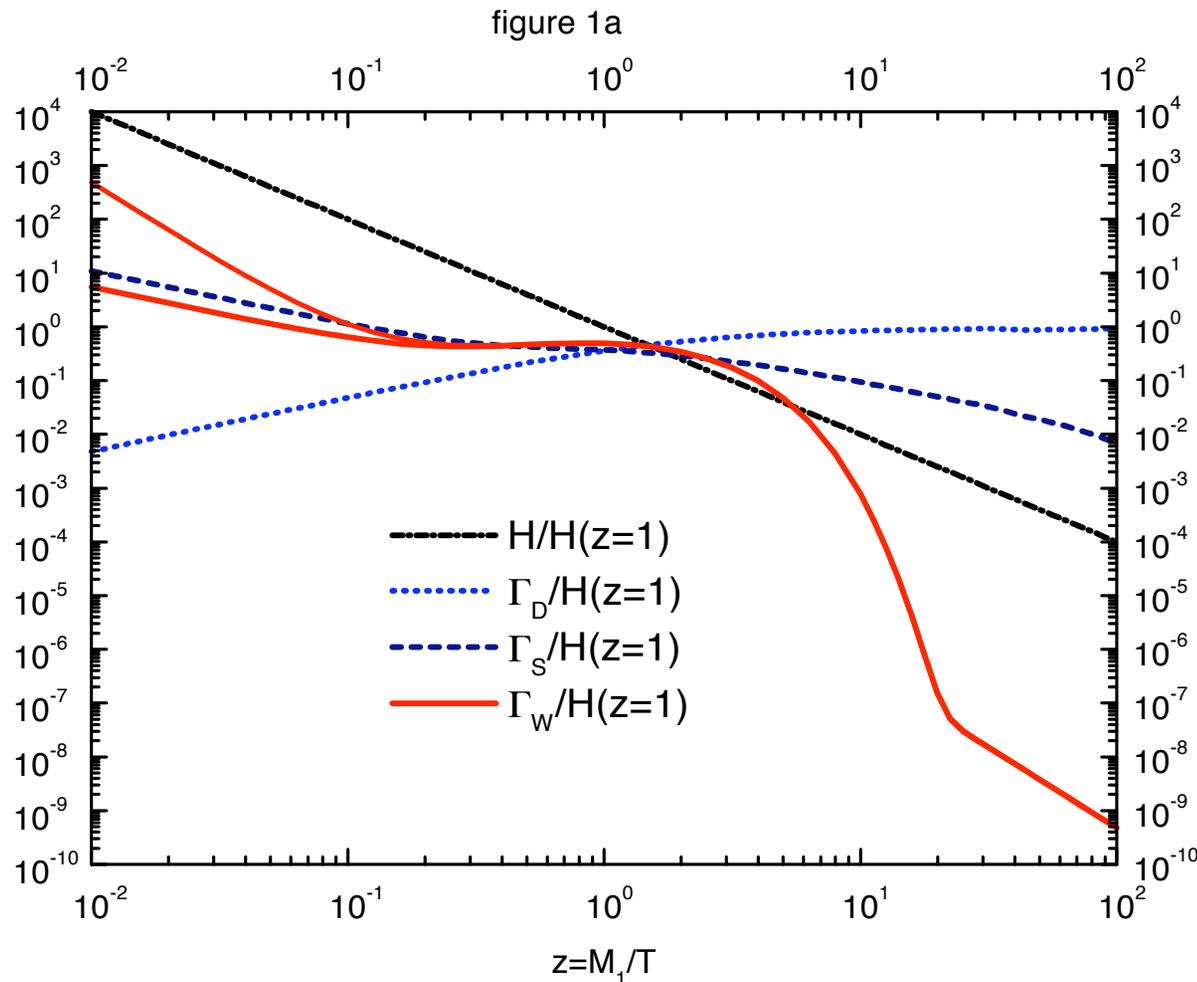


Example #1: typical set of parameters

$$\varepsilon_1 = 10^{-6}, M_1 = 10^{10} \text{ GeV}, \tilde{m}_1 = 10^{-3} \text{ eV}, \bar{m} = 0.05 \text{ eV}$$

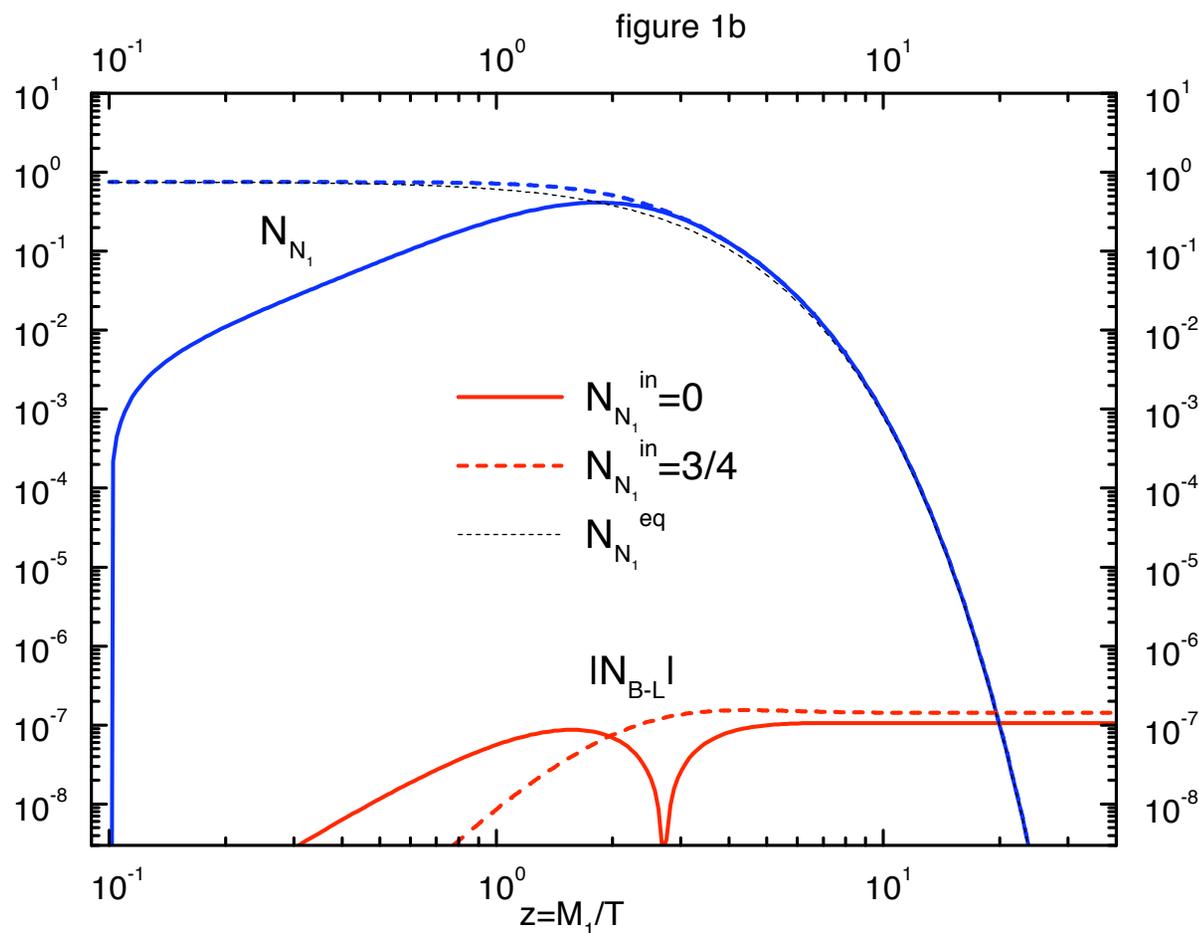
Interaction rates normalized to expansion rate:



Example #1: typical set of parameters

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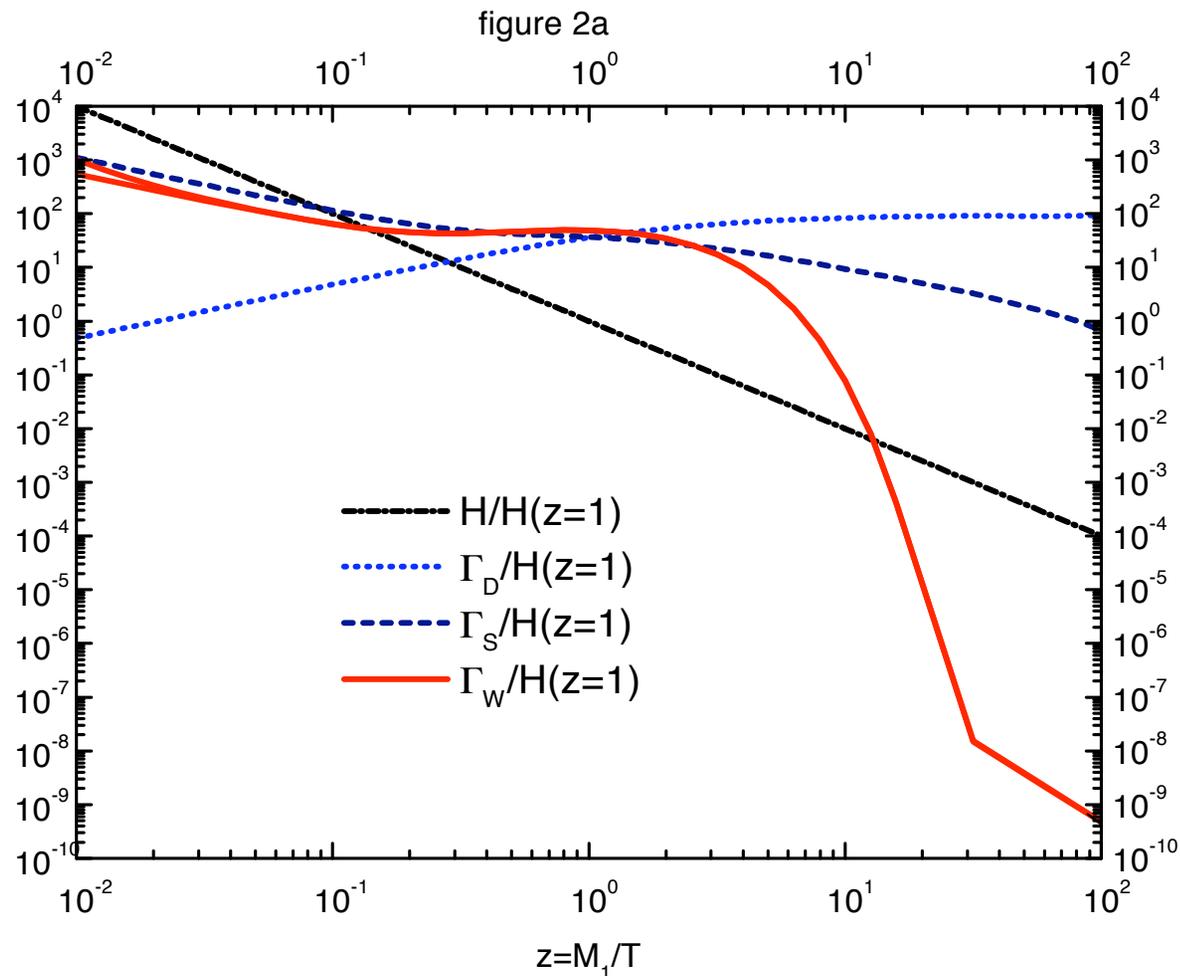
Evolution of N_1 abundance and $B - L$ asymmetry:



Example #2: larger $\tilde{m}_1 \rightarrow$ efficient N production, strong washout

$$\varepsilon_1 = 10^{-6}, M_1 = 10^{10} \text{ GeV}, \tilde{m}_1 = 0.1 \text{ eV}, \bar{m} = 0.05 \text{ eV}$$

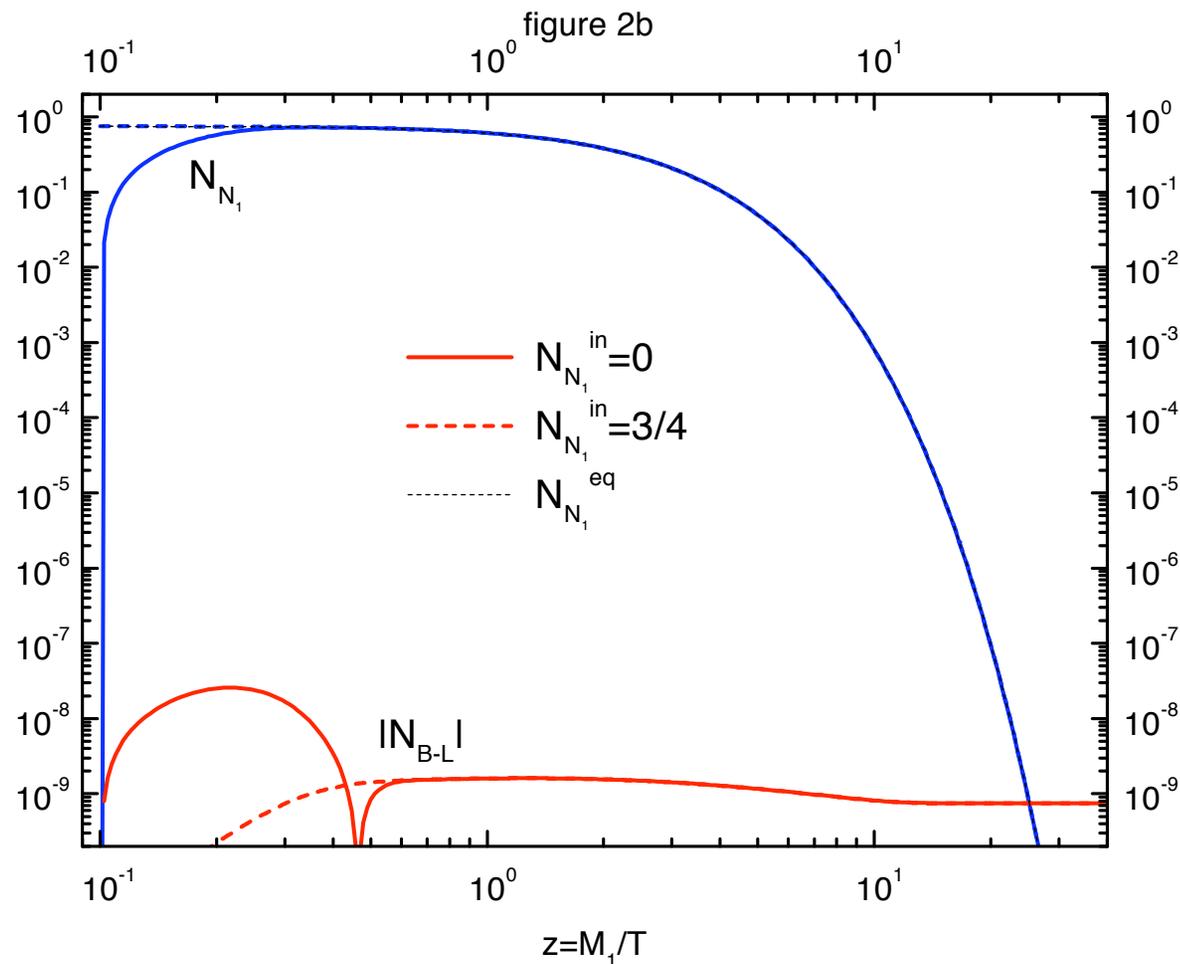
Interaction rates normalized to expansion rate:



Example #2: larger $\tilde{m}_1 \rightarrow$ asymmetry reduced by ~ 100

$$\varepsilon_1 = 10^{-6}, M_1 = 10^{10} \text{ GeV}, \tilde{m}_1 = 0.1 \text{ eV}, \bar{m} = 0.05 \text{ eV}$$

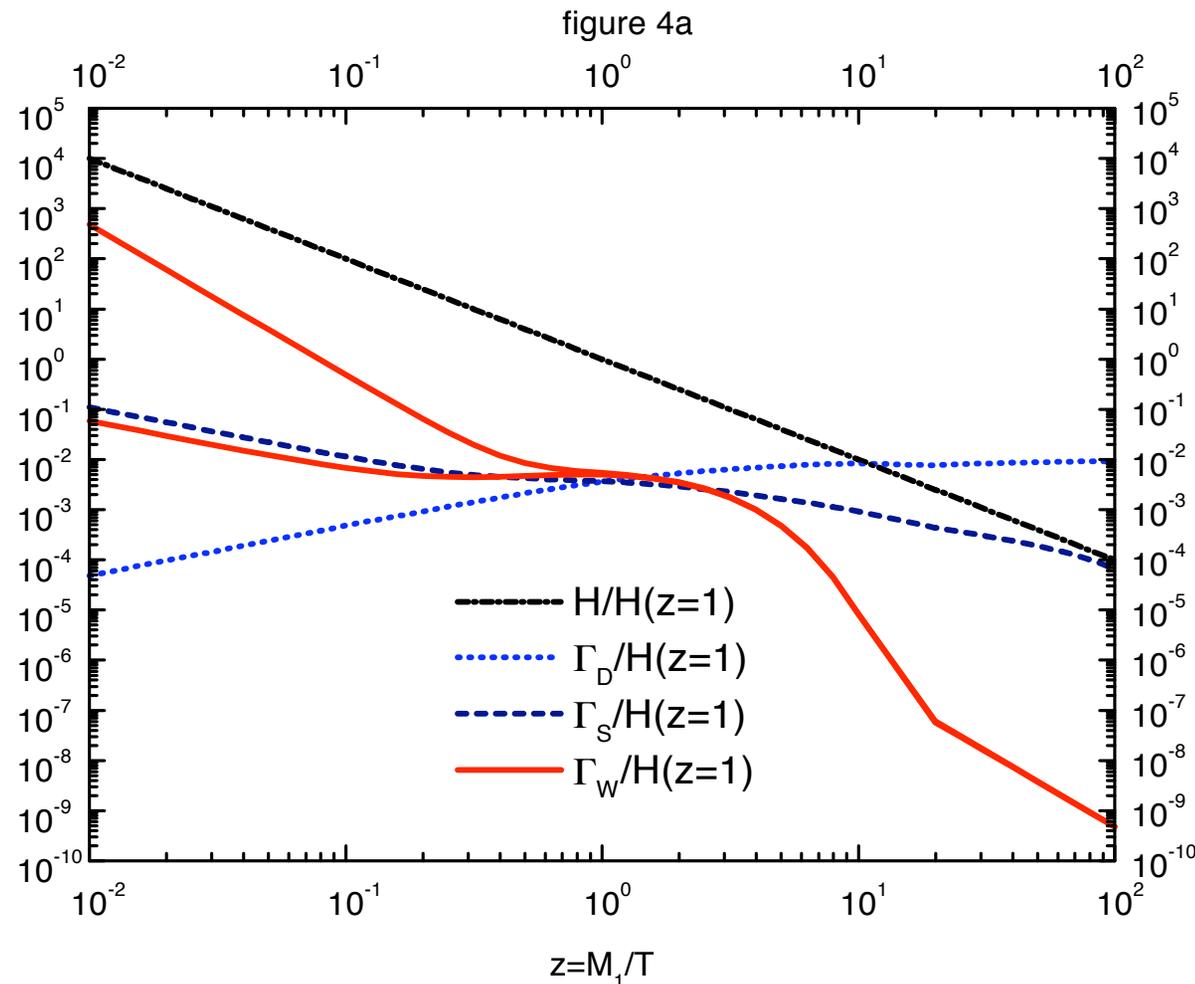
Evolution of N_1 abundance and $B - L$ asymmetry:



Example #3: smaller $\tilde{m}_1 \rightarrow$ interactions too weak to bring system in thermal equilibrium

$$\varepsilon_1 = 10^{-6}, M_1 = 10^{10} \text{ GeV}, \tilde{m}_1 = 10^{-5} \text{ eV}, \bar{m} = 0.05 \text{ eV}$$

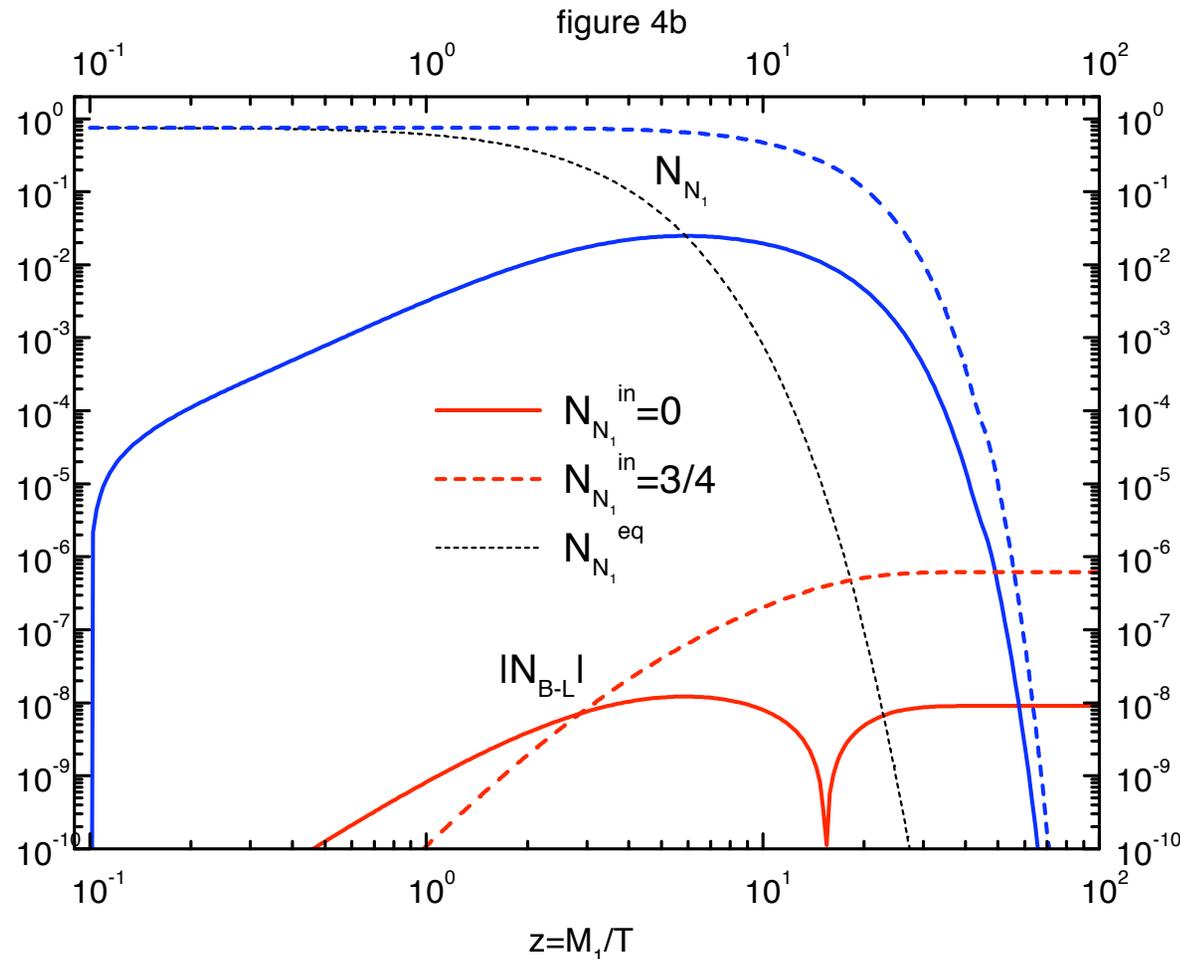
Interaction rates normalized to expansion rate:



Example #3: smaller $\tilde{m}_1 \rightarrow N$ never in equilibrium, asymmetry reduced by ~ 10 compared to #1

$$\varepsilon_1 = 10^{-6}, M_1 = 10^{10} \text{ GeV}, \tilde{m}_1 = 10^{-5} \text{ eV}, \bar{m} = 0.05 \text{ eV}$$

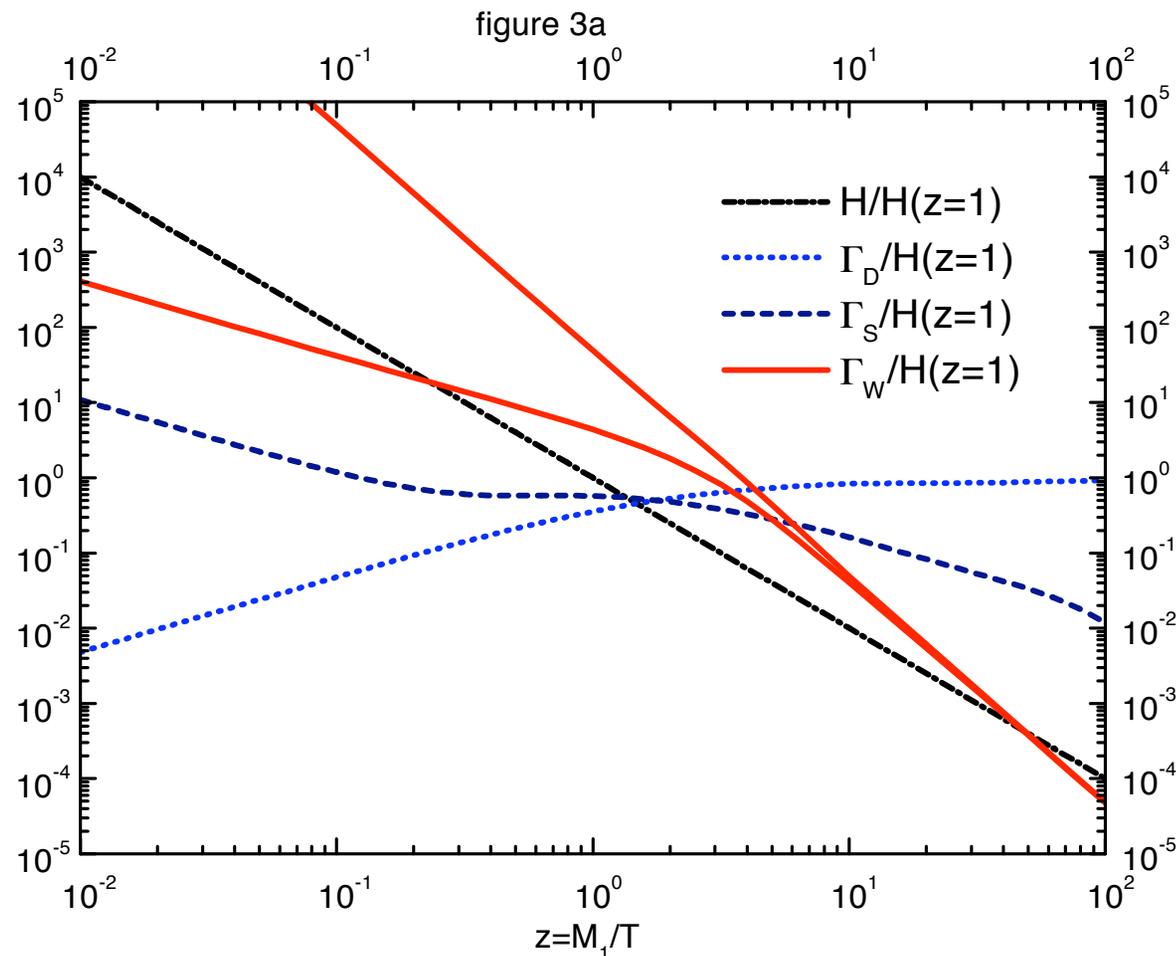
Evolution of N_1 abundance and $B - L$ asymmetry:



Example #4: larger $M_1 \rightarrow$ washout $\Gamma_{\Delta L=2} \propto M_1 \bar{m}^2$ increased by five orders of magnitude

$$\varepsilon_1 = 10^{-6}, M_1 = 10^{15} \text{ GeV}, \tilde{m}_1 = 10^{-3} \text{ eV}, \bar{m} = 0.05 \text{ eV}$$

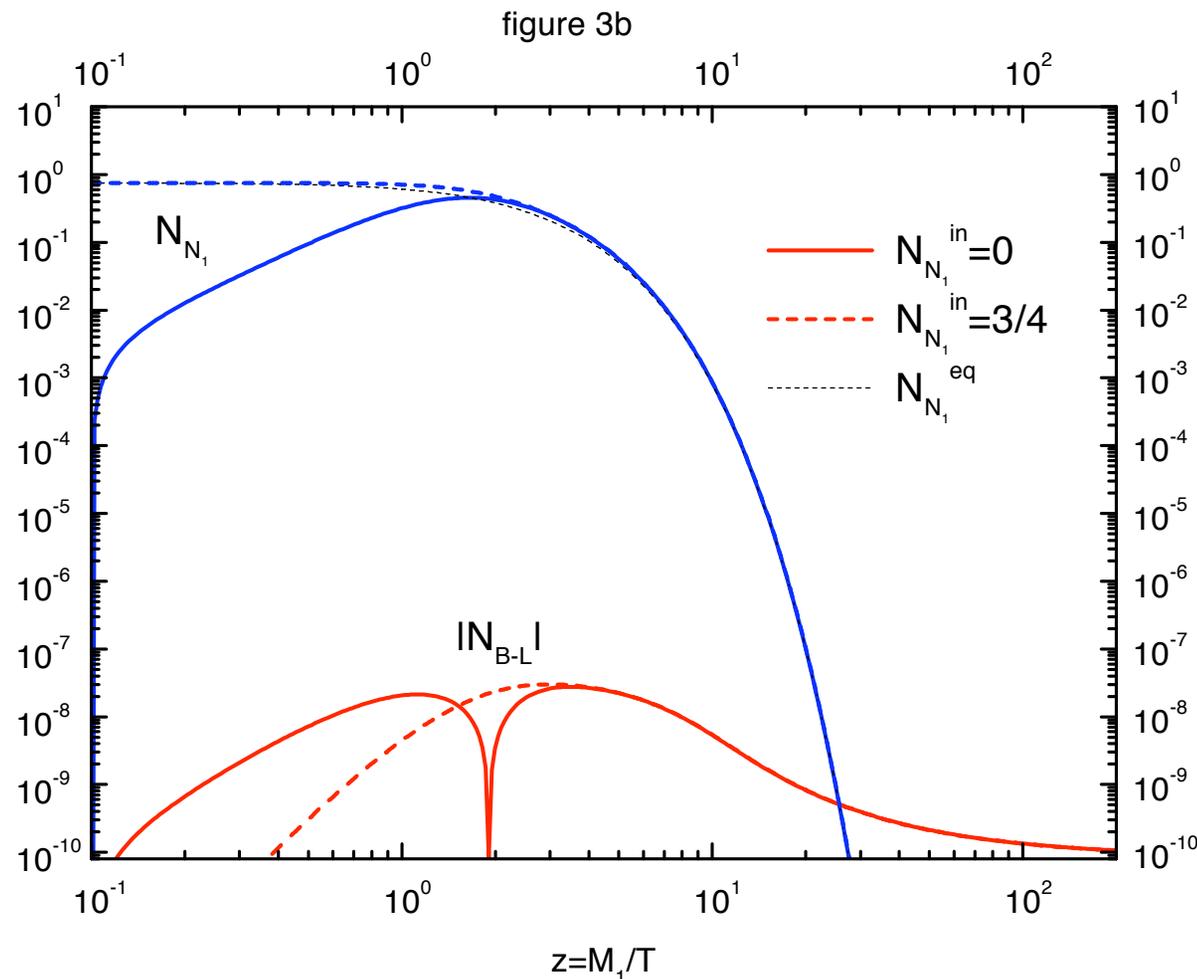
Interaction rates normalized to expansion rate:



Example #4: larger $M_1 \rightarrow$ asymmetry reduced by three orders of magnitude compared to #1

$$\varepsilon_1 = 10^{-6}, M_1 = 10^{15} \text{ GeV}, \tilde{m}_1 = 10^{-3} \text{ eV}, \bar{m} = 0.05 \text{ eV}$$

Evolution of N_1 abundance and $B - L$ asymmetry:



Baryon asymmetry determined by four parameters

- 1 CP asymmetry ε_1
- 2 mass of decaying neutrino M_1
- 3 effective light neutrino mass \tilde{m}_1 (\propto decay width of N_1)
- 4 light neutrino masses $\bar{m} = \sqrt{m_{\nu_1}^2 + m_{\nu_2}^2 + m_{\nu_3}^2}$

Final baryon asymmetry

$$\eta_B \simeq 10^{-2} \varepsilon_1 \kappa(\tilde{m}_1, M_1 \bar{m}^2)$$

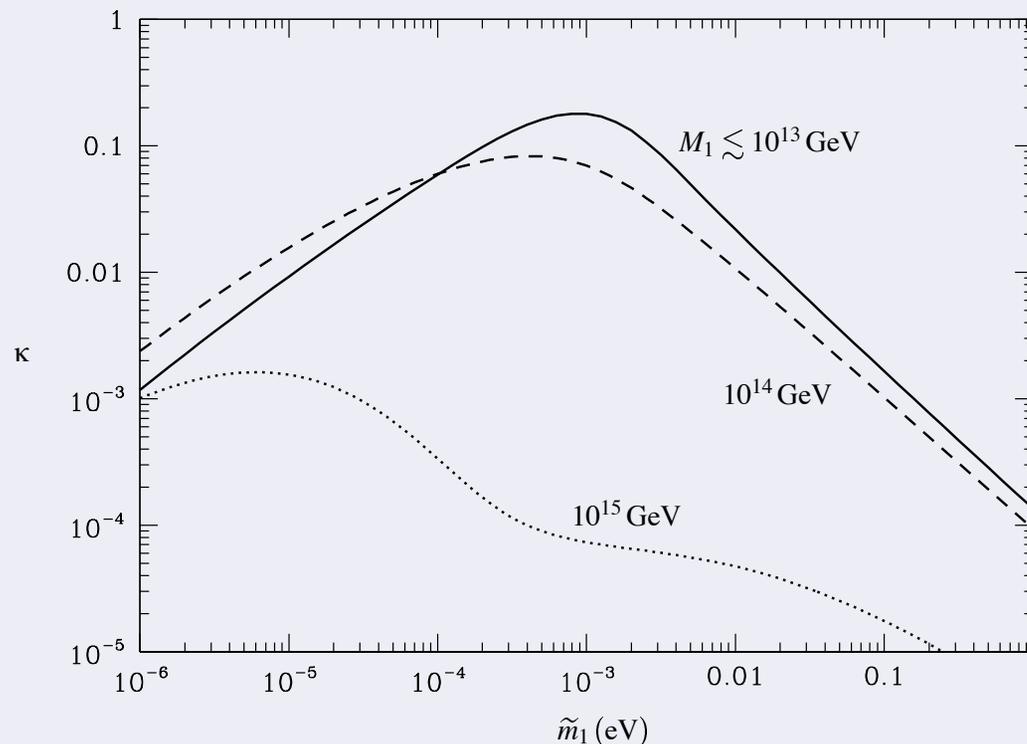
need to know:

- CP asymmetry ε_1 (from neutrino mass model)
- efficiency factor κ parametrizes N interactions (from integration of Boltzmann eqs.)

(Barbieri et al. '00; Buchmüller, Di Bari & M.P. '02)

Efficiency factor κ as function of \tilde{m}_1

(M.P. '96; Buchmüller, Di Bari & M.P. '02)



hierarchical light ν s:
 $\bar{m} = 0.05 \text{ eV}$

maximal efficiency:

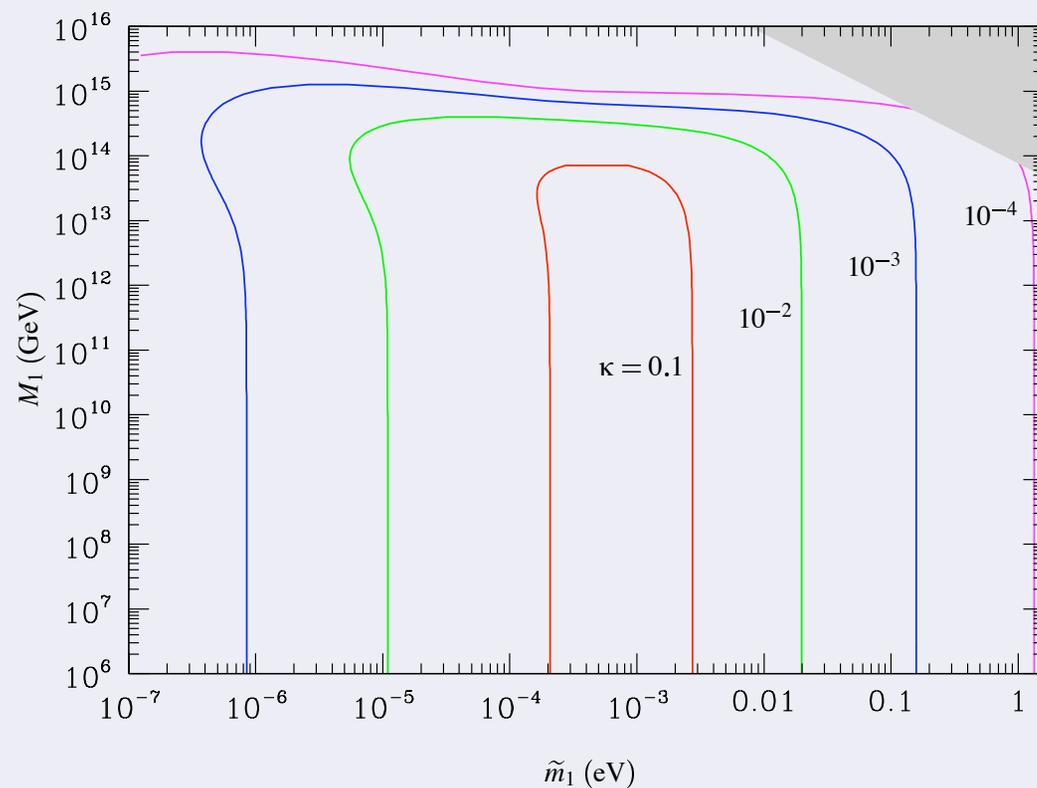
$$\kappa^{\text{max}} \simeq 0.18$$

for $\tilde{m}_1 \simeq 10^{-3} \text{ eV}$
and $M_1 \lesssim 10^{13} \text{ GeV}$

→ N interactions reduce efficiency:

- for $\tilde{m}_1 \ll 10^{-3} \text{ eV}$: N production inefficient
- for $\tilde{m}_1 \gg 10^{-3} \text{ eV}$: washout too strong
- for $M_1 \gtrsim 10^{13} \text{ GeV}$: $\Gamma_{\Delta L=2} \propto M_1 \bar{m}^2$ becomes important

lines of constant κ in (\tilde{m}_1, M_1) plane



hierarchical light ν 's:
 $\bar{m} = 0.05 \text{ eV}$

maximal efficiency in the mass range

$$10^{-4} \text{ eV} \lesssim \tilde{m}_1 \lesssim 10^{-2} \text{ eV}$$

$$M_1 \lesssim 10^{13} \text{ GeV}$$

Baryon asymmetry determined by four parameters

- 1 CP asymmetry ε_1
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(Barbieri et al. '00; Buchmüller, Di Bari & M.P. '02)

Leptogenesis vs. light neutrino parameters

Neutrino Yukawa Lagrangian:

$$\mathcal{L} = \bar{l}_L h \nu_R H^\dagger + \frac{1}{2} \bar{\nu}_R^c M \nu_R$$

Dirac neutrino masses: $m_D = h v$, $v = \langle H \rangle$

Without loss of generality: $M = \text{diag}(M_1, M_2, M_3)$

light neutrino masses: $m_\nu = -m_D \frac{1}{M} m_D^T$

diagonalized by light neutrino mixing matrix U

$$U^\dagger m_\nu U^* = -D_m = -\text{diag}(m_1, m_2, m_3)$$

Plug into seesaw formula

$$D_m = -U^\dagger m_\nu U^* = v^2 U^\dagger h \frac{1}{M} h^T U^*$$

Multiply from the left and the right with $D_m^{-1/2}$

$$\begin{aligned} 1 &= v^2 D_m^{-1/2} U^\dagger h \frac{1}{M} h^T U^* D_m^{-1/2} \\ &= v D_m^{-1/2} U^\dagger h M^{-1/2} \left(v D_m^{-1/2} U^\dagger h M^{-1/2} \right)^T \end{aligned}$$

We've constructed a complex orthogonal matrix Ω (Casas, Ibarra '01):

$$\Omega = v D_m^{-1/2} U^\dagger h M^{-1/2}, \quad \Omega \Omega^T = 1$$

In the basis where both M and m_ν are diagonal:

$$\tilde{h} = U^\dagger h \quad \Rightarrow \quad \Omega = v D_m^{-1/2} \tilde{h} M^{-1/2}, \quad \Omega_{ij} = \frac{v}{\sqrt{m_i M_j}} \tilde{h}_{ij}$$

CP asymmetry

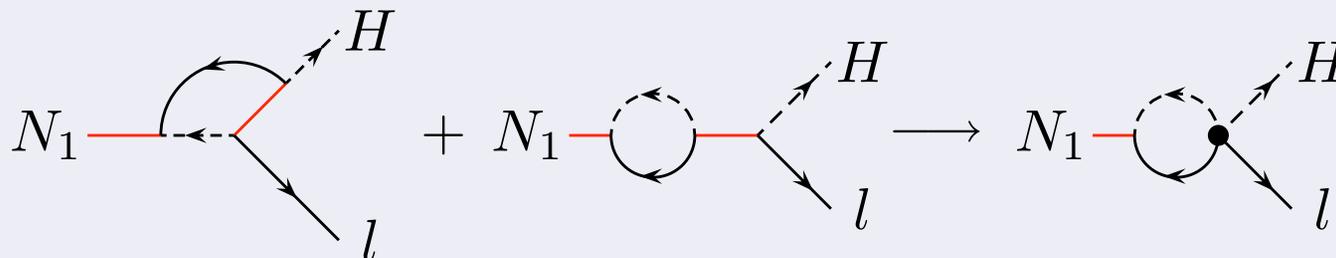
$$\varepsilon_1 = \frac{\Gamma(N \rightarrow l) - \Gamma(N \rightarrow \bar{l})}{\Gamma(N \rightarrow l) + \Gamma(N \rightarrow \bar{l})}$$

for $M_{2,3} \gg M_1$: upper bound on ε_1 in terms of light ν masses:

(Davidson & Ibarra '02; Buchmüller, Di Bari & M.P. '03; Hambye et al. '03)

$$\begin{aligned} \varepsilon_1 &\simeq -\frac{3}{16\pi} \frac{1}{(h^\dagger h)_{11}} \sum_i \text{Im} [(h^\dagger h)_{1i}^2] \frac{M_1}{M_i} \\ &= \frac{3}{16\pi v^2} \frac{M_1}{(\tilde{h}^\dagger \tilde{h})_{11}} \sum_i m_i \text{Im} (\tilde{h}_{i1})^2 \end{aligned}$$

It's obvious that ν masses enter. Integrate out heavy neutrinos in diagrams contributing to CP violation:



Making use of the orthogonality of Ω

Lower limit $\tilde{m}_1 \geq m_1$:

$$\tilde{m}_1 = \frac{v^2}{M_1} (\tilde{h}^\dagger \tilde{h})_{11} = \sum_i m_i |\Omega_{i1}^2| \geq m_1 \sum_i |\Omega_{i1}^2| \geq m_1 \left| \sum_i \Omega_{i1}^2 \right| = m_1$$

CP asymmetry in terms of light neutrino masses

$$\Omega^T \Omega = 1 \quad \Rightarrow \quad \text{Im} (\Omega^T \Omega)_{11} = 0$$

From the definition of Ω it follows that

$$\sum_i \frac{1}{m_i} \text{Im} (\tilde{h}_{i1})^2 = 0$$

$$\Rightarrow \sum_i m_i \text{Im} (\tilde{h}_{i1})^2 = \sum_{i \neq 1} \frac{\Delta m_{i1}^2}{m_i} \text{Im} (\tilde{h}_{i1})^2$$

Maximal CP asymmetry

$$\varepsilon_1 = \frac{3}{16\pi} \frac{M_1}{\nu^2} \sum_{i \neq 1} \frac{\Delta m_{i1}^2}{m_i} \frac{\text{Im}(\tilde{h}_{i1})^2}{(\tilde{h}^\dagger \tilde{h})_{11}}$$

\Rightarrow maximal CP asymmetry if $\text{Im}(\tilde{h}_{31})^2 / (\tilde{h}^\dagger \tilde{h})_{11}$ is maximal

$$\Rightarrow \varepsilon_1^{\text{max}} = \frac{3}{16\pi} \frac{M_1 m_3}{\nu^2} \left[1 - \frac{m_1}{m_3} \sqrt{1 + \frac{\Delta m_{31}^2}{\tilde{m}_1^2}} \right]$$

two interesting limiting cases:

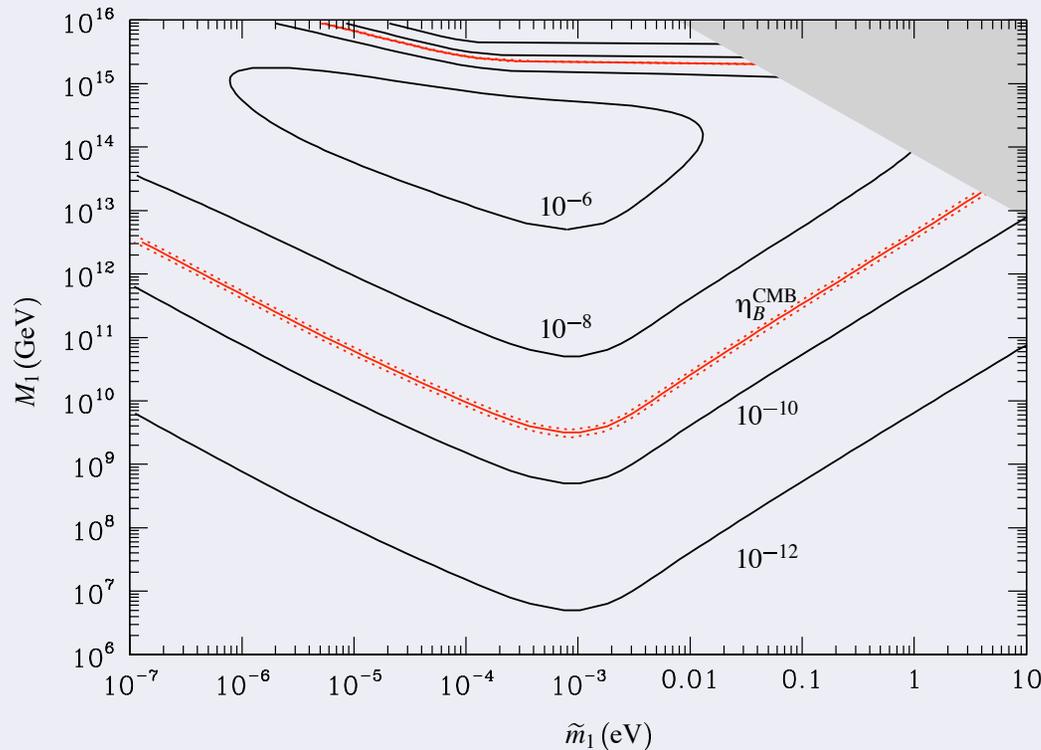
- hierarchical light ν s: $m_1 \rightarrow 0 \Rightarrow \varepsilon_1^{\text{max}} = \frac{3}{16\pi} \frac{M_1 m_3}{\nu^2}$
- degenerate light ν s: $m_3^2 \gg \Delta m_{31}^2 \Rightarrow \varepsilon_1^{\text{max}} \rightarrow 0$

\rightarrow CP asymm. suppressed if light ν spectrum quasi-degenerate

Maximal baryon asymmetry

$$\eta_B^{\max} = 10^{-2} \varepsilon_1^{\max} \kappa(\tilde{m}_1, M_1 \bar{m}^2)$$

hierarchical light ν s: $\bar{m} = 0.05 \text{ eV} \Rightarrow \eta_B^{\max} = 10^{-2} \frac{3}{16\pi} \frac{M_1 m_{\nu 3}}{v^2} \kappa$



\Rightarrow Lower bound on the baryogenesis temperature

$$T_B \sim M_1 \gtrsim 10^9 \text{ GeV}$$

$$t_B \sim 10^{-25} \text{ s}$$

Constraints on neutrino parameters

1 N_1 production processes $\propto \tilde{m}_1 \Rightarrow$ **lower limit on \tilde{m}_1**

2 Washout processes:

res. contrib. from $N_1 \propto \tilde{m}_1 \Rightarrow$ **upper limit on \tilde{m}_1**

remainder $\propto M_1 \bar{m}^2 \Rightarrow$ **upper limit on M_1 for fixed \bar{m}**

3 maximal CP asymmetry $\propto M_1 \Rightarrow$ **lower limit on M_1**
since $\eta_B \propto \varepsilon_1$

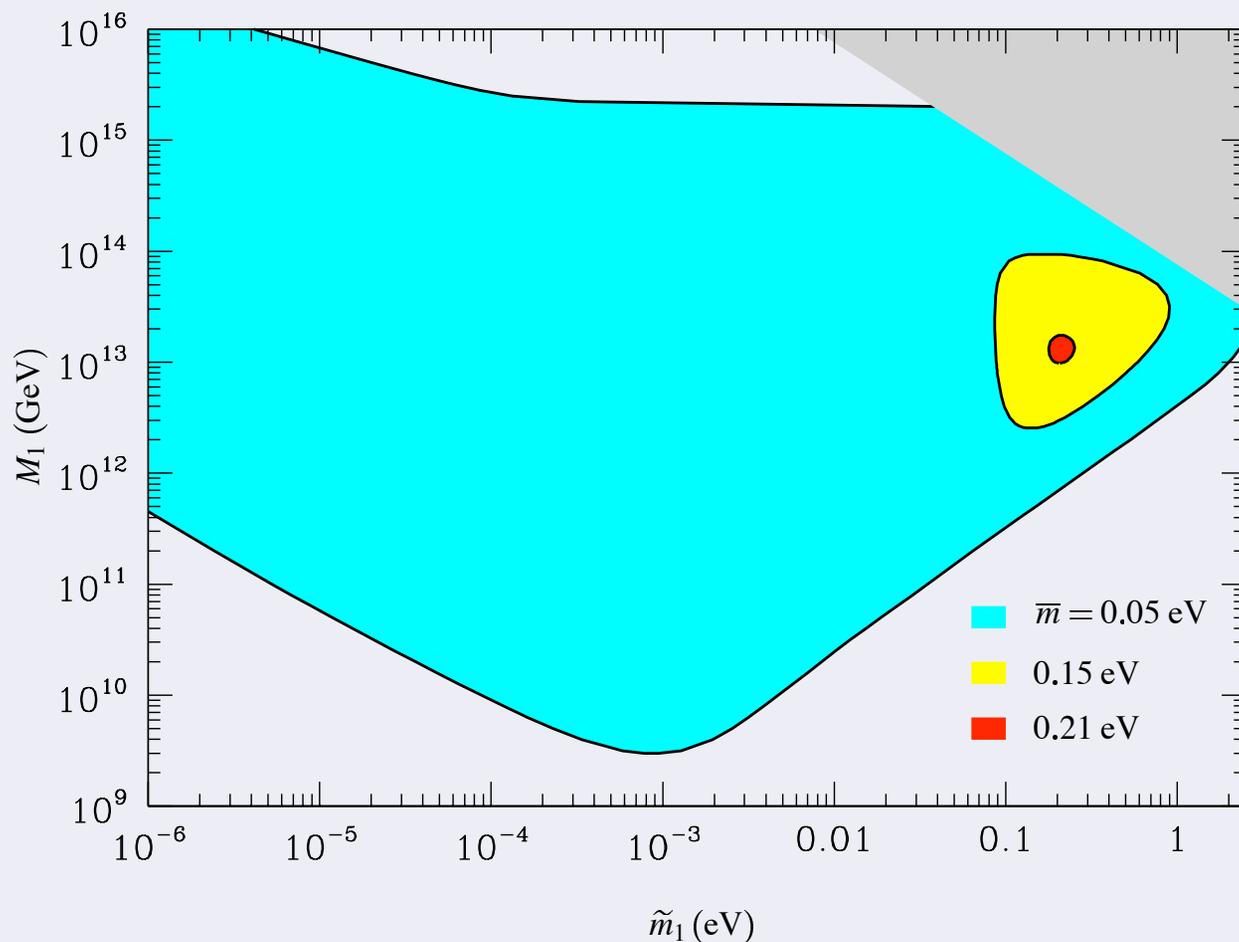
for fixed $\bar{m} \Rightarrow$ allowed region in (\tilde{m}_1, M_1) plane

Size of allowed region depends on \bar{m} since:

- max. CP asymm. suppressed for quasi-degenerate light ν s
- $\tilde{m}_1 \geq m_{\nu_1}$

\Rightarrow **upper bound on \bar{m}**

(Buchmüller, Di Bari & M.P. '03, '04)



light ν masses: $\bar{m} < 0.22 \text{ eV} \Rightarrow m_{\nu_i} < 0.13 \text{ eV}$

RHN masses: $T_B \sim M_1 \gtrsim 10^9 \text{ GeV}$

The neutrino mass window for baryogenesis

- upper bound on light ν masses $m_{\nu_i} \lesssim 0.1 \text{ eV}$
- no dependence on initial conditions for $\tilde{m}_1 \gtrsim 10^{-3} \text{ eV}$

since $\tilde{m}_1 \geq m_{\nu_1} \rightarrow$ **leptogenesis window** for neutrino masses

$$10^{-3} \text{ eV} \lesssim m_{\nu_i} \lesssim 0.1 \text{ eV}$$

compatible with ν oscillations ($m_{\text{atm}} \sim 0.05 \text{ eV}$)

Analytical solution for efficiency factor in leptogenesis window:

$$\kappa = (2 \pm 1) \times 10^{-2} \left(\frac{0.01 \text{ eV}}{\tilde{m}_1} \right)^{1.1 \pm 0.1}$$

What have we learned?

- Type I seesaw naturally explains the cosmological baryon asymmetry and the smallness of neutrino masses
- Quasi-degenerate light ν masses are incompatible with leptogenesis:

$$m_{\nu_i} < 0.13 \text{ eV}$$

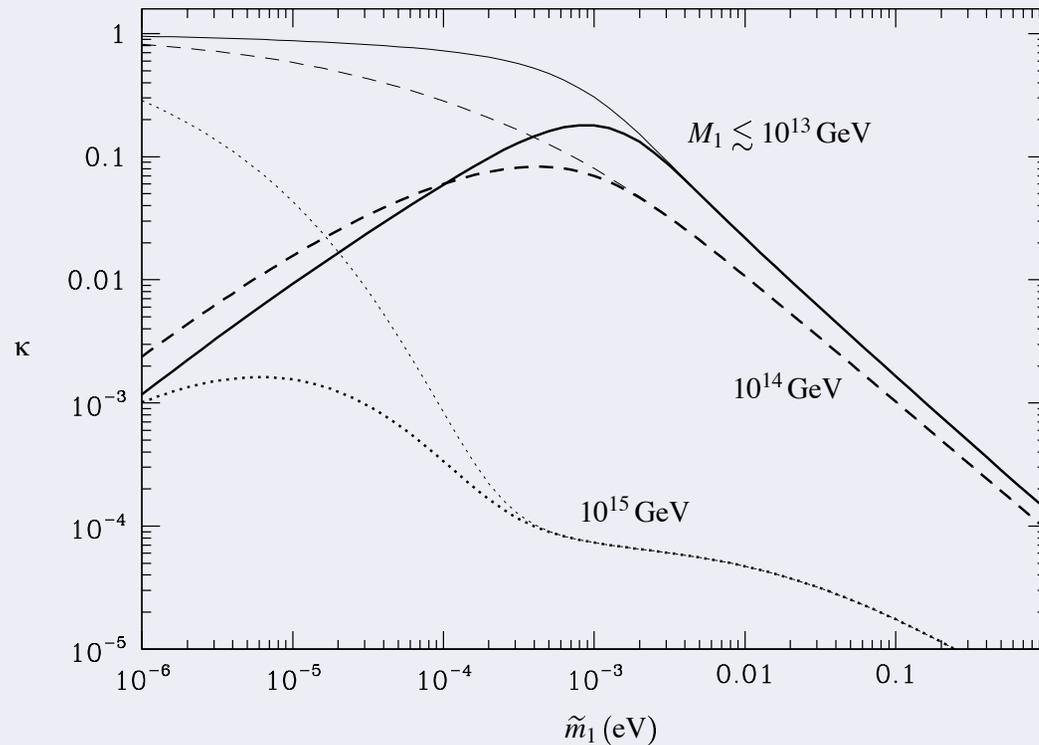
- lower bound on the baryogenesis temperature:

$$T_B \gtrsim 10^9 \text{ GeV}, \quad t_B \sim 10^{-25} \text{ s}$$

How reliable are these bounds?

Can they be evaded?

Initial conditions: Neutrino production?



hierarchical light vs:
 $\bar{m} = 0.05 \text{ eV}$

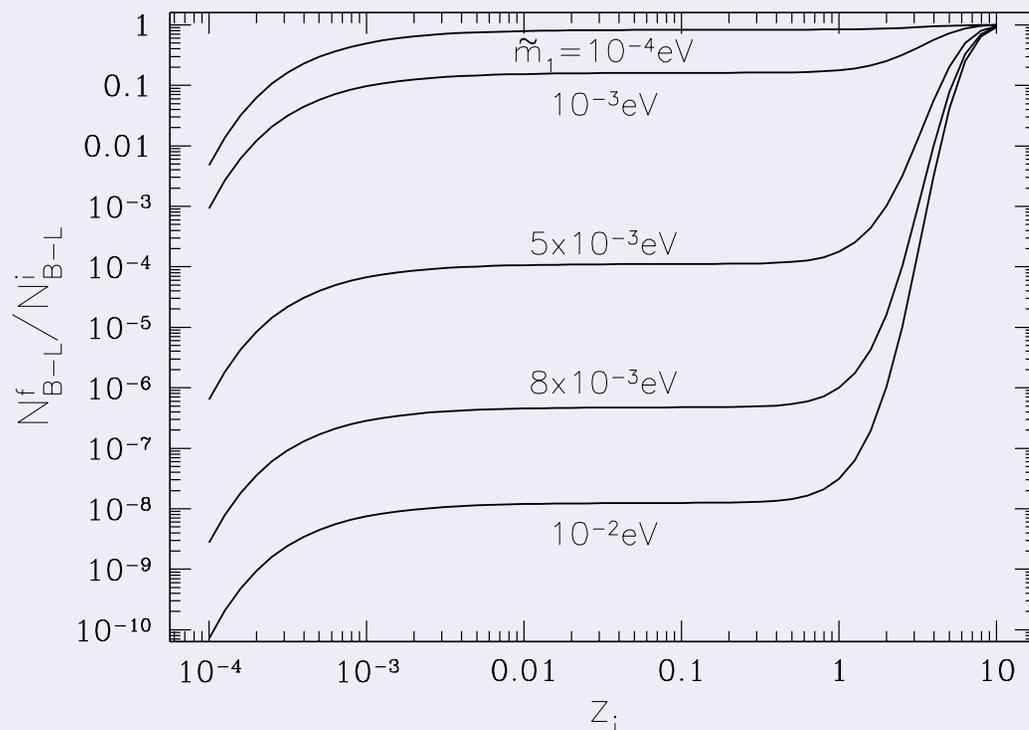
initial conditions

- $N_{N_1} = N_{N_1}^{\text{eq}}$ at $T \gg M_1$: thin lines
- $N_{N_1} = 0$ at $T \gg M_1$: thick lines

no dependence on initial conditions for $\tilde{m}_1 \gtrsim 10^{-3} \text{ eV}$

Initial conditions: Primordial Asymmetry?

initial asymmetry before leptogenesis:
effect of washout?



Washout factor for hierarchical light ν s:

$$\bar{m} = 0.05 \text{ eV}$$

and

$$M_1 = 10^{10} \text{ GeV}$$

Initial temperature:

$$z_i = \frac{M_1}{T_i}$$

efficient washout of initial asymmetry at $z_i \sim 1$ for $\tilde{m}_1 \gtrsim 10^{-3} \text{ eV}$

no dependence on initial conditions for $\tilde{m}_1 \gtrsim 5 \times 10^{-3} \text{ eV}$

Non-thermal leptogenesis (with F. Hahn-Woernle)

Up to now we've assumed that everything happens in the thermal, radiation dominated phase of the early universe.

Alternative: non-thermal leptogenesis at the end of inflation

Simple model: inflaton Φ decays entirely into RHNs N_1

Reheating temperature $T_{RH} \propto \sqrt{\Gamma_{\Phi} M_{Pl}}$ is now a measure for the inflaton-neutrino coupling and NOT a physical temperature.

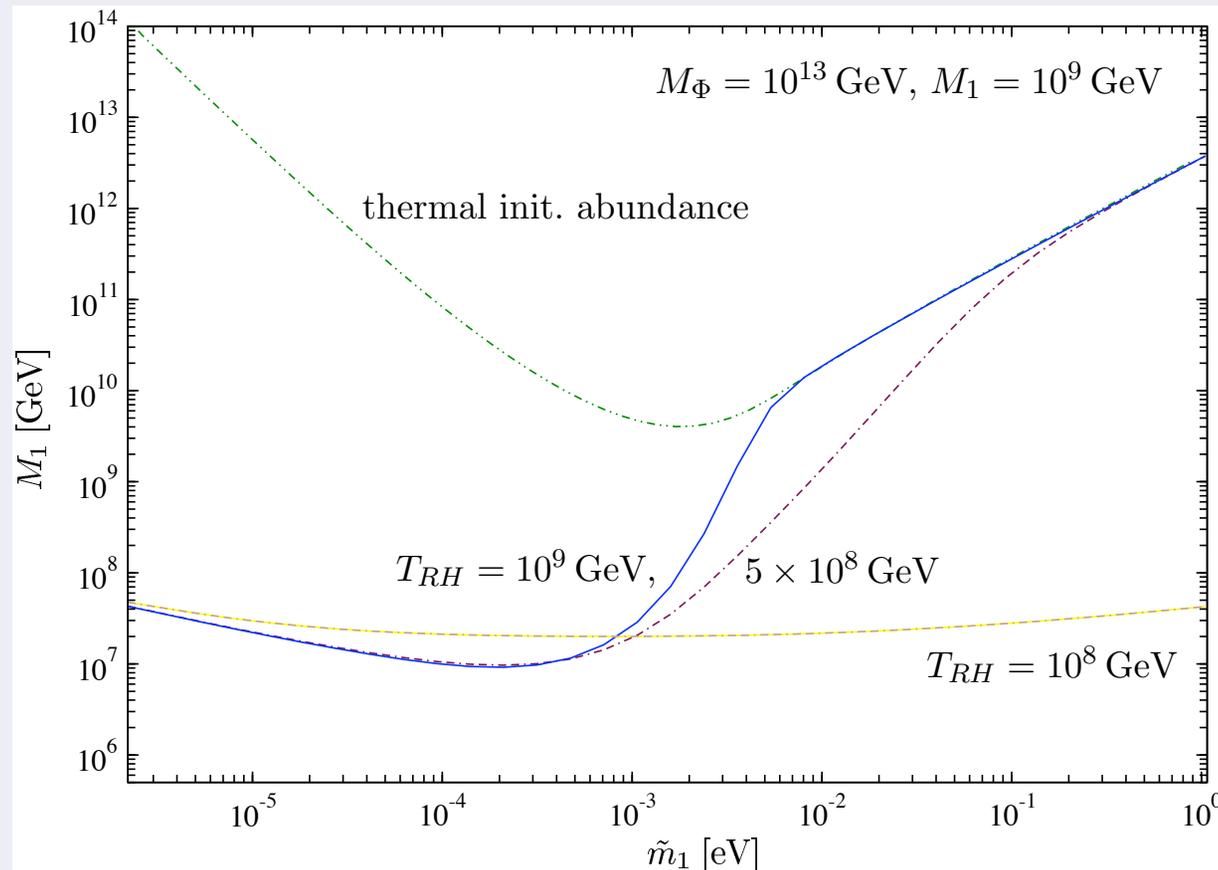
The actual reheating takes place when N_1 decays into SM particles which rapidly thermalize

→ physical reheating temperature: $T_{RH}^N \propto \sqrt{\Gamma_N M_{Pl}}$ can be much smaller than M_N , i.e. that's a way to reduce washout without getting into trouble with N -production

Drawback: much more model dependent

Lower bound on M_1 in this scenario

$T_{RH} \propto$ inflaton-neutrino coupling,
 physical reheating temperature $\propto \sqrt{\tilde{m}_1 M_{Pl}}$



Lower bound on M_1 relaxed by several orders of magnitude!

Kinetic vs. thermal equilibrium

Lower limit on M_1 from weak washout regime ($\tilde{m}_1 \ll 10^{-3}$ eV)

→ strong dependence on initial conditions and on how system approaches thermal equilibrium

Usual calculations rely on approximations:

- 1 Maxwell-Boltzmann statistics
- 2 Kinetic equilibrium: $f(E) = \frac{n}{n^{\text{eq}}} e^{-E/T}$

Scattering cross sections are energy-dependent, i.e. assuming kinetic equilibrium seems questionable.

Need to study how the system approaches equilibrium and how that depends on different assumptions (with F. Hahn-Wörnle).

Thermal corrections

Leptogenesis takes place in a thermal bath

→ Thermal corrections have to be considered, should regulate IR divergences

Controversial results, based on high temperature approximations, in literature (Covi et al., '98; Giudice et al., '03)

Need to compute scattering and decay rates in finite temperature field theory (with A. Brandenburg and F. Steffen)

Problem: two limiting cases considered in literature

- 1 thermal corrections for heavy states, i.e. $T \ll M$
- 2 thermal effects for massless fields, i.e. $T \gg M$

Relevant regime for leptogenesis: $T \sim M$

Alternatives?

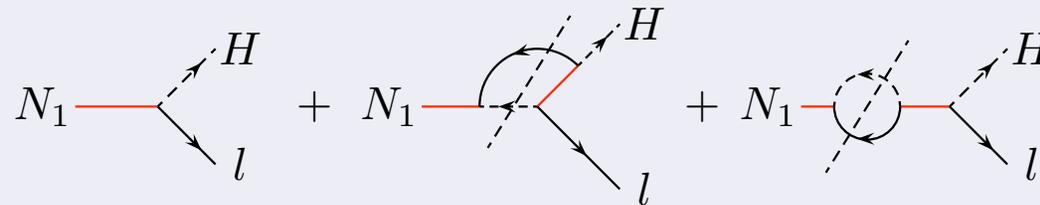
What if light neutrinos are quasi-degenerate?

What if the reheating temperature is lower than $\sim 10^9$ GeV?

- decouple light neutrino masses from baryogenesis, i.e. contribution to light ν masses and/or baryogenesis from triplet Higgs
some other mechanism for light ν masses, ...
- resonant leptogenesis, soft leptogenesis in SUSY models
- non-thermal leptogenesis, i.e. through inflaton decay or Affleck-Dine, ...

Resonant Leptogenesis

Resonant enhancement of CP-asymmetry for $M_{2,3} - M_1 \ll M_1$:

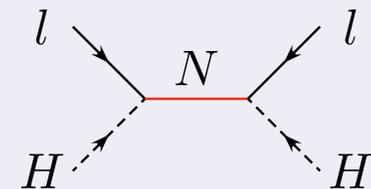


Almost no effect on bound on light ν masses, **but lower limit on T_B, M_1 can be relaxed.**

However: many different results in literature !?

Problem: N_i unstable, i.e. cannot appear as in- or out-states of S-matrix elements

Solution: scattering amplitudes of stable particles with N_i as intermediate states



Factorisation: effective one-loop couplings of N_i

Resummation of self-energies

regularizes resonant propagator \Rightarrow mixing effects

$$(S^{-1})_{ij} = \not{p} - M_i - \Sigma_{ij}$$

Renormalization known (Kniehl & Pilafitsis '96)

Chiral decomposition of propagator:

$$S = P_R S^{RR} + P_L S^{LL} + P_L \not{p} S^{LR} + P_R \not{p} S^{RL}$$

Contribute to different scattering processes:

$$\mathcal{M}(l_r \rightarrow \bar{l}_s) \propto h_{ri} S_{ij}^{LL} h_{sj} \quad \mathcal{M}(\bar{l}_r \rightarrow l_s) \propto h_{ri}^* S_{ij}^{RR} h_{sj}^*$$

$$\mathcal{M}(l_r \rightarrow l_s) \propto h_{ri}^* S_{ij}^{RL} h_{sj} \quad \mathcal{M}(\bar{l}_r \rightarrow \bar{l}_s) \propto h_{ri} S_{ij}^{LR} h_{sj}^*$$

Contributions of different N_i mass eigenstates?

Factorization (Anisimov, Broncano & M.P. '05):

Different methods:

- 1 Decompose scattering ampl. into partial fractions, e.g.:

$$\mathcal{M}(l_r \rightarrow \bar{l}_s) \propto \lambda_{r1} \frac{1}{p^2 - \hat{M}_1^2} \lambda_{s1} + \lambda_{r2} \frac{1}{p^2 - \hat{M}_2^2} \lambda_{s2} + \dots$$

λ_{ri} : resummed effective N_i Yukawa coupling

Consistency: all 4 amplitudes can be factorized simultaneously.

- 2 Diagonalization of propagators, e.g.: $U S^{LL} U^T = S^{\text{diag}}$

$$\mathcal{M}(l_r \rightarrow \bar{l}_s) \propto (hU^T)_{ri} S_{ii}^{\text{diag}} (hU^T)_{si}$$

$(hU^T)_{ri}$: resummed effective N_i Yukawa coupling

Consistency: for $p^2 = M_i^2$ all 4 amplitudes can be factorized simultaneously.

Results:

Both methods yield identical results for physical quantities:

- 1 Decay widths: $\Gamma(N_i \rightarrow \bar{l}_r) \propto |\lambda_{ri}|^2 = |(hU^T)_{ri}|^2$, for $p^2 = M_i^2$
- 2 *CP*-asymmetries, e.g.:

$$\varepsilon_1 \propto \frac{M_2^2 - M_1^2}{(M_2^2 - M_1^2)^2 + (M_2 \Gamma_2 - M_1 \Gamma_1)^2},$$

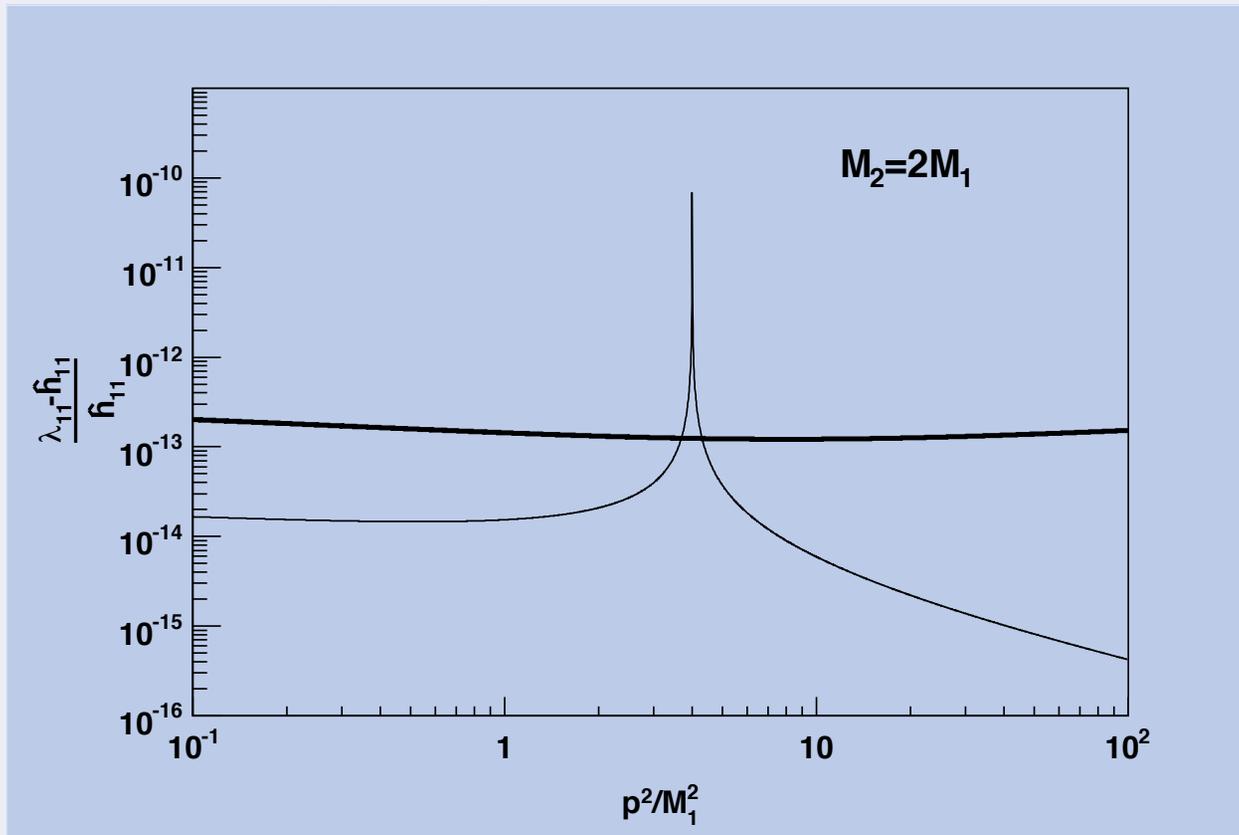
Previous approaches, e.g., resum only self-energy Σ_{jj} of intermediate neutrino $N_j \Rightarrow$ regulator: Γ_j (Pilaftsis & Underwood '04)

$$\varepsilon_1 \propto \frac{M_2^2 - M_1^2}{(M_2^2 - M_1^2)^2 + M_1^2 \Gamma_2^2}$$

Different neutrino flavours are treated differently!

Relative one-loop correction to couplings of N_1

Our result (thick line) compared to the one of Pilaftsis et al.:



thin line has resonance at $p^2 = M_2^2$, i.e. contributions from different neutrino mass eigenstates not properly separated in previous approaches.

Conclusions

- Cosmology is not only a lot of fun but can also tell us a lot about particle physics
→ *cosmology is the continuation of particle physics by other means* (Carl von Clausewitz)
- Leptogenesis relates η_B to light neutrino properties if

$$m_{\nu_i} \lesssim 0.1 \text{ eV} \quad \text{and} \quad T_B \gtrsim 10^9 \text{ GeV}$$

- Leptogenesis works best in **neutrino mass window**

$$10^{-3} \text{ eV} \lesssim m_{\nu_i} \lesssim 0.1 \text{ eV}$$

consistent with neutrino oscillations

