_{\text{exp}}, N_{\text{obs}}) = \prod_{n=1}^{760} \frac{\exp(-N_{\text{exp}}^n)(N_{\text{exp}}^n)^{N_{\text{obs}}^n}}{N_{\text{obs}}^n !} \times \prod_{i=1}^{70} \exp\left(\frac{-\varepsilon_i^2}{2\sigma_i^2}\right)$$

Number of data bins      Number of syst error terms

Poisson with systematic errors

$$\chi^2 \equiv -2 \ln \left( \frac{L(N_{\text{exp}}, N_{\text{obs}})}{L(N_{\text{obs}}, N_{\text{obs}})} \right) = \sum_{n=1}^{760} \left[ 2(N_{\text{exp}}^n - N_{\text{obs}}^n) + 2N_{\text{obs}}^n \ln \left( \frac{N_{\text{obs}}^n}{N_{\text{exp}}^n} \right) \right] + \sum_{i=1}^{70} \left( \frac{\varepsilon_i}{\sigma_i} \right)^2$$

$$N_{\text{exp}} = N_{MC} \cdot P(\nu_\mu \rightarrow \nu_\mu \text{ (for } CC \nu_\mu)) \cdot (1 + \sum_{j=1}^{70} f_j \cdot \varepsilon_j)$$

$N_{\text{obs}}$  : observed number of events

$N_{\text{exp}}$  : expectation from MC

$\varepsilon_i$  : systematic error term

$\sigma_i$  : sigma of systematic error

$\chi^2$  minimization at each parameter point ( $\Delta m^2$ ,  $\sin^2 2\theta$ , ...).

Method ( $\chi^2$  version): G.L.Fogli et al., PRD 66, 053010 (2002).

# 70 systematic error terms

- (Free parameter) flux absolute normalization
- Flux;  $(\nu_{\mu} + \text{anti-}\nu_{\mu}) / (\nu_e + \text{anti-}\nu_e)$  ratio (  $E_{\nu} < 5\text{GeV}$  )
- Flux;  $(\nu_{\mu} + \text{anti-}\nu_{\mu}) / (\nu_e + \text{anti-}\nu_e)$  ratio (  $E_{\nu} > 5\text{GeV}$  )
- Flux;  $\nu_{\mu}/\nu_e$  ratio (  $E_{\nu} < 10\text{GeV}$  )
- Flux;  $\nu_{\mu}/\nu_e$  ratio (  $E_{\nu} > 10\text{GeV}$  )
- Flux;  $\nu_{\mu}/\nu_{\mu}$  ratio (  $E_{\nu} < 10\text{GeV}$  )
- Flux;  $\nu_{\mu}/\nu_{\mu}$  ratio (  $E_{\nu} > 10\text{GeV}$  )
- Flux; up/down ratio
- Flux; horizontal/vertical ratio
- Flux; K/pi ratio
- Flux; flight length of neutrinos
- Flux; spectral index of primary cosmic ray above 100GeV
- Flux; sample-by-sample relative normalization ( FC Multi-GeV )
- Flux; sample-by-sample relative normalization ( PC + Up-stop mu )
- Solar activity during SK1
- Solar activity during SK-II

Flux (16)

- $M_A$  in QE and single- $\pi$
- QE models (Fermi-gas vs. Oset's)
- QE cross-section
- Single-meson cross-section
- DIS models (GRV vs. Bodek's model)
- DIS cross-section
- Coherent- $\pi$  cross-section
- NC/CC ratio
- nuclear effect in  $^{16}\text{O}$
- pion spectrum
- CC  $\nu_{\tau}$  cross-section

$\nu$  interaction (12)

Detector, reduction  
and reconstruction (21 × 2)  
(SK-I+SK-II, independent)

- Reduction for FC
- Reduction for PC
- Reduction for upward-going muon
- FC/PC separation
- Hadron simulation (contamination of NC in 1-ring  $\mu$ -like)
- Non- $\nu$  BG ( flasher for e-like )
- Non- $\nu$  BG ( cosmic ray muon for mu-like )
- Upward stopping/through-going mu separation
- Ring separation
- Particle identification for 1-ring samples
- Particle identification for multi-ring samples
- Energy calibration
- Energy cut for upward stopping muon
- Up/down symmetry of energy calibration
- BG subtraction of up through  $\mu$
- BG subtraction of up stop  $\mu$
- Non- $\nu_e$  contamination for multi-GeV 1-ring electron
- Non- $\nu_e$  contamination for multi-GeV multi-ring electron
- Normalization of multi-GeV multi-ring electron
- PC stop/through separation